

APPENDIX B — CRITERIA FOR Behavioral Health I/DD TAILORED PLAN EXEMPTION FROM MANDATORY ENROLLMENT IN NC MEDICAID STANDARD PLANS

UPDATED: Feb. 2, 2021

Pursuant to Session Law 2015-245, as amended by Session Law 2018-48¹, populations meeting eligibility requirements for the Behavioral Health I/DD Tailored Plan shall be exempt from Medicaid Managed Care until such point that Behavioral Health I/DD Tailored Plans are available. Per Session Law 2018-48, beneficiaries eligible for the Behavioral Health I/DD Tailored Plan include those with a serious mental illness (SMI), a serious emotional disturbance (SED), a severe substance use disorder (SUD), an I/DD, or who have survived a traumatic brain injury (TBI) and who are receiving TBI services, who are on the waiting list for the TBI waiver, or whose TBI otherwise is a knowable fact. This document outlines the specific data criteria DHHS is using to identify beneficiaries eligible for the Behavioral Health I/DD Tailored Plan exemption from mandatory Standard Plan enrollment for the period of time between Standard Plan launch (July 1, 2021) and Behavioral Health I/DD Tailored Plan launch (July 1, 2022).

When Standard Plans launch on July 1, 2021, most Medicaid and NC Health Choice beneficiaries, who are not also enrolled in Medicare, will be required to enroll in a Standard Plan. Beneficiaries meeting Behavioral Health I/DD Tailored Plan eligibility criteria as described in this document will be exempt from mandatory enrollment in a Standard Plan. This means beneficiaries meeting the outlined criteria will continue to receive coverage through their current delivery system (fee-for-service and the Local Management Entity/Managed Care Organizations [LME/MCOs] for most beneficiaries) until the Behavioral Health I/DD Tailored Plans launch on July 1, 2022, unless they take action to enroll in a Standard Plan².

The data criteria described in this document may be revised based on stakeholder feedback and experience and will be reviewed prior to launch of the Behavioral Health I/DD Tailored Plans. Questions and comments can be provided by emailing Medicaid.Transformation@dhhs.nc.gov. Medicaid beneficiaries who are not identified as eligible for Behavioral Health I/DD Tailored Plans based on data reviews described in this document will be able to request a review to be exempt from mandatory enrollment in Standard Plans. More information about this process is available in the **Behavioral Health I/DD Tailored Plan Memo on Eligibility and Enrollment Updates** under [Final Policy Guidance](#).

Behavioral Health I/DD Tailored Plan Criteria

The criteria (detailed below) used to identify beneficiaries eligible for the Behavioral Health I/DD Tailored Plan exemption relies on available fee-for-service claims, LME/MCO encounters, State eligibility system, and/or other data sources as described. Standard Plan encounters will be incorporated as they are available. DHHS will evaluate data based on dates of service from January 2018 forward to determine the population meeting eligibility for the Behavioral Health I/DD Tailored Plan exemption for purposes of Standard Plan launch until Behavioral Health I/DD Tailored Plan launch. The lookback period may be updated to identify beneficiaries eligible to enroll in the Behavioral Health I/DD Tailored Plans when they launch on July 1, 2022. Beneficiaries who are not identified in the available data but believe they meet Behavioral Health I/DD Tailored Plan eligibility can request a review for Behavioral Health I/DD Tailored Plan eligibility.

1. Innovations Waiver — Beneficiaries with a Special Coverage Code of “IN” or “CM.” Innovations Waiver beneficiaries are excluded from Standard Plan enrollment.

¹ <https://www.ncleg.net/Sessions/2017/Bills/House/PDF/H403v6.pdf>

² Beneficiaries enroll in the Innovations or Traumatic Brain Injury (TBI) waivers are not eligible to enroll in a Standard Plan.

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2. TBI Waiver — Beneficiaries with a Special Coverage Code of “BH” or “BN.” DHHS maintains a list of these beneficiaries as reported by Alliance Health. TBI Waiver beneficiaries are excluded from Standard Plan enrollment.
3. Transitions to Community Living Initiative (TCLI) — DHHS maintains a list of all beneficiaries targeted per the TCLI and as reported by the LME-MCOs. Certain beneficiaries on the TCLI list have an applicant status indicating that they were “removed” from TCLI, and thus these beneficiaries will not be considered eligible for the Behavioral Health I/DD Tailored Plan via the TCLI criteria.
4. Innovations Waiver Waitlist — DHHS maintains a list of beneficiaries on the Innovations Waiver Waitlist as reported by the LME-MCOs.
5. TBI Waiver Waitlist — DHHS will maintain a list of beneficiaries on the TBI Waiver Waitlist as applicable (there is not currently a waitlist for the TBI waiver).
6. Utilization of Medicaid service only available in Behavioral Health I/DD Tailored Plan — Utilization within the historical fee-for-service claims and/or LME/MCO encounters of a service listed in Table 1. *Services added as part of the January 2021 update are noted in red.*
7. Utilization of Behavioral Health, I/DD or TBI Services Funded with State, Local, Federal or Other Non-Medicaid Funds — Identification logic leveraged State-funded claims experience based on data available through NCTracks.
8. Children with Complex Needs (CWCN) — DHHS maintains a list of all beneficiaries identified as CWCN as reported by the LME-MCOs.
9. I/DD Diagnosis — fee-for-service claim or LME/MCO encounter with a qualifying I/DD diagnosis code(s) (all diagnosis positions) as listed in Table 2. *Diagnosis codes denoted in red were added as part of the January 2021 update.*
10. SMI/SED— The following logic is being utilized to identify beneficiaries with a SMI or SED:
 - a. For beneficiaries under age 18:
 - i. SED diagnosis listed in Table 3.1 in any position on a fee-for-service claim or LME/MCO encounter. *Diagnosis codes denoted in red were updated in January 2021 to no longer require enhanced service utilization or diagnosis in the primary position.*
 - ii. SED eligible diagnosis listed in Table 3.2 in the primary position on a fee-for-service claim or LME/MCO encounter AND utilization of an enhanced Behavioral Health service as listed in Table 6 on a fee-for-service claim or LME/MCO encounter. The diagnosis and enhanced Behavioral Health utilization qualifying event do not need to occur on the same claim.
 - b. For beneficiaries ages 18 and older:
 - i. SMI diagnosis listed in Table 4.1 in any position on a fee-for-service claim or LME/MCO encounter. *These criteria were updated in January 2021 to no longer require enhanced service utilization or diagnosis in the primary position.*
 - ii. SMI eligible diagnosis listed in Table 4.2 in the primary position on a fee-for-service claim or LME/MCO encounter AND utilization of an enhanced Behavioral Health service as listed in Table 6 on a fee-for-service claim or LME/MCO encounter. The diagnosis and enhanced Behavioral Health utilization qualifying event do not need to occur on the same claim.
 - c. For all beneficiaries regardless of age:
 - i. Electroconvulsive Therapy — Identified in the fee-for-service claims or LME/MCO encounters based on utilization of CPT code 90870 or revenue code 0901.
 - ii. Use of clozapine or long-acting injectable antipsychotics — Identified in the fee-for-service claims based on utilization of one of the products listed in Table 7. *This list was updated in January 2021 to reflect the latest available products and will be updated by DHHS to reflect any future changes.*

