

G.S. 150B-21.3A Report for 10A NCAC 06P,06R, 06S, 06T, 06U, 06V, 06W, 06X - AGING: PROGRAM OPERATIONS

Agency - Social Services Commission

Comment Period - 10/15/24 to 12/16/24

Date Submitted to APO - Filled in by RRC staff

Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Required to Implement or Conform to Federal Regulation [150B-21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B-21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]	RRC Determination of Public Comments [150B-21.3A(c)(2)]	RRC Final Determination of Status of Rule for Report to APO [150B-21.3A(c)(2)]	OAH Next Steps
SUBCHAPTER 06P - ADMINISTRATION OF ADULT DAY CARE SERVICES	SECTION .0100 - SERVICE DESCRIPTION: DEFINITION OF TERMS	10A NCAC 06P .0101	DEFINITION OF TERMS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	No		Select One	Necessary	Select One	#REF!	Select One
	SECTION .0200 - CLIENT ELIGIBILITY: FEES AND CHARGES	10A NCAC 06P .0201	LIMITATIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2),(4)	Select One	Necessary	Select One	#REF!	Select One
	SECTION .0300 - PAYMENT METHODS FOR DAY CARE CENTERS/HOMES	10A NCAC 06P .0301	GENERAL POLICY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2),(4)	Select One	Necessary	Select One	#REF!	Select One
	SECTION .0400 - ROLE RESPONSIBILITIES IN PROGRAM	10A NCAC 06P .0401	STATE DIVISION OF AGING AND ADULT SERVICES RESPONSIBILITIES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2),(4)	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06P .0402	COUNTY DEPARTMENT OF SOCIAL SERVICES RESPONSIBILITY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2),(4)	Select One	Necessary	Select One	#REF!	Select One
	SECTION .0500 - PROGRAM CERTIFICATION	10A NCAC 06P .0501	DAY CARE PROGRAMS SERVING MORE THAN ONE COUNTY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2),(4)	Select One	Necessary	Select One	#REF!	Select One
	10A NCAC 06P .0502	THE DSS-OPERATED ADULT DAY CARE CENTER	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2),(4)	Select One	Necessary	Select One	#REF!	Select One	
SUBCHAPTER 06R - ADULT DAY CARE STANDARDS FOR CERTIFICATION	SECTION .0100 - INTRODUCTION	10A NCAC 06R .0101	CERTIFICATION REQUIREMENT	Readopted Eff. September 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2),(4); 45 CFR 96.74 (a)(5); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0102	CORRECTIVE ACTION	Readopted Eff. September 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2),(4); 42 USC 3026 (a)(13)(E)	Select One	Necessary	Select One	#REF!	Select One
	SECTION .0200 - DEFINITION OF TERMS	10A NCAC 06R .0201	DEFINITIONS	Readopted Eff. September 1, 2019	Necessary	No		Select One	Necessary	Select One	#REF!	Select One
	SECTION .0300 - ADMINISTRATION	10A NCAC 06R .0301	GOVERNING BODY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2),(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0302	PROGRAM GOALS	Readopted Eff. September 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2),(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0303	AGREEMENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2),(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0304	INSURANCE	Readopted Eff. September 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2),(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0305	PERSONNEL: CENTERS: HOMES WITH OPERATOR AND STAFF	Readopted Eff. September 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2),(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0306	PERSONNEL: DAY CARE HOMES: ONLY STAFF PERSON IS OPERATOR	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2),(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0307	PERSONNEL: VOLUNTEERS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2),(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One

