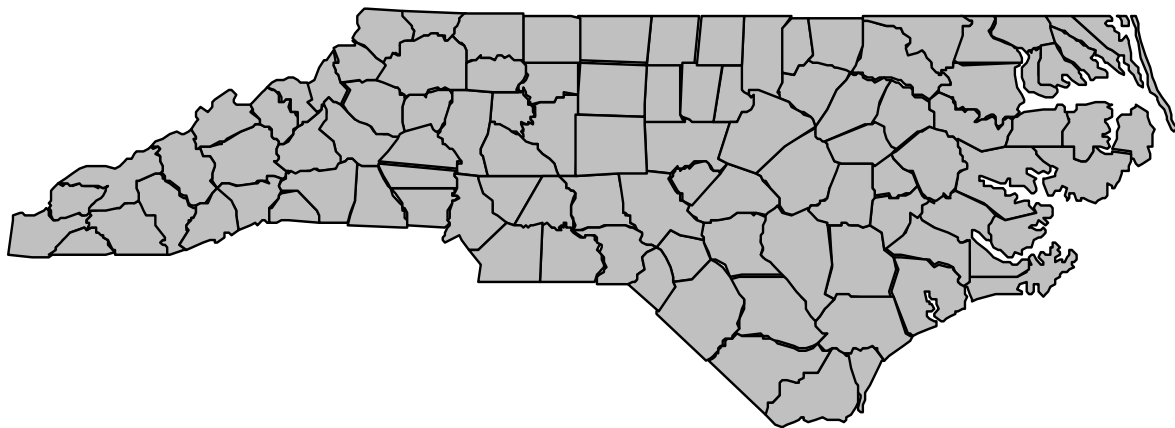


**North Carolina Department of Health and Human Services
Division of Mental Health, Developmental Disabilities,
and Substance Abuse Services**

**SFY 2019 Performance Contract
With Local Management Entities - Managed Care Organizations
Report/Data Submission Requirements**

**Fourth Quarter Report
April 1, 2019 - June 30, 2019**



Prepared by

North Carolina Department of Health and Human Services
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August 2019



NC DEPARTMENT OF
**HEALTH AND
HUMAN SERVICES**
Division of Mental Health,
Developmental Disabilities
and Substance Abuse Services



Introduction

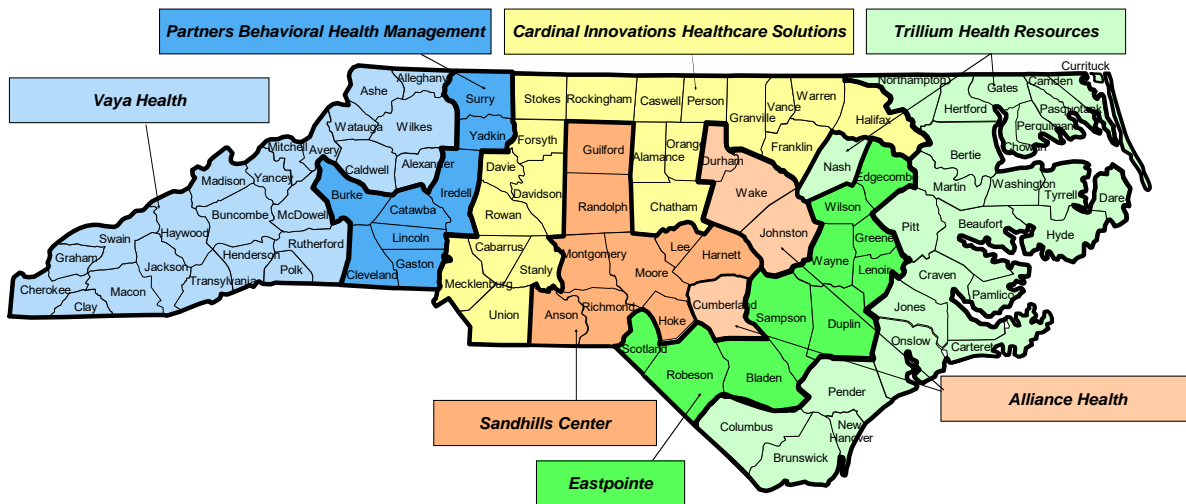
This is the **Fourth Quarter Report** for SFY 2018-2019 under the Performance Contract between the LME-MCOs and NC DHHS.