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- iii. Suicide attempt – Identified in the fee-for-service claims or LME/MCO encounters as having an Emergency Department facility claim with one of the following diagnosis codes in any position: T14.91XA, T14.91XD, T14.91XS. DHHS will use available data to identify beneficiaries meeting this criteria once prior to Standard Plan launch and once prior to Behavioral Health I/DD Tailored Plan launch. *This criteria was added as part of the January 2021 update.*
11. SUD Diagnosis + Enhanced Behavioral Health Service — fee-for-service claim or LME/MCO encounter with a qualifying SUD diagnosis code(s) (primary diagnosis position only) as listed in Table 5 along with utilization within the fee-for-service and LME/MCO encounter information of an enhanced Behavioral Health service as listed in Table 6. The diagnosis and enhanced Behavioral Health utilization qualifying event do not need to occur on the same claim.
 12. Two or More Psychiatric Hospitalizations or Readmissions — Identified in the fee-for-service claims based on DRGs 876, 880-887, 894-897, and in the LME/MCO encounters based on Revenue Codes 101-182,184-219.
 13. Admission to State Psychiatric Hospitals or Alcohol and Drug Abuse Treatment Centers (ADATCs) — This includes, but is not limited to, individuals known to DHHS to have had one or more involuntary treatment episode in a State-owned facility.
 14. Two or More Visits to the Emergency Department for a Psychiatric Problem — Identified in the fee-for-service claims based on Revenue Code 450 and a qualifying diagnosis in the primary or secondary diagnostic position per Tables 3-5 (on the same claim), and in the LME/MCO encounters based on Revenue Code 450 alone. Two qualifying claims during the lookback period must be within 18 months of one another. *This criteria was updated in January 2021 to include claims with qualifying diagnosis codes in the secondary position.*
 15. Two or More Episodes using Behavioral Health Crisis Services — Identified in both the fee-for-service claims and LME/MCO encounters based on utilization of the procedure codes listed below.
 - 90839 and 90840 (psychotherapy for crisis)
 - H0010 (non-hospital medical detox)
 - H2011 (mobile crisis management)
 - H2036 (medically supervised detox crisis stabilization)
 - S9484 (facility based crisis service)

Table 1: Services Only Available in the Behavioral Health I/DD Tailored Plan (TPR04)

Description	Code ³	Applicable Dataset ⁴
Medicaid State Plan Services		
Substance Abuse (SA) Non-Medical Community Residential Treatment	H0012	Fee-for-service Claims and/or
SA Medically Monitored Community Residential Treatment	H0013	LME/MCO
SA Intensive Outpatient Program	H0015	Encounters
Residential Level 3 or Level 4	H0019	
Assertive Community Treatment	H0040	
Residential Level 1	H0046	
Child/Adolescent Day Treatment	H2012	
Community Support Team	H2015	
Psychosocial Rehabilitation	H2017	
Residential Level 2 - Group Home	H2020	

³ Unless otherwise noted, identification logic does not leverage the modifier field.

⁴ In some instances, the Behavioral Health I/DD Tailored Plan service criteria was only run against the LME/MCO encounters (and not the FFS claims) as certain services are only offered through the LME/MCOs and procedure code detail may double as another State Plan/1915(c) waiver service in the FFS claims.