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Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Required to Implement or Conform to Federal Regulation [150B-21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B-21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]	RRC Determination of Public Comments [150B-21.3A(c)(2)]	RRC Final Determination of Status of Rule for Report to APO [150B-21.3A(c)(2)]	OAH Next Steps
	SECTION .0400 - THE FACILITY	10A NCAC 06R .0401	GENERAL REQUIREMENTS	Readopted Eff. September 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2),(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0402	BUILDING CONSTRUCTION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2),(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0403	EQUIPMENT AND FURNISHINGS	Readopted Eff. September 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2),(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
	SECTION .0500 - PROGRAM OPERATION	10A NCAC 06R .0501	PLANNING PROGRAM ACTIVITIES	Readopted Eff. September 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2),(4); 42 USC 1397e(a); 42 USC 3001 (4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0502	NUTRITION	Readopted Eff. September 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2),(4); 42 USC 1397c; 42 USC 3001 (4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0503	TRANSPORTATION	Readopted Eff. September 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2),(4); 42 USC 1397c; 42 USC 3001 (4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0504	EMERGENCIES AND FIRST AID	Readopted Eff. September 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0505	MEDICATIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0506	HOURS AND DAYS OF OPERATION	Readopted Eff. September 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0507	AVAILABILITY AND ACCESSIBILITY OF PROGRAM POLICIES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0508	RECORDS	Readopted Eff. September 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 1397c; 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0509	PROGRAM EVALUATION	Readopted Eff. September 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0510	DAY CARE PROGRAMS IN MULTI-USE FACILITIES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
	SECTION .0600 - CERTIFICATION PROCEDURE	10A NCAC 06R .0601	PROCEDURE	Readopted Eff. September 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 1397c; 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0602	CHANGES IN PERSONNEL	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
	SECTION .0700 - CONSTRUCTION REQUIREMENTS FOR DAY CARE HOME	10A NCAC 06R .0701	RESIDENTIAL BUILDING CODE REQUIREMENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0702	FIRE AND SAFETY REQUIREMENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One

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		10A NCAC 06R .0703	OTHER	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
	SECTION .0800 - CERTIFICATION INFORMATION	10A NCAC 06R .0801	THE CERTIFICATE	Readopted Eff. September 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0802	PROVISIONAL CERTIFICATE	Readopted Eff. September 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 45 CFR 96.17; 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0803	TERMINATION OF CERTIFICATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 45 CFR 96.17; 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0804	DENIAL OR REVOCATION OF CERTIFICATE	Readopted Eff. September 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 45 CFR 96.17; 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0805	PENALTY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 45 CFR 96.17; 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0806	PROCEDURE FOR APPEAL	Readopted Eff. September 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
	SECTION .0900 - SPECIAL CARE FOR PERSONS WITH ALZHEIMER'S DISEASE OR OTHER DEMENTIAS, MENTAL HEALTH DISABILITIES OR OTHER SPECIAL NEEDS DISEASES OR CONDITIONS IN ADULT DAY CARE CENTERS	10A NCAC 06R .0901	DISCLOSURE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0902	POLICIES AND PROCEDURES	Readopted Eff. September 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0903	THE FACILITY – SPECIAL CARE SERVICES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0904	ENROLLMENT – SPECIAL CARE SERVICES	Readopted Eff. September 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0905	INDIVIDUAL SERVICE PLANS – SPECIAL CARE SERVICES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0906	PROGRAM PLAN – SPECIAL CARE SERVICES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06R .0907	STAFF ORIENTATION AND TRAINING – SPECIAL CARE SERVICES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One

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		10A NCAC 06R .0908	REQUIREMENTS FOR SPECIAL CARE SERVICES UNIT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
SUBCHAPTER 06S - ADULT DAY HEALTH STANDARDS FOR CERTIFICATION	SECTION .0100 - INTRODUCTION AND DEFINITIONS	10A NCAC 06S .0101	INTRODUCTORY STATEMENT	Readopted Eff. November 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06S .0102	DEFINITIONS	Readopted Eff. November 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
	SECTION .0200 - ADMINISTRATION	10A NCAC 06S .0201	ADMINISTRATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06S .0202	PROGRAM GOALS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06S .0203	STAFFING PATTERN	Readopted Eff. November 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06S .0204	STAFF REQUIREMENTS	Readopted Eff. November 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
	SECTION .0300 - FACILITY REQUIREMENTS FOR CENTERS AND	10A NCAC 06S .0301	REQUIREMENTS	Readopted Eff. November 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06S .0302	CONSTRUCTION REQUIREMENTS FOR DAY HEALTH HOMES	Readopted Eff. November 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
	SECTION .0400 - PROGRAM OPERATION	10A NCAC 06S .0401	PROGRAM OPERATION REQUIREMENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06S .0402	ADDITIONAL ENROLLMENT AND PARTICIPATION REQUIREMENTS	Readopted Eff. November 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06S .0403	HEALTH AND PERSONAL CARE SERVICES	Readopted Eff. November 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06S .0404	TRANSPORTATION	Readopted Eff. November 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06S .0405	EMERGENCIES AND FIRST AID	Readopted Eff. November 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
	SECTION .0500 - CERTIFICATION INFORMATION	10A NCAC 06S .0501	PROCEDURE	Readopted Eff. November 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06S .0502	CHANGES IN PERSONNEL	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06S .0503	THE CERTIFICATE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06S .0504	PROVISIONAL CERTIFICATE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06S .0505	TERMINATION OF CERTIFICATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06S .0506	DENIAL OR REVOCATION OF CERTIFICATE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One