This report tracks LME-MCO performance (timeliness, completeness, accuracy) in submitting required data/reports to the Division of MH/DD/SAS. Some requirements are quarterly while others are semi-annual or annual requirements. For reasons of economy, only those requirements with a report due in the current quarter are included in this report.

The tables on the following pages list the report schedule, provide the performance requirements, and show LME-MCO performance for the current quarter. Data submission/report requirements that have been met are depicted with a star (★) in the standard met column for each report. If the requirement was not met, this column will be blank, and the element that caused the standard not to be met will be shaded red. Graphs at the end show each LME-MCO's overall performance compared with the state average over the past three state fiscal years on meeting reports and data submission requirements.

Overall, the LME-MCOs met 100 percent of the nine report submission requirements and 99 percent of the ten data submission requirements measured this quarter. Six LME-MCOs met all 19 report and data submission requirements this quarter. One LME-MCO met 18 of the 19 report and data submission requirements this quarter.

Map of LME-MCOs and the Counties they Serve



Questions or Concerns

If staff of an LME-MCO have questions about any of the individual requirements or believe that information contained in this report is in error, they should contact their LME-MCO liaison within 30 days of the report date. The LME-MCO liaison will assist in getting answers to questions and/or having errors corrected. The Division will publish a revised report at the time of the next quarterly report if corrections are necessary due to Division errors.

SFY 2019 Performance Contract
 Report/Data Submission Requirements
 Fourth Quarter Report
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SFY 2019 Performance Contract Report Schedule

*The table below shows which requirements will be reported by quarter**

Requirement	1st Qtr Nov 30	2nd Qtr Feb 28	3rd Qtr May 30	4th Qtr Aug 30
1. Monthly Financial Reports	X	X	X	X
2. Substance Abuse/Juvenile Justice Initiative Quarterly Report	X	X	X	X
3. Work First Initiative Quarterly Reports	X	X	X	X
4. Traumatic Brain Injury (TBI) Services Quarterly Report	X	X	X	X
5. Quarterly Complaints Report	X	X	X	X
6. Client Data Warehouse (CDW) - Admissions	X	X	X	X
7. Client Data Warehouse (CDW) - Diagnosis Record	X	X	X	X
8. Client Data Warehouse (CDW) - Unknown Data (Admissions)	X	X	X	X
9. Client Data Warehouse (CDW) - Unknown Data (Discharges)	X	X	X	X
10. Client Data Warehouse (CDW) - Identifying and Demographic Records	X	X	X	X
11. Client Data Warehouse (CDW) - Drug of Choice	X	X	X	X
12. Client Data Warehouse (CDW) - Episode Completion Record (SA Clients)	X	X	X	X
13. NC Treatment Outcomes and Program Performance System (Initial)	Report under revision			
14. NC Treatment Outcomes and Program Performance System (3-Month Update)	X	X	X	X
15. NC Treatment Outcomes and Program Performance System (6-Month Update)	X	X	X	X
16. NC Treatment Outcomes and Program Performance System (12-Month Update)	X	X	X	X
17. NC Support Needs Assessment Profile (NC-SNAP)	X	X	X	X
18. System of Care Report		X		X
19. SAPTBG Compliance Report		X		X
20. National Core Indicators (NCI) Consents, Pre-Surveys, and Mail Surveys				X
21. Traumatic Brain Injury (TBI) Services Annual Report				X

*The dates listed for the quarterly reports are the scheduled dates for the Division to publish the Performance Contract Report. For this to happen, LME-MCO required reports are due to the Division's Report Contact/Requirement Sponsor by the due date indicated on the report (typically the end of the month prior to publishing), and the Report Contact/Requirement Sponsor's reports are due to the Division's Quality Management Section by the 15th of the month indicated above.