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Description	Code ³	Applicable Dataset ⁴
Intensive In-Home Services	H2022	
Multi-Systemic Therapy	H2033	
SA Comprehensive Outpatient Treatment Program	H2035	
Residential Level 2 - Family Setting	S5145	
ICF/IID	State Category of Service (SCOS) ⁵ 0021 OR 0047	Fee-for-service Claims
	Revenue Code 100 OR 183	LME/MCO Encounters
PRTF	SCOS 0017 OR 0041	Fee-for-service Claims
	Revenue Code 911	LME/MCO Encounters
1915(b)(3) Services⁶		
Transitional Living Skills	H2022 U4	LME/MCO
Intensive Recovery Supports	T1012	Encounters only
In Home Skill Building⁷	T2013	
Personal Care/Individual Support	T1019	
Respite	H0045	
One Time Transitional Cost	H0043	
Supported Employment	H2023	
Supported Employment Maintenance	H2026	
Innovations Waiver Services	See List Below with addition of U4 modifier	
Innovations Waiver Services (Applicable to Waiver Enrollees Only)		
T2027	Day Supports - Developmental Day	LME/MCO Encounters
T2021	Day Supports - Individual/Group	LME/MCO Encounters
T2041	Community Navigator Community Navigator Training for Employer of Record	LME/MCO Encounters
H2015	Community Networking - Individual/Group Community Networking - Classes/Conferences	LME/MCO Encounters
T2025	Crisis Behavioral Consultation Specialized Consultative Services Financial Supports Supplies	LME/MCO Encounters LME/MCO Encounters
T1015	In Home Intensive	LME/MCO Encounters
T2013	Community Living and Supports In Home Skill Building - Individual/Group	LME/MCO Encounters
S5125	Personal Care	LME/MCO Encounters
H2011	Crisis Intervention & Stabilization Supports	LME/MCO Encounters
S5150	Respite Care - Community Individual/Community Group/Community Facility	LME/MCO Encounters
T1005	Respite Care Nursing - LPN/RN	LME/MCO Encounters
H2025	Supported Employment - Individual/Group	LME/MCO Encounters

⁵ The State-defined SCOS field is based on provider taxonomy.

⁶ Note that DHHS will submit a State Plan Amendment to add Peer Supports (currently a 1915(b)(3) service) to the State Plan. Once approved, this service will be offered via the Standard and Behavioral Health I/DD Tailored Plans. As such, this service was not used to qualify beneficiaries as eligible for the Behavioral Health I/DD Tailored Plan.

⁷ In Home Skill Building is an addition since the documentation released in Summer 2019

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Description	Code ³	Applicable Dataset ⁴
	Supported Employment - Long Term Follow-Up Individual/ Long Term Follow-Up Group	
T2034	Out of Home Crisis	LME/MCO Encounters
H2016	Residential Supports 1 Residential Supports 4	LME/MCO Encounters
T2014	Residential Supports 2	LME/MCO Encounters
T2020	Residential Supports 3	LME/MCO Encounters
T2033	Supported Living – Level 1 Supported Living – Level 2 Supported Living – Level 3	LME/MCO Encounters
T2029	Assistive Technology - Equipment and Supplies	LME/MCO Encounters
T2038	Community Transition Supports	LME/MCO Encounters
S5165	Home Modifications	LME/MCO Encounters
T1999	Individual Goods and Services	LME/MCO Encounters
S5110	Natural Supports Education	LME/MCO Encounters
S5111	Natural Supports Education - Conference	LME/MCO Encounters
T2039	Vehicle Adaptations	LME/MCO Encounters
TBI Waiver Services (Applicable to Waiver Enrollees Only)		
S5102	Adult Day Health	LME/MCO Encounters
H2011	Crisis Intervention and Stabilization	LME/MCO Encounters
H2015	Community Networking - Individual and Group Community Networking - Classes and conferences	LME/MCO Encounters
H2016	Residential Supports 1	LME/MCO Encounters
T2014	Residential Supports 2	LME/MCO Encounters
T2020	Residential Supports 3	LME/MCO Encounters
H2025	Supported Employment - Individual and Group	LME/MCO Encounters
S5110	Natural Supports Education	LME/MCO Encounters
S5111	Natural Supports Education - Conference	LME/MCO Encounters
S5125	Personal Care	LME/MCO Encounters
S5150	Respite Care - Community Individual/Group/Institutional	LME/MCO Encounters
S5165	Home Modifications	LME/MCO Encounters
T1005	Respite Care Nursing - RN and LPN	LME/MCO Encounters
T1015	In Home Intensive	LME/MCO Encounters
T1999	Individual Goods and Services	LME/MCO Encounters
T2013	Life Skills Training - Individual and Group	LME/MCO Encounters
T2021	Day Supports - Individual and Group	LME/MCO Encounters
T2025	Specialized Consultative Services Financial Supports Crisis Behavioral Consultation	LME/MCO Encounters
T2029	Assistive Technology - Equipment and Supplies	LME/MCO Encounters
T2034	Out of Home Crisis	LME/MCO Encounters
T2038	Community Transition Supports	LME/MCO Encounters
T2039	Vehicle Adaptations	LME/MCO Encounters
T2041	Resource Facilitation	LME/MCO Encounters
97127 (prior to 12/31/2019);	Cognitive Rehabilitation	LME/MCO Encounters

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Description	Code ³	Applicable Dataset ⁴
97129 and 97130 (effective 1/1/2020)		
<i>In-Lieu-Of Services (ILOS) Currently Offered by LME-MCOs⁸</i>		
ILOS utilization was included in the eligibility criteria for those services covered in-lieu of a Tailored Plan Only service The following ILOS were <u>not</u> included in the Behavioral Health I/DD Tailored Plan eligibility criteria as these services can be offered through the Standard Plans:		LME/MCO Encounters
<ul style="list-style-type: none"> Behavioral Health Urgent Care Outpatient Plus Rapid Care Services Behavioral Health Crisis Assessment and Intervention Child First Outpatient 		

Table 2: I/DD Diagnosis Code List

The following diagnosis code list was applied to both the FFS claims and LME/MCO encounters. All available diagnostic positions were considered. Codes in red text have been added since the August 2019 update. Codes denoted with an asterisk (*) will be re-evaluated prior to launch of the Behavioral Health I/DD Tailored Plans.