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		10A NCAC 06S .0507	PENALTY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06S .0508	PROCEDURE FOR APPEAL	Readopted Eff. November 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06S .0509	CORRECTIVE ACTION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
	SECTION .0600 - SPECIAL CARE FOR PERSONS WITH ALZHEIMER'S DISEASE OR RELATED DISORDERS, MENTAL HEALTH DISABILITIES, OR OTHER SPECIAL NEEDS DISEASES OR CONDITIONS IN ADULT DAY CARE CENTERS	10A NCAC 06S .0601	DISCLOSURE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06S .0602	THE FACILITY – SPECIAL CARE SERVICES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06S .0603	ENROLLMENT – SPECIAL CARE SERVICES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06S .0604	INDIVIDUAL SERVICE PLANS – SPECIAL CARE SERVICES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06S .0605	PROGRAM PLAN – SPECIAL CARE SERVICES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06S .0606	STAFF ORIENTATION AND TRAINING – SPECIAL CARE SERVICES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06S .0607	REQUIREMENTS FOR SPECIAL CARE SERVICES UNIT	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 3032c	Select One	Necessary	Select One	#REF!	Select One
SUBCHAPTER 06T – STATE ADULT DAY CARE FUNDING	SECTION .0200 - STATE ADULT DAY CARE FUND	10A NCAC 06T .0201	NATURE AND PURPOSE OF STATE ADULT DAY CARE FUND	Readopted Eff. November 1, 2019	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06T .0202	DEFINITION OF SERVICE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06T .0203	ELIGIBILITY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06T .0204	COST-SHARING	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06T .0205	PROGRAM POLICIES AND STANDARDS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One

G.S. 150B-21.3A Report for 10A NCAC 06P,06R, 06S, 06T, 06U, 06V, 06W, 06X - AGING: PROGRAM OPERATIONS

Agency - Social Services Commission

Comment Period - 10/15/24 to 12/16/24

Date Submitted to APO - Filled in by RRC staff

Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Required to Implement or Conform to Federal Regulation [150B-21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B-21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]	RRC Determination of Public Comments [150B-21.3A(c)(2)]	RRC Final Determination of Status of Rule for Report to APO [150B-21.3A(c)(2)]	OAH Next Steps
		10A NCAC 06T .0206	ALLOCATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397(2)(4); 42 USC 3001(4); 45 CFR 1321.3	Select One	Necessary	Select One	#REF!	Select One
SUBCHAPTER 06U – STATE IN-HOME SERVICES FUND	SECTION .0100 – STATE IN-HOME SERVICES FUND	10A NCAC 06U .0101	NATURE AND PURPOSE OF STATE IN-HOME SERVICES FUND	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (2),(4)	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06U .0102	DEFINITIONS OF SERVICES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (2),(4)	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06U .0103	ELIGIBILITY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (2),(4)	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06U .0104	COST-SHARING	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	No	42 USC 1397 (2),(4)	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06U .0105	PROGRAM POLICIES AND STANDARDS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (2),(4)	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06U .0106	ALLOCATION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	No	42 USC 1397 (2),(4)	Select One	Necessary	Select One	#REF!	Select One
SUBCHAPTER 06V – STATE IN-HOME SERVICES FUND: PREPARATION AND DELIVERY OF MEALS	SECTION .0100 – SERVICE DESCRIPTION	10A NCAC 06V .0101	REIMBURSABLE COST ITEMS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (2)	Select One	Necessary	Select One	#REF!	Select One
	SECTION .0200 – DEFINITIONS	10A NCAC 06V .0201	DEFINITIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (2)	Select One	Necessary	Select One	#REF!	Select One
	SECTION .0300 – METHODS OF SERVICE PROVISION	10A NCAC 06V .0301	GENERAL POLICY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (2)	Select One	Necessary	Select One	#REF!	Select One
	SECTION .0400 – MAXIMUM PURCHASE AND DELIVERY RATES:	10A NCAC 06V .0401	MAXIMUM PURCHASE AND DELIVERY RATES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (2)	Select One	Necessary	Select One	#REF!	Select One
	SECTION .0500 – ADDITIONAL REQUIREMENTS	10A NCAC 06V .0501	HEALTH AND SAFETY REQUIREMENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (2)	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06V .0502	PROFESSIONAL CONSULTATION REQUIRED	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (2)	Select One	Necessary	Select One	#REF!	Select One
SUBCHAPTER 06W – STATE IN-HOME SERVICES FUND: HOUSING AND HOME IMPROVEMENT SERVICES	SECTION .0100 – PROGRAM REQUIREMENTS	10A NCAC 06W .0104	NATURE AND PURPOSE	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (4)	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06W .0105	DEFINITIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (4)	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06W .0106	SERVICE POPULATIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (4)	Select One	Necessary	Select One	#REF!	Select One