**SFY 2019 Performance Contract Report/Data Submission Requirements Summary Of Performance
Fourth Quarter Report
April 1, 2019 - June 30, 2019**

Report Submission Measures

Data Submission Measures

LME-MCO	Report Submission Measures												Data Submission Measures													
	Number of Report Submission Measures Met	Total Number of Report Submission Measures *	Percent of Report Submission Measures Met	1. Monthly Financial Report	2. SA/LU Initiative Quarterly Report	3. Worst First Initiative Quarterly Report	4. TBI Services Quarterly Report	5. Quarterly Complaints Report	18. System of Care Quarterly Report	19. SAPTEG Compliance Semi-Annual Report	20. National Core Indicators Consents, Pre-Surveys and Mail Surveys	21. TBI Services Annual Report	Number of Data Submission Measures Met	Total Number of Data Submission Measures	Percent of Data Submission Measures Met	7. CDW - Diagnostic Record	8. CDW - Unknown Data (Admissions)	9. CDW - Unknown Data (Discharges)	10. CDW - Identifying and Demographic Records	11. CDW - Drug of Choice	12. CDW - Episode Completion Records (SA Clients)	14. NC TOPPS - 3 Month Update	15. NC TOPPS - 6 Month Update	16. NC TOPPS - 12 Month Update	17. NC-SMAP	
Alliance Health	9	9	100%	★	★	★	★	★	★	★	★	★	10	10	100%	★	★	★	★	★	★	★	★	★	★	★
Cardinal Innovations Healthcare Solutions	9	9	100%	★	★	★	★	★	★	★	★	★	10	10	100%	★	★	★	★	★	★	★	★	★	★	★
Eastpointe	9	9	100%	★	★	★	★	★	★	★	★	★	10	10	100%	★	★	★	★	★	★	★	★	★	★	★
Partners Behavioral Health Management	9	9	100%	★	★	★	★	★	★	★	★	★	10	10	100%	★	★	★	★	★	★	★	★	★	★	★
Sandhills Center	9	9	100%	★	★	★	★	★	★	★	★	★	10	10	100%	★	★	★	★	★	★	★	★	★	★	★
Trillium Health Resources	9	9	100%	★	★	★	★	★	★	★	★	★	9	10	90%	★	★	★	★	★	★		★	★	★	★
Vaya Health	9	9	100%	★	★	★	★	★	★	★	★	★	10	10	100%	★	★	★	★	★	★	★	★	★	★	★
STATEWIDE - Number			100%	7	7	7	7	7	7	7	7	7			99%	7	7	7	7	7	6	7	7	7	7	7
STATEWIDE - Percent				100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	100.0%				100.0%	100.0%	100.0%	100.0%	100.0%	100.0%	85.7%	100.0%	100.0%	100.0%	100.0%

- * This column shows the total number of **report submission** measures that apply this quarter. Some requirements are quarterly while others are semi-annual or annual requirements.
- ★ Indicates the LME-MCO met the performance standard for the measure.
- % Percents that are highlighted green indicate the LME-MCO met the performance standards for at least 65% of the measures in the respective category (e.g. report submission and/or data submission). Meeting the performance standards for at least 65% of the measures is one of the factors considered in LME-MCO monitoring decisions.
- N/A Indicates measures that were not applicable this quarter.

SFY 2019 Performance Contract Data/Report Submission Requirements
Fourth Quarter Report
April 1, 2019 - June 30, 2019

1. Monthly Financial Reports

Performance Requirement: LME-MCO submits all required monthly financial reports in acceptable format, completeness, and accuracy by the 20th of the month (or next business day if the due date is a weekend or holiday) following the month covered by the report. For example, the financial report covering the month of Jan is due by Feb 20.

SFY 2019 Standard: Reports are accurate, complete, and received by the due date.

LME-MCO	MAR Report Due 4/22/19		APR Report Due 5/20/19		MAY Report Due 6/20/19		Standard Met ¹
	Date Received ²	Accurate, Complete	Date Received ²	Accurate, Complete	Date Received ²	Accurate, Complete	
Alliance Health	4/22/19	Yes	5/20/19	Yes	6/20/19	Yes	★
Cardinal Innovations Healthcare Solutions	4/18/19	Yes	5/20/19	Yes	6/20/19	Yes	★
Eastpointe	4/17/19	Yes	5/15/19	Yes	6/19/19	Yes	★
Partners Behavioral Health Management	4/16/19	Yes	5/16/19	Yes	6/17/19	Yes	★
Sandhills Center	4/18/19	Yes	5/20/19	Yes	6/20/19	Yes	★
Trillium Health Resources	4/18/19	Yes	5/20/19	Yes	6/20/19	Yes	★
Vaya Health	4/18/19	Yes	5/20/19	Yes	6/20/19	Yes	★

Number and Percent of LME-MCOs that met the Performance Standard:

7 (100%)

Notes:

1. ★ = Met the Performance Contract Standard.