Code	Description	Code	Description
D82.1	Di George's syndrome	F84.9	Pervasive developmental disorder, unspecified*
E70.0	Classical phenylketonuria	G31.81	Alpers disease
E75.00	GM2 gangliosidosis, unspecified	G31.82	Leigh's Disease
E75.01	Sandhoff disease	G80.0	Spastic quadriplegic cerebral palsy
E75.02	Tay-Sachs disease	G80.3	Athetoid cerebral palsy
E75.09	Other GM2 gangliosidosis	Q05.4	Unspecified Spina Bifida With Hydrocephalus
E75.10	Unspecified gangliosidosis	Q05.8	Sacral spina bifida without hydrocephalus
E75.11	Mucopolipidosis IV	Q07.02	Arnold-Chiari Syndrome with Hydrocephalus
E75.19	Other Gangliosidosis	Q07.03	Arnold-Chiari Syndrome With Spina Bifida And Hydrocephalus
E75.23	Krabbe disease	Q85.1	Tuberous sclerosis
E75.25	Metachromatic Leukodystrophy	Q86.0	Fetal Alcohol Syndrome
E75.29	Other Sphingolipidosis	Q87.1	Congenital malformation syndromes predominantly associated with short stature (includes Prader-Willi)
E75.4	Neuronal ceroid lipofuscinosis	Q90.0	Trisomy 21, nonmosaicism (meiotic nondisjunction)
E76.01	Hurler's syndrome	Q90.1	Trisomy 21, mosaicism (mitotic nondisjunction)
E76.1	Mucopolysaccharidosis, type II	Q90.2	Trisomy 21, translocation
E76.22	Sanfilippo Mucopolysaccharidoses	Q90.9	Down Syndrome, Unspecified
E76.29	Other Mucopolysaccharidoses	Q91.0	Trisomy 18, nonmosaicism (meiotic nondisjunction)
E76.3	Mucopolysaccharidosis, unspecified	Q91.1	Trisomy 18, mosaicism (mitotic nondisjunction)
E77.1	Defects In Glycoprotein Degradation	Q91.2	Trisomy 18, translocation
E78.71	Barth syndrome	Q91.3	Trisomy 18, unspecified
E78.72	Smith-Lemli-Opitz Syndrome	Q91.4	Trisomy 13, nonmosaicism (meiotic nondisjunction)

⁸ Please refer to the various LME/MCO rate schedules for a list of ILOS and corresponding procedure codes as this varies by LME/MCO.

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Code	Description	Code	Description
F70	Mild intellectual disabilities	Q91.5	Trisomy 13, mosaicism (mitotic nondisjunction)
F71	Moderate intellectual disabilities	Q91.6	Trisomy 13, translocation
F72	Severe intellectual disabilities	Q91.7	Trisomy 13, unspecified
F73	Profound intellectual disabilities	Q93.4	Deletion of short arm of chromosome 5
F84.0	Autistic Disorder	Q93.82	Williams syndrome (code as of 1/1/2019, previously Q89.8)
F84.2	Rett's Syndrome	Q93.51	Angelman syndrome (code as of 1/1/2019, previously Q93.5)
F84.3	Other childhood disintegrative disorder	Q98.4	Klinefelter syndrome, unspecified
F84.5	Asperger's syndrome*	Q99.2	Fragile X Chromosome
F84.8	Other pervasive developmental disorders*		

Table 3.1: SED Diagnosis Only Code List (No Enhanced Service Use Required for Qualification)

The following diagnosis code list was applied to both the fee-for-service claims and LME/MCO encounters for beneficiaries under age 18. All available diagnosis positions were considered. *Diagnosis codes in red were proposed in August 2019 to be treated the same as the diagnosis codes in Table 3.2.*

Code	Description	Code	Description
F20.0	Paranoid schizophrenia	F24	Shared psychotic disorder
F20.1	Disorganized schizophrenia	F25.0	Schizoaffective disorder, bipolar type
F20.2	Catatonic schizophrenia	F25.1	Schizoaffective disorder, depressive type
F20.3	Undifferentiated schizophrenia	F25.8	Other schizoaffective disorders
F20.5	Residual schizophrenia	F25.9	Schizoaffective disorder, unspecified
F20.81	Schizophreniform disorder	F31.2	Bipolar disorder, current episode manic severe with psychotic features
F20.89	Other schizophrenia	F31.5	Bipolar disorder, current episode depressed, severe, with psychotic features
F20.9	Schizophrenia, unspecified	F31.64	Bipolar disorder, current episode mixed, severe, with psychotic features
F22	Delusional disorders	F32.3	Major depressive disorder, single episode, severe with psychotic features
F23	Brief psychotic disorder	F33.3	Major depressive disorder, recurrent, severe with psychotic symptoms

Table 3.2: SED Diagnosis Code List (Enhanced Service Use Required for Qualification)

The following diagnosis code list was applied to both the fee-for-service claims and LME/MCO encounters for beneficiaries under age 18. Beneficiaries must have at least one claim with a diagnosis code from the list below in the primary position and at least one claim for an enhanced service (see Table 6) during the lookback period.

