

**NC Division of Aging and Adult Services (DAAS)
 NC Area Agencies on Aging (AAAs)
 Monitoring Tool for entities receiving Title III-D
 Disease Prevention and Health Promotion Funds (ARMS Service Code 401)**

Provider Agency:
Date of Assessment:
Agency Staff Interviewed:
Interviewer:

Reference: DAAS Health Services Standards 2017:
https://files.nc.gov/ncdhhs/documents/files/health_services_standards.pdf
Guidance is also available on AoA's website:
http://www.aoa.gov/AoARoot/AoA_Programs/HPW/Title_IIID/index.aspx.

I. METHOD OF SERVICE

Are Title III-D funds being used appropriately using the U.S. Administration on Aging (AoA) graduated criteria known as “tiers” to define evidence-based interventions? Title III-D funds may be used to support Area Agency on Aging (AAA) staff who coordinate and support the implementation of evidence-based programs in their region.

Yes No

Describe exactly how Title III-D funds are being utilized.

Evidence-based Program	Yes	No
A Matter of Balance (AMOB)		
Chronic Disease Self-Management Program (CDSMP/Living Healthy)		
Diabetes Self-Management Program (DSMP/Living Healthy with Diabetes)		
Chronic Pain Self-Management Program (CPSMP)		
Positive Self-Management Program for HIV (PSMP)		
Tomando Control de Su Salud (Spanish CDSMP)		
Healthy IDEAS (Identifying Depression, Empowering Activities for Seniors)		
Fit and Strong!		
Walk with Ease		
Arthritis Foundation Life Series Program (Exercise, Aquatics Programs)		
Tai Chi Arthritis Foundation: Tai Chi		
Powerful Tools for Caregivers		
Tai Chi: Moving for Better Balance		
Geri-Fit		
Other (describe)		
Other (describe)		

II. CLIENT ELIGIBILITY

Persons served are at least 60 years of age or older, or spouses and/or caregiver of any age are served (*based on attendance logs, observation, etc.*)?

Yes No

III. PROGRAM INTEGRITY

- a. Is there evidence that Title III-D funds are being utilized to purchase medical service, prescription drugs, home safety devices or activities of daily living supply items?

Yes No If yes, describe below

- b. Is there evidence that Title III-D funds are being utilized for services which are paid for by Medicare (*such as flu shots, mammograms, pap smears, laboratory services, durable medical equipment*)?

Yes No If yes, describe below

- c. Is there evidence that Title III-D funds are being utilized for activities that are strictly recreational in nature? That is they are offered only for amusement (*such as movies, video games, Bingo*).

Yes No If yes, describe below

- d. Are programs offered by appropriately trained leaders, coaches, or instructors? It is essential that workshops are conducted with fidelity.

Yes No I don't know If yes, describe documentation reviewed, etc.

IV. CONSUMER CONTRIBUTION

Consumer Contribution guidance provided in Administrative Letter06-11:

<http://www.ncdhhs.gov/again/admltrs/2006/DAAS-06-11.pdf> and on DAAS' Consumer Contributions Policies and Procedures web page: <https://ncdhhs.s3.amazonaws.com/s3fs-public/documents/files/consumercontributions.pdf>

Agency records show they have procedures to enable participants to contribute to services and a system for collecting, depositing and recording program income/consumer contributions (*e.g. written policy, records of consumer contributions*)?

Yes No

V. REIMBURSEMENT METHODS

- a. Agency records show that expense forms are maintained and accurately reflect Title III-D funds utilized. Documentation shows allowable expenditures and request for reimbursement for non-unit services (*e.g., copies of support documents: invoices, purchase order, employee time records/date submitted*)?

Yes No N/A

Briefly describe expenses: (e.g. salary/fringe, supplies, materials, license, stipend, travel, other)

- b. Agency records show that the amount of Title III-D funds spent to-date agrees with ARMS amount (*e.g. compare agency amounts with AAA ZGA370-7, Provider Reimbursement Report for Title III-D*)?

Yes No