2. Red shading indicates reports that are not received by the due date or are not accurate and complete.

SFY 2019 Performance Contract Data/Report Submission Requirements
 Fourth Quarter Report
 April 1, 2019 - June 30, 2019

2. Substance Abuse/Juvenile Justice Initiative Quarterly Reports

Performance Requirement: LME-MCO submits a quarterly SA/Juvenile Justice Initiative Report by the 20th of the month following the end of the quarter (or next business day if the due date is a weekend or holiday).

SFY 2019 Standard: All reports are accurate and complete and are received no later than 10 days after the due date.

LME-MCO	4th Qtr Report Due 7/22/19				Standard Met ¹
	Juvenile Detention		JJSAMH Partnership		
	Date Received ²	Accurate And Complete	Date Received ²	Accurate And Complete	
Alliance Health	7/9/19	Yes	7/9/19	Yes	★
Cardinal Innovations Healthcare Solutions	7/9/19	Yes	7/15/19	Yes	★
Eastpointe			7/5/19	Yes	★
Partners Behavioral Health Management			7/8/19	Yes	★
Sandhills Center	7/1/19	Yes	7/15/19	Yes	★
Trillium Health Resources	7/11/19	Yes	7/11/19	Yes	★
Vaya Health	7/8/19	Yes	7/8/19	Yes	★

Number of Percent of LME-MCOs that Met the SFY2019 Standard:

7 (100%)

Notes:

1. ★ = Met the Performance Contract Standard. N/A = Not Applicable this quarter.
2. Reports that are not complete or that were received >10 days after the due date are shaded red.
3. Reports with *Italicized* dates and yellow shading were received within 10 days after the due date.
4. Reports that are shaded gray do not have a program and do not have a reporting requirement.

SFY 2019 Performance Contract Data/Report Submission Requirements
 Fourth Quarter Report
 April 1, 2019 - June 30, 2019

3. Work First Initiative Quarterly Reports

Performance Requirement: LME-MCO submits a quarterly Work First Initiative Report by the 20th of the month following the end of the quarter (or next business day if the due date is a weekend or holiday).

SFY 2019 Standard: All reports are accurate and complete and are received no later than 10 days after the due date.

LME-MCO	4th Qtr Report Due 7/22/19		Standard Met ¹
	Date Received ²	Accurate And Complete	
Alliance Health	7/22/2019	Yes	★
Cardinal Innovations Healthcare Solutions	7/18/2019	Yes	★
Eastpointe	7/22/2019	Yes	★
Partners Behavioral Health Management	7/18/2019	Yes	★
Sandhills Center	7/17/2019	Yes	★
Trillium Health Resources	7/16/2019	Yes	★
Vaya Health	7/18/2019	Yes	★

Number and Percent of LME-MCOs that met the SFY 2019 Standard: 7 (100%)

Notes:

1. ★ = Met the Performance Contract Standard.
2. Dates that are shaded red indicate reports received >10 days after the due date.
- Dates with yellow shading are within 10 days after the due date.

SFY 2019 Performance Contract Data/Report Submission Requirements
 Fourth Quarter Report
 April 1, 2019 - June 30, 2019

4. Quarterly Traumatic Brain Injury (TBI) Services Report

Performance Requirement: LME-MCO submits all required Traumatic Brain Injury (TBI) Services reports in acceptable format by the following due dates (or next business day if the due date is a weekend or holiday):

- First quarter report = Dec 31.
- Second quarter report = Mar 31.
- Third quarter report = Jun 30.
- Fourth quarter report = Aug 31.
- Annual report = Jul 31.

SFY 2019 Standard: Reports are accurate, complete, and received by the due date.