Code	Description	Code	Description
F06.30	Mood disorder due to known physiological condition, unspecified	F33.40	Major depressive disorder, recurrent, in remission, unspecified
F06.31	Mood disorder due to known physiological condition with depressive features	F33.41	Major depressive disorder, recurrent, in partial remission
F06.32	Mood disorder due to physiological condition with major depressive-like episode	F33.42	Major depressive disorder, recurrent, in full remission
F06.8	Other mental disorders due to known physiological condition	F33.8	Other recurrent depressive disorders
F09	Unspecified mental disorder due to known physiological condition	F33.9	Major depressive disorder, recurrent, unspecified
F28	Other psychotic disorder not due to a substance or known physiological condition	F34.1	Dysthymic disorder

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Code	Description	Code	Description
F29	Unspecified psychosis not due to a substance or known physiological condition	F34.8	Other persistent mood [affective] disorders
F30.10	Manic episode without psychotic symptoms, unspecified	F34.9	Persistent mood [affective] disorder, unspecified
F30.11	Manic episode without psychotic symptoms, mild	F39	Unspecified mood [affective] disorder
F30.12	Manic episode without psychotic symptoms, moderate	F40.00	Agoraphobia, unspecified
F30.13	Manic episode, severe, without psychotic symptoms	F40.01	Agoraphobia with panic disorder
F30.2	Manic episode, severe with psychotic symptoms	F40.02	Agoraphobia without panic disorder
F30.3	Manic episode in partial remission	F40.10	Social phobia, unspecified
F30.4	Manic episode in full remission	F40.11	Social phobia, generalized
F30.8	Other manic episodes	F40.8	Other phobic anxiety disorders
F30.9	Manic episode, unspecified	F41.0	Panic disorder without agoraphobia
F31.0	Bipolar disorder, current episode hypomanic	F41.1	Generalized anxiety disorder
F31.10	Bipolar disorder, current episode manic without psychotic features, unspecified	F41.3	Other mixed anxiety disorders
F31.11	Bipolar disorder, current episode manic without psychotic features, mild	F41.8	Other specified anxiety disorders
F31.12	Bipolar disorder, current episode manic without psychotic features, mod	F41.9	Anxiety disorder, unspecified
F31.13	Bipolar disorder, current episode manic without psychotic features, severe	F42	Obsessive-compulsive disorder
F31.30	Bipolar disorder, current episode depressed, mild or mod severity, unspecified	F43.10	Post-traumatic stress disorder, unspecified
F31.31	Bipolar disorder, current episode depressed, mild	F43.12	Post-traumatic stress disorder, chronic
F31.32	Bipolar disorder, current episode depressed, moderate	F44.89	Other dissociative and conversion disorders
F31.4	Bipolar disorder, current episode depressed, severe, without psychotic features	F50.00	Anorexia nervosa, unspecified
F31.60	Bipolar disorder, current episode mixed, unspecified	F50.01	Anorexia nervosa, restricting type
F31.61	Bipolar disorder, current episode mixed, mild	F50.02	Anorexia nervosa, binge eating/purging type
F31.62	Bipolar disorder, current episode mixed, moderate	F50.2	Bulimia nervosa
F31.63	Bipolar disorder, current episode mixed, severe, without psychotic features	F50.8	Other eating disorders
F31.70	Bipolar disorder, currently in remission, most recent episode unspecified	F50.82	Avoidant/restrictive food intake disorder
F31.71	Bipolar disorder, in partial remission, most recent episode hypomanic	F50.9	Eating disorder, unspecified
F31.72	Bipolar disorder, in full remission, most recent episode hypomanic	F63.1	Pyromania
F31.73	Bipolar disorder, in partial remission, most recent episode manic	F63.3	Trichotillomania
F31.74	Bipolar disorder, in full remission, most recent episode manic	F63.81	Intermittent explosive disorder

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Code	Description	Code	Description
F31.75	Bipolar disorder, in partial remission, most recent episode depressed	F63.89	Other impulse disorders
F31.76	Bipolar disorder, in full remission, most recent episode depressed	F90.0	Attention-deficit hyperactivity disorder, predominantly inattentive type
F31.77	Bipolar disorder, in partial remission, most recent episode mixed	F90.1	Attention-deficit hyperactivity disorder, predominantly hyperactive type
F31.78	Bipolar disorder, in full remission, most recent episode mixed	F90.2	Attention-deficit hyperactivity disorder, combined type
F31.81	Bipolar II disorder	F90.8	Attention-deficit hyperactivity disorder, other type
F31.89	Other bipolar disorder	F90.9	Attention-deficit hyperactivity disorder, unspecified type
F31.9	Bipolar disorder, unspecified	F91.0	Conduct disorder confined to family context
F32.0	Major depressive disorder, single episode, mild	F91.1	Conduct disorder, childhood-onset type
F32.1	Major depressive disorder, single episode, moderate	F91.2	Conduct disorder, adolescent-onset type
F32.2	Major depressive disorder, single episode, severe without psychotic features	F91.3	Oppositional defiant disorder
F32.4	Major depressive disorder, single episode, in partial remission	F91.8	Other conduct disorders
F32.5	Major depressive disorder, single episode, in full remission	F91.9	Conduct disorder, unspecified
F32.8	Other depressive episodes	F94.1	Reactive attachment disorder of childhood
F32.9	Major depressive disorder, single episode, unspecified	F94.2	Disinhibited attachment disorder of childhood
F33.0	Major depressive disorder, recurrent, mild	F98.8	Other specified behavioral and emotional disorders with onset usually occurring in childhood and adolescence
F33.1	Major depressive disorder, recurrent, moderate	F99	Mental disorder, not otherwise specified
F33.2	Major depressive disorder, recurrent severe without psychotic features		