G.S. 150B-21.3A Report for 10A NCAC 06P,06R, 06S, 06T, 06U, 06V, 06W, 06X - AGING: PROGRAM OPERATIONS

Agency - Social Services Commission

Comment Period - 10/15/24 to 12/16/24

Date Submitted to APO - Filled in by RRC staff

Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Required to Implement or Conform to Federal Regulation [150B-21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B-21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]	RRC Determination of Public Comments [150B-21.3A(c)(2)]	RRC Final Determination of Status of Rule for Report to APO [150B-21.3A(c)(2)]	OAH Next Steps
		10A NCAC 06W .0107	APPLICATION FOR SERVICES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (4)	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06W .0108	SERVICE PROVIDER RESPONSIBILITIES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (4)	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06W .0109	PROHIBITED ACTIVITIES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (4)	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06W .0110	REQUEST FOR WAIVER	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (4)	Select One	Necessary	Select One	#REF!	Select One
SUBCHAPTER 06X - STATE IN-HOME SERVICES FUND: IN-HOME AIDE SERVICES	SECTION .0100 - COORDINATION	10A NCAC 06X .0101	IN-HOME AIDE SERVICES FOR OLDER ADULTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (4)	Select One	Necessary	Select One	#REF!	Select One
	SECTION .0200 - PROGRAM REQUIREMENTS	10A NCAC 06X .0201	IN-HOME AIDE SERVICES: ADULTS, CHILDREN AND THEIR FAMILIES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (4)	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06X .0202	DEFINITIONS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (4)	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06X .0203	DESCRIPTION OF IN-HOME AIDE SERVICE LEVELS FOR ADULTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (4)	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06X .0204	DESCRIPTION OF IN-HOME AIDE LEVELS FOR CHILDREN/FAMILIES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (4)	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06X .0205	SERVICE DELIVERY	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (4)	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06X .0206	ASSESSMENT AND REASSESSMENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (4)	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06X .0207	IN-HOME AIDE SERVICE PLAN	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (4)	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06X .0208	COMPETENCY REQUIREMENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (4)	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06X .0209	TIME FRAMES FOR COMPLETING COMPETENCY REQUIREMENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (4)	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06X .0210	AIDE SUPERVISION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (4)	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06X .0211	SELECTION OF AIDES	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (4)	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06X .0212	CLIENT RECORDS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (4)	Select One	Necessary	Select One	#REF!	Select One

G.S. 150B-21.3A Report for 10A NCAC 06P,06R, 06S, 06T, 06U, 06V, 06W, 06X - AGING: PROGRAM OPERATIONS

Agency - Social Services Commission

Comment Period - 10/15/24 to 12/16/24

Date Submitted to APO - Filled in by RRC staff

Subchapter	Rule Section	Rule Citation	Rule Name	Date and Last Agency Action on the Rule	Agency Determination [150B-21.3A(c)(1)a]	Required to Implement or Conform to Federal Regulation [150B-21.3A(d1)]	Federal Regulation Citation	Public Comment Received [150B-21.3A(c)(1)]	Agency Determination Following Public Comment [150B-21.3A(c)(1)]	RRC Determination of Public Comments [150B-21.3A(c)(2)]	RRC Final Determination of Status of Rule for Report to APO [150B-21.3A(c)(2)]	OAH Next Steps
		10A NCAC 06X .0213	QUALITY ASSURANCE REQUIREMENTS	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (4)	Select One	Necessary	Select One	#REF!	Select One
		10A NCAC 06X .0214	METHODS OF SERVICE PROVISION	Pursuant to G.S. 150B-21.3A, rule is necessary without substantive public interest Eff. September 6, 2016	Necessary	Yes If yes, include the citation to the federal law	42 USC 1397 (4)	Select One	Necessary	Select One	#REF!	Select One