LME-MCO	3rd Qtr Report Due 7/1/19			
	Date Received ²	³	Accurate, Complete	Standard Met ¹
Alliance Health	7/1/19		Yes	★
Cardinal Innovations Healthcare Solutions	6/13/19		Yes	★
Eastpointe	6/28/19		Yes	★
Partners Behavioral Health Management	7/1/19		Yes	★
Sandhills Center	7/1/19		Yes	★
Trillium Health Resources	6/28/19		Yes	★
Vaya Health	7/1/19		Yes	★

Number and Percent of LME-MCOs that met the Performance Standard: 7 (100%)

Notes:

1. ★ = Met the Performance Contract Standard.
2. Red shading indicates reports that are not received by the due date or are not accurate and complete.
3. ✓ = An extension was granted.

SFY 2019 Performance Contract Data/Report Submission Requirements
 Fourth Quarter Report
 April 1, 2019 - June 30, 2019

5. Quarterly Complaints Report

Performance Requirement: LME-MCO submits all required Complaints reports in acceptable format by the following due dates (or next business day if the due date is a weekend or holiday):

- First quarter report = Nov 15.
- Second quarter report = Feb 15.
- Third quarter report = May 15.
- Fourth quarter report = Aug 15.

SFY 2019 Standard: Reports are accurate, complete, and received by the due date.

LME-MCO	4th Qtr Report Due 8/15/19		
	Date Received ²	Accurate, Complete	Standard Met ¹
Alliance Health	8/12/19	Yes	★
Cardinal Innovations Healthcare Solutions	8/13/19	Yes	★
Eastpointe	8/13/19	Yes	★
Partners Behavioral Health Management	8/6/19	Yes	★
Sandhills Center	8/15/19	Yes	★
Trillium Health Resources	8/15/19	Yes	★
Vaya Health	8/15/19	Yes	★

Number and Percent of LME-MCOs that met the Performance Standard: 7 (100%)

Notes:

1. ★ = Met the Performance Contract Standard.

2. Red shading indicates reports that are received before the quarter has ended, not received by the due date, or are not accurate and complete.

SFY 2019 Performance Contract Data/Report Submission Requirements
 Fourth Quarter Report
 April 1, 2019 - June 30, 2019

**6. Client Data Warehouse (CDW)
 Admissions**

Performance Requirement: LME-MCO submits required CDW record types by the 15th of each month. Submitted admission records (record type 11) are complete and accurate.

The table below shows the number of admissions for which data was submitted to the CDW as of July 31, 2019.

LME-MCO	Facility Code	APR	MAY	JUN	Fourth Quarter Adm SFY2019	Fourth Quarter Adm SFY2018	Monthly Average SFY2019	Monthly Average SFY2018
Alliance Health	23141	649	573	477	1,699	2,000	566	667
Cardinal Innovations Healthcare Solutions	13121	2,210	1,889	1,027	5,126	6,506	1,709	2,169
Eastpointe	43081	396	396	333	1,125	1,080	375	360
Partners Behavioral Health Management	13114	570	639	578	1,787	2,094	596	698
Sandhills Center	33031	1,081	1,030	852	2,963	3,112	988	1,037
Trillium Health Resources	43071	1,630	1,665	912	4,207	4,546	1,402	1,515
Vaya Health	13010	915	945	810	2,670	2,595	890	865
TOTAL ADMISSIONS		7,451	7,137	4,989	19,577	21,933	6,526	7,311

Data that are shaded are incomplete or appear to be inaccurate (e.g. <100 or <40% of the prior year's quarter total).

SFY 2019 Performance Contract Data/Report Submission Requirements
Fourth Quarter Report
April 1, 2019 - June 30, 2019

**7. Client Data Warehouse (CDW)
Diagnosis Records**

Performance Requirement: LME-MCO submits required CDW record types by the 15th of each month. Open clients who are enrolled in a benefit plan and receive a billable service will have a completed diagnosis in CDW within 30 days of the beginning date of service (1 quarter lag time is allowed for submission). A missing diagnosis is defined as DHHS not being able to secure a diagnosis from a service claim (NCTRACKS or Medicaid) or a Record Type 13.

The table below shows the percentage of clients admitted during the prior quarter (January 1, 2019 - March 31, 2019) with a diagnosis completed within 30 days of beginning date of service.