Table 4.1: SMI Diagnosis Only Code List (No Enhanced Service Use Required for Qualification)

The following diagnosis code list was applied to both the fee-for-service claims and LME/MCO encounters for beneficiaries ages 18 and older. All available diagnosis positions were considered. *Diagnosis codes in red were proposed in August 2019 to be treated the same as the diagnosis codes in Table 4.2.*

Code	Description	Code	Description
F20.0	Paranoid schizophrenia	F25.1	Schizoaffective disorder, depressive type
F20.1	Disorganized schizophrenia	F25.8	Other schizoaffective disorders
F20.2	Catatonic schizophrenia	F25.9	Schizoaffective disorder, unspecified
F20.3	Undifferentiated schizophrenia	F31.2	Bipolar disorder, current episode manic severe with psychotic features
F20.5	Residual schizophrenia	F31.5	Bipolar disorder, current episode depressed, severe, with psychotic features
F20.81	Schizophreniform disorder	F31.64	Bipolar disorder, current episode mixed, severe, with psychotic features
F20.89	Other schizophrenia	F32.3	Major depressive disorder, single episode, severe with psychotic features
F20.9	Schizophrenia, unspecified	F33.3	Major depressive disorder, recurrent, severe with psychotic symptoms
F25.0	Schizoaffective disorder, bipolar type		

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Table 4.2: SMI Diagnosis Code List (Enhanced Service Use Required for Qualification)⁹

The following diagnosis code list was applied to both the fee-for-service claims and LME/MCO encounters for beneficiaries ages 18 and older. Beneficiaries must have at least one claim with a diagnosis code from the list below in the primary position and at least one claim for an enhanced service (see Table 6) during the lookback period.

Code	Description	Code	Description
F21	Schizotypal disorder	F31.9	Bipolar disorder, unspecified
F22	Delusional Disorder Unspecified	F32.0	Major depressive disorder, single episode, mild
F29	Unspecified psychosis not due to a substance or known physiological condition	F32.1	Major depressive disorder, single episode, moderate
F30.13	Manic episode, severe, without psychotic symptoms	F32.2	Major depressive disorder, single episode, severe without psychotic features
F30.2	Manic episode, severe with psychotic symptoms	F32.4	Major depressive disorder, single episode, in partial remission
F31.0	Bipolar disorder, current episode hypomanic	F32.9	Major depressive disorder, single episode, unspecified
F31.10	Bipolar disorder, current episode manic without psychotic features, unspecified	F33.0	Major depressive disorder, recurrent, mild
F31.11	Bipolar disorder, current episode manic without psychotic features, mild	F33.1	Major depressive disorder, recurrent, moderate
F31.12	Bipolar disorder, current episode manic without psychotic features, moderate	F33.2	Major depressive disorder, recurrent severe without psychotic features
F31.13	Bipolar disorder, current episode manic without psychotic features, severe	F33.41	Major depressive disorder, recurrent, in partial remission
F31.30	Bipolar disorder, current episode depressed, mild or mod severity, unspecified	F33.9	Major depressive disorder, recurrent, unspecified
F31.31	Bipolar disorder, current episode depressed, mild	F40.00	Agoraphobia, unspecified
F31.32	Bipolar disorder, current episode depressed, moderate	F40.01	Agoraphobia with panic disorder
F31.4	Bipolar disorder, current episode depressed, severe, without psychotic features	F41.0	Panic disorder without agoraphobia
F31.60	Bipolar disorder, current episode mixed, unspecified	F41.1	Generalized anxiety disorder
F31.61	Bipolar disorder, current episode mixed, mild	F42	Obsessive-compulsive disorder
F31.62	Bipolar disorder, current episode mixed, moderate	F42.3	Hoarding disorder
F31.63	Bipolar disorder, current episode mixed, severe, without psychotic features	F43.10	Post-traumatic stress disorder, unspecified
F31.70	Bipolar disorder, currently in remission, most recent episode unspecified	F43.11	Post-traumatic stress disorder, acute
F31.71	Bipolar disorder, in partial remission, most recent episode hypomanic	F43.12	Post-traumatic stress disorder, chronic
F31.72	Bipolar disorder, in full remission, most recent episode hypomanic	F44.2	Dissociative stupor
F31.73	Bipolar disorder, in partial remission, most recent episode manic	F44.81	Dissociative identity disorder

⁹ F20.8 is not a billable code and was included in Table 4 of the Summer 2019 version of this document in error, it has been removed from this version.

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Code	Description	Code	Description
F31.74	Bipolar disorder, in full remission, most recent episode manic	F44.9	Dissociative and conversion disorder, unspecified
F31.75	Bipolar disorder, in partial remission, most recent episode depressed	F50.00	Anorexia nervosa, unspecified
F31.76	Bipolar disorder, in full remission, most recent episode depressed	F50.01	Anorexia nervosa, restricting type
F31.77	Bipolar disorder, in partial remission, most recent episode mixed	F50.02	Anorexia nervosa, binge eating/purging type
F31.78	Bipolar disorder, in full remission, most recent episode mixed	F50.2	Bulimia nervosa
F31.81	Bipolar II disorder	F53	Puerperal psychosis
F31.89	Other bipolar disorder	F60.3	Borderline Personality Disorder

Table 5: SUD Diagnosis Code List (Enhanced Service Use in Table 6 Required for Qualification)

The following diagnosis code list was applied to both the fee-for-service claims and LME/MCO encounters. Beneficiaries must have at least one claim with a diagnosis code from the list below in the primary position and at least one claim for an enhanced service (see Table 6) during the lookback period.

Code	Description	Code	Description
F10.10	Alcohol abuse, uncomplicated	F14.220	Cocaine dependence with intoxication, uncomplicated
F10.121	Alcohol abuse with intoxication delirium	F14.23	Cocaine dependence with withdrawal
F10.20	Alcohol dependence, uncomplicated	F14.250	Cocaine dependence with cocaine-induced psychotic disorder with delusions
F10.22	Alcohol dependence with intoxication, uncomplicated	F14.251	Cocaine dependence with cocaine-induced psychotic disorder with hallucinations
F10.221	Alcohol dependence with intoxication delirium	F14.29	Cocaine dependence with unspecified cocaine-induced disorder
F10.23	Alcohol dependence with withdrawal, uncomplicated	F15.10	Other stimulant abuse, uncomplicated
F10.231	Alcohol dependence with withdrawal delirium	F15.20	Other stimulant dependence, uncomplicated
F10.232	Alcohol dependence with withdrawal with perceptual disturbance	F15.220	Other stimulant dependence with intoxication, uncomplicated
F10.239	Alcohol dependence with withdrawal, unspecified	F15.23	Other stimulant dependence with withdrawal
F10.25	Alcohol dependence with alcohol-induced psychotic disorder with delusions	F15.250	Other stimulant dependence with stimulant-induced psychotic disorder with delusions
F10.251	Alcohol dependence with alcohol-induced psychotic disorder with hallucinations	F15.251	Other stimulant dependence with stimulant-induced psychotic disorder with hallucinations
F10.29	Alcohol dependence with unspecified alcohol-induced disorder	F15.29	Other stimulant dependence with unspecified stimulant-induced disorder
F10.921	Alcohol use, unspecified with intoxication delirium	F15.929	Other stimulant use, unspecified with intoxication, unspecified
F11.10	Opioid abuse, uncomplicated	F15.93	Other stimulant use, unspecified with withdrawal
F11.120	Opioid abuse with intoxication, uncomplicated	F16.10	Hallucinogen abuse, uncomplicated
F11.129	Opioid abuse with intoxication, unspecified	F16.20	Hallucinogen dependence, uncomplicated
F11.20	Opioid dependence, uncomplicated	F16.220	Hallucinogen dependence with intoxication, uncomplicated
F11.22	Opioid dependence with intoxication, uncomplicated	F16.250	Hallucinogen dependence with hallucinogen-induced psychotic disorder with delusions

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Code	Description	Code	Description
F11.23	Opioid dependence with withdrawal	F16.251	Hallucinogen dependence with hallucinogen-induced psychotic disorder with hallucinations
F11.25	Opioid dependence with opioid-induced psychotic disorder with delusions	F16.283	Hallucinogen dependence with hallucinogen persisting perception disorder (flashbacks)
F11.251	Opioid dependence with opioid-induced psychotic disorder with hallucinations	F16.288	Hallucinogen dependence with other hallucinogen-induced disorder
F11.259	Opioid dependence with opioid-induced psychotic disorder, unspecified	F16.29	Hallucinogen dependence with unspecified hallucinogen-induced disorder
F11.29	Opioid dependence with unspecified opioid-induced disorder	F18.10	Inhalant abuse, uncomplicated
F11.90	Opioid use, unspecified, uncomplicated	F18.20	Inhalant dependence, uncomplicated
F11.93	Opioid use, unspecified with withdrawal	F18.220	Inhalant dependence with intoxication, uncomplicated
F12.10	Cannabis abuse, uncomplicated	F18.250	Inhalant dependence with inhalant-induced psychotic disorder with delusions
F12.20	Cannabis dependence, uncomplicated	F18.251	Inhalant dependence with inhalant-induced psychotic disorder with hallucinations
F12.220	Cannabis dependence with intoxication, uncomplicated	F18.29	Inhalant dependence with unspecified inhalant-induced disorder
F12.250	Cannabis dependence with psychotic disorder with delusions	F19.10	Other psychoactive substance abuse, uncomplicated
F12.251	Cannabis dependence with psychotic disorder with hallucinations	F19.20	Other psychoactive substance dependence, uncomplicated
F12.288	Cannabis dependence with other cannabis-induced disorder	F19.220	Other psychoactive substance dependence with intoxication, uncomplicated
F12.29	Cannabis dependence with unspecified cannabis-induced disorder	F19.222	Other psychoactive substance dependence with intoxication with perceptual disturbance
F12.90	Cannabis use, unspecified, uncomplicated	F19.230	Other psychoactive substance dependence with withdrawal, uncomplicated
F13.10	Sedative, hypnotic or anxiolytic abuse, uncomplicated	F19.231	Other psychoactive substance dependence with withdrawal delirium
F13.20	Sedative, hypnotic or anxiolytic dependence, uncomplicated	F19.232	Other psychoactive substance dependence with withdrawal with perceptual disturbance
F13.220	Sedative, hypnotic or anxiolytic dependence with intoxication, uncomplicated	F19.239	Other psychoactive substance dependence with withdrawal, unspecified
F13.230	Sedative, hypnotic or anxiolytic dependence with withdrawal, uncomplicated	F19.24	Other psychoactive substance dependence with mood disorder
F13.231	Sedative, hypnotic or anxiolytic dependence with withdrawal delirium	F19.250	Other psychoactive substance dependence with psychoactive substance-induced psychotic disorder with delusions
F13.232	Sedative, hypnotic or anxiolytic dependence with withdrawal with perceptual disturb	F19.251	Other psychoactive substance dependence with psychoactive substance-induced psychotic disorder with hallucinations
F13.239	Sedative, hypnotic or anxiolytic dependence with withdrawal, unspecified	F19.259	Other psychoactive substance dependence with psychotic disorder, unspecified
F13.250	Sedative, hypnotic or anxiolytic dependence with sedative, hypnotic or anxiolytic-induced psychotic disorder with delusions	F19.26	Other psychoactive substance dependence with persist amnestic disorder