SFY 2019 Standard: 90% of open clients who are enrolled in a target population and receive a billable service have a diagnosis in CDW within 30 days of beginning service.

LME-MCO	Number of Admissions	Number Missing Diagnosis	Number Completed within 30 days	Percent With Records Completed Within 30 Days ²	Standard Met ¹
Alliance Health	2,004	11	1,993	99%	★
Cardinal Innovations Healthcare Solutions	6,337	0	6,337	100%	★
Eastpointe	1,199	1	1,198	100%	★
Partners Behavioral Health Management	1,896	0	1,896	100%	★
Sandhills Center	3,337	0	3,337	100%	★
Trillium Health Resources	4,906	0	4,906	100%	★
Vaya Health	2,817	5	2,812	100%	★
TOTAL	22,496	17	22,479	100%	★

Number and Percent of LME-MCOs that met the SFY 2019 Standard:

7 (100%)

Notes:

1. ★ = Met the Performance Contract Standard.

2. Percentages less than 90% are shaded red.

SFY 2019 Performance Contract Data/Report Submission Requirements
Fourth Quarter Report
April 1, 2019 - June 30, 2019

**8. Client Data Warehouse (CDW)
'Unknown' Value In Mandatory Fields (Admissions)**

Performance Requirement: LME-MCO submits required CDW record types by the 15th of each month. Mandatory fields contain a value other than 'unknown' and Living Arrangement contains a value other than 'unknown' or 'other'.

The table below shows the percentage of clients admitted during the prior quarter (January 1, 2019 - March 31, 2019) where all mandatory data fields contain a value other than 'unknown' and Living Arrangement contains a value other than 'unknown' or 'other'.

SFY 2019 Standard: 90% of all mandatory data fields for the prior quarter contain a value other than 'unknown' and Living Arrangement contains a value other than 'unknown' or 'other'.

LME-MCO	Admission Records	County	Race	Ethnicity	Gender	Marital Status	Education	Employment	Veteran Status	Family Income	Family Size	Arrests 30 Days	Health Med Ins	Primary Language	Attendance Self Help	Standard Met ¹
Alliance Health	2,004	100%	99%	99%	100%	99%	99%	100%	100%	100%	100%	100%	100%	100%	100%	★
Cardinal Innovations Healthcare Solutions	6,337	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	★
Eastpointe	1,199	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	★
Partners Behavioral Health Management	1,896	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	★
Sandhills Center	3,337	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	★
Trillium Health Resources	4,906	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	99%	★
Vaya Health	2,817	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	★
TOTAL	22,496	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	100%	★

Number and Percent of LME-MCOs that met the SFY 2019 Standard:

7 (100%)

Notes:

1. ★ = Met the Performance Contract Standard.
2. Percentages less than 90% are shaded red.

SFY 2019 Performance Contract Data/Report Submission Requirements
 Fourth Quarter Report
 April 1, 2019 - June 30, 2019

**9. Client Data Warehouse (CDW)
 'Unknown' Value In Mandatory Fields (Discharges)**

Performance Requirement: LME-MCO submits required CDW record types by the 15th of each month. Mandatory fields contain a value other than 'unknown' and Living Arrangement contains a value other than 'unknown' or 'other'.

The table below shows the percentage of clients discharged during the prior quarter (January 1, 2019 - March 31, 2019) where all mandatory data fields contain a value other than 'unknown' and Living Arrangement contains a value other than 'unknown' or 'other'.

SFY 2019 Standard: 90% of all mandatory data fields for the prior quarter contain a value other than 'unknown' and Living Arrangement contains a value other than 'unknown' or 'other'.