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Code	Description	Code	Description
F13.251	Sedative, hypnotic or anxiolytic dependence with sedative, hypnotic or anxiolytic-induced psychotic disorder with hallucinations	F19.280	Other psychoactive substance dependence with anxiety disorder
F13.26	Sedative, hypnotic or anxiolytic dependence with sedative, hypnotic or anxiolytic-induced persisting amnesic disorder	F19.281	Other psychoactive substance dependence with sexual dysfunction
F13.29	Sedative, hypnotic or anxiolytic dependence with unspecified sedative, hypnotic or anxiolytic-induced disorder	F19.282	Other psychoactive substance dependence with sleep disorder
F14.10	Cocaine abuse, uncomplicated	F19.288	Other psychoactive substance dependence with other disorder
F14.20	Cocaine dependence, uncomplicated	F19.29	Other psychoactive substance dependence with unspecified disorder

Table 6: Enhanced Behavioral Health Services

The following service code list was applied to both the fee-for-service claims and LME/MCO encounters.

Description	Code ¹⁰
Non-Hospital Medical Detoxification	H0010
SA Non-Medical Community Residential Treatment	H0012
SA Medically Monitored Community Residential Treatment	H0013
Ambulatory Detoxification	H0014
SA Intensive Outpatient Program	H0015
Residential Level 3 or Level 4	H0019
Opioid Treatment	H0020
Partial Hospital	H0035
Assertive Community Treatment Team	H0040
Residential Level 1	H0046
Mobile Crisis Management	H2011
Child/Adolescent Day Treatment	H2012
Community Support	H2015
Psychosocial Rehabilitation	H2017
Residential Level 2 – Group Home	H2020
Intensive In-Home Services	H2022
Multi-Systemic Therapy	H2033
SA Comprehensive Outpatient Treatment Program	H2035
Medically Monitored or ADATC Detoxification/Crisis Stabilization	H2036
Residential Level 2 - Family Setting	S5145
Facility-Based Crisis	S9484

¹⁰ Behavioral Health I/DD Tailored Plan data logic did not rely on the modifier field.

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Table 7: Clozapine and Long-Acting Injectable Antipsychotics

The following products were used in the identification of clozapine and long-acting injectable antipsychotics. This list is current as of December 2020 and will be updated as needed by DHHS.

ABILIFY MAINTENA ER 300 MG SYR	HALDOL DECANOATE 50 AMPUL
ABILIFY MAINTENA ER 300 MG VL	HALOPERIDOL DEC 100 MG/ML AMP
ABILIFY MAINTENA ER 400 MG SYR	HALOPERIDOL DEC 100 MG/ML VIAL
ABILIFY MAINTENA ER 400 MG VL	HALOPERIDOL DEC 250 MG/5 ML VL
ARISTADA ER 1064 MG/3.9 ML SYR	HALOPERIDOL DEC 50 MG/ML VIAL
ARISTADA ER 441 MG/1.6 ML SYRN	HALOPERIDOL DEC 500 MG/5 ML VL
ARISTADA ER 662 MG/2.4 ML SYRN	HALOPERIDOL DECAN 50 MG/ML AMP
ARISTADA ER 882 MG/3.2 ML SYRN	INVEGA SUSTENNA 117 MG/0.75 ML
ARISTADA INITIO ER 675 MG/2.4	INVEGA SUSTENNA 156 MG/ML SYRG
CLOZAPINE 100 MG TABLET	INVEGA SUSTENNA 234 MG/1.5 ML
CLOZAPINE 200 MG TABLET	INVEGA SUSTENNA 39 MG/0.25 ML
CLOZAPINE 25 MG TABLET	INVEGA SUSTENNA 78 MG/0.5 ML
CLOZAPINE 50 MG TABLET	INVEGA TRINZA 273 MG/0.875 ML
CLOZAPINE ODT 100 MG TABLET	INVEGA TRINZA 410 MG/1.315 ML
CLOZAPINE ODT 12.5 MG TABLET	INVEGA TRINZA 546 MG/1.75 ML
CLOZAPINE ODT 150 MG TABLET	INVEGA TRINZA 819 MG/2.625 ML
CLOZAPINE ODT 200 MG TABLET	PERSERIS ER 120 MG SYRINGE KIT
CLOZAPINE ODT 25 MG TABLET	PERSERIS ER 90 MG SYRINGE KIT
CLOZARIL 100 MG TABLET	RISPERDAL CONSTA 12.5 MG VIAL
CLOZARIL 200 MG TABLET	RISPERDAL CONSTA 25 MG VIAL
CLOZARIL 25 MG TABLET	RISPERDAL CONSTA 37.5 MG VIAL
CLOZARIL 50 MG TABLET	RISPERDAL CONSTA 50 MG VIAL
FAZACLO 100 MG ODT	VERSACLOZ 50 MG/ML SUSPENSION
FAZACLO 12.5 MG ODT	ZYPREXA RELPREVV 210 MG VL KIT
FLUPHENAZINE DEC 125 MG/5 ML	ZYPREXA RELPREVV 300 MG VL KIT
HALDOL DECANOATE 100 AMPUL	ZYPREXA RELPREVV 405 MG VL KIT