LME-MCO	Discharge Records	Discharge Reason	Employment Status	Arrests Prior 30 Days	Referral To	Living Arrangement	Attendance Self Help	Standard Met ¹
Alliance Health	102	100%	100%	100%	100%	100%	93%	★
Cardinal Innovations Healthcare Solutions	3,007	100%	99%	100%	100%	100%	100%	★
Eastpointe	312	100%	100%	100%	100%	100%	99%	★
Partners Behavioral Health Management	991	100%	100%	100%	100%	100%	100%	★
Sandhills Center	1,949	100%	100%	100%	100%	100%	100%	★
Trillium Health Resources	2,240	100%	100%	100%	100%	100%	100%	★
Vaya Health	1,850	100%	100%	100%	100%	100%	100%	★
TOTAL	10,451	100%	100%	100%	100%	100%	100%	★

Number and Pct of LME-MCOs that met the SFY 2019 Standard:

7 (100%)

Notes:

1. ★ = Met the Performance Contract Standard.
2. Percentages less than 90% are shaded red.

SFY 2019 Performance Contract Data/Report Submission Requirements
 Fourth Quarter Report
 April 1, 2019 - June 30, 2019

**10. Client Data Warehouse (CDW)
 Identifying and Demographic Records**

Performance Requirement: LME-MCO submits required CDW record types by the 15th of each month. Open clients who are enrolled in a benefit plan and receive a billable service will have a completed identifying record (record type 10) and a completed demographic record (record type 11) in CDW within 30 days of the beginning date of service on the paid claims record.

The table below shows the percentage of clients admitted during the prior quarter (January 1, 2019 - March 31, 2019) with an identifying record and demographic record completed within 30 days of the beginning date of service.

SFY 2019 Standard: 90% of open clients who are enrolled in a benefit plan and receive a billable service have completed identifying and demographic records within 30 days of the beginning date of service.

LME-MCO	Number of Claims ³	Number Missing Records	Number Completed within 30 days	Percent With Records Completed Within 30 Days ²	Standard Met ¹
Alliance Health	12,242	59	12,183	100%	★
Cardinal Innovations Healthcare Solutions	20,587	280	20,307	99%	★
Eastpointe	7,512	7	7,505	100%	★
Partners Behavioral Health Management	9,042	15	9,027	100%	★
Sandhills Center	10,141	7	10,134	100%	★
Trillium Health Resources	13,879	232	13,647	98%	★
Vaya Health	14,235	0	14,235	100%	★
TOTAL	87,638	600	87,038	99%	★

Number and Percent of LME-MCOs that met the SFY 2019 Standard:

7 (100%)

Notes:

1. ★ = Met the Performance Contract Standard.
2. Percentages less than 90% are shaded red.
3. Only includes NCTRACKS claims.

SFY 2019 Performance Contract Data/Report Submission Requirements
 Fourth Quarter Report
 April 1, 2019 - June 30, 2019

**11. Client Data Warehouse (CDW)
 Drug Of Choice Data**

Performance Requirement: LME-MCO submits required CDW record types by the 15th of each month. A drug of choice record (record type 17) is completed within 90 days of the beginning date of service for clients enrolled in any of the benefit plans: ASCDR, ASTER, ASWOM, CSSAD and ASOUD.

The table below shows the percentage of open clients in the designated benefit plans (January 1, 2019 - March 31, 2019) with a drug of choice record completed within 90 days of the beginning date of service.

SFY 2019 Standard: 90% of open clients in the designated benefit plans have a drug of choice record completed within 90

LME-MCO	Number of Claims ³	Number Missing Records	Number Completed within 90 days	Percent With Records Completed Within 90 Days ²	Standard Met ¹
Alliance Health	2,544	25	2,519	99%	★
Cardinal Innovations Healthcare Solutions	4,069	34	4,035	99%	★
Eastpointe	1,225	3	1,222	100%	★
Partners Behavioral Health Management	2,053	0	2,053	100%	★
Sandhills Center	1,474	2	1,472	100%	★
Trillium Health Resources	3,082	155	2,927	95%	★
Vaya Health	2,961	31	2,930	99%	★
TOTAL	17,408	250	17,158	99%	★

Number and Pct of LME-MCOs that met the SFY 2019 Standard:

7 (100%)

Notes:

1. ★ = Met the Performance Contract Standard.
2. Percentages less than 90% are shaded red.
3. Only includes NCTRACKS claims.
4. Effective 12/20/18, the completion period changed from 60 to 90 days.

Key To Benefit Plan Abbreviations

- ASCDR** – Adult Substance Abuse IV Drug Communicable Disease Risk
ASTER – Adult Substance Abuse Treatment Engagement and Recovery
ASWOM – Adult Substance Abuse Women
CSSAD – Child with SA Disorder
ASOUD – Adult Substance Opioid Use Disorder

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**12. Client Data Warehouse (CDW)
Episode Completion (Discharge) Record - Substance Abuse Clients**

Performance Requirement: LME-MCO submits required CDW record types by the 15th of each month. An episode completion (discharge) record (Record Type 12) is completed for all Substance Abuse consumers who have had no billable service for at least 90 days. This report separately focuses on **Substance Abuse clients** who are identified for reporting to TEDS (Treatment Episodes Data System).

The table below shows the percentage of Substance Abuse clients admitted since October 1, 2006, when this measure began, who during the prior quarter (January 1, 2019 - March 31, 2019) have had a billable service, administrative activity, or if neither occurred for at least 90 days, have submitted an episode completion record.

SFY 2019 Standard: 90% of Substance Abuse clients admitted since October 1, 2006, who have had no billable service, administrative activity, or if neither occurred for at least 90 days, have an episode completion record.

LME-MCO	Number of Clients Admitted Since October 1, 2006	Number <u>without</u> Appropriate Activity or an Episode Completion Record ³	Number <u>with</u> Appropriate Activity or an Episode Completion Record ⁴	Percent <u>with</u> Appropriate Activity or an Episode Completion Record ²	Standard Met ¹
Alliance Health	482	1	481	100%	★
Cardinal Innovations Healthcare Solutions	2,104	26	2,078	99%	★
Eastpointe	363	2	361	99%	★
Partners Behavioral Health Management	572	5	567	99%	★
Sandhills Center	595	1	594	100%	★
Trillium Health Resources	1,323	35	1,288	97%	★
Vaya Health	1,007	43	964	96%	★
TOTAL	6,446	113	6,333	98%	★

Number and Pct of LME-MCOs that met the SFY 2019 Standard:

7 (100%)

Notes:

1. ★ = Met the Performance Contract Standard.
2. Percentages less than 90% are shaded red.
3. Number without a billable service or administrative activity for at least 90 days, and an Episode Completion Record was not submitted.
4. Number with a billable service, administrative activity, or if neither occurred for at least 90 Days, an Episode Completion Record was submitted.
5. Effective 12/20/18, the completion period changed from 60 to 90 days.

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**14. NC Treatment Outcomes and Program Performance System (NC-TOPPS)
3 Month Update Assessments**

Performance Requirement: The LME-MCO, through providers, will collect outcomes information on its consumers following sampling methods and reporting schedules for the instrument being used. The instrument used will depend on the type of consumer. The NC-TOPPS is required for all MH/SA consumers ages six and older and shall be entered in the web-based system within 30 days of completion of the assessment as specified in the NC-TOPPS Implementation Guidelines. An update assessment must be completed within two weeks before or after the required update month (e.g. 3-months, 6-months, 12-months, 18-months, etc). All update assessments shall be complete and accurate. The DMH/DD/SAS shall annually sample consumers with initial assessments to determine the timeliness and accuracy of 3-month update assessments. The 3-month update assessments shall be administered between 76 and 104 days after the initial assessment. To ensure accuracy and completeness, the updates reported below were for initial assessments that occurred 6 months ago¹.

SFY 2019 Standard: 90% of the expected update forms are received and are timely.

LME-MCO	Expected # of Update Instruments	Receipt		Timeliness		Standard Met ²
		# of Update Assessments Received	% of Expected Assessments Received ³	# of Update Assessments Received On-Time	% of Expected Assessments Received On-Time ³	
Alliance Health	1,318	1,287	97.6%	1,257	95.4%	★
Cardinal Innovations Healthcare Solutions	2,081	2,050	98.5%	2,002	96.2%	★
Eastpointe	1,116	1,116	100.0%	1,116	100.0%	★
Partners Behavioral Health Management	1,152	1,135	98.5%	1,112	96.5%	★
Sandhills Center	1,239	1,237	99.8%	1,225	98.9%	★
Trillium Health Resources	1,493	1,379	92.4%	1,308	87.6%	
Vaya Health	1,410	1,389	98.5%	1,331	94.4%	★
Totals	9,809	9,593	97.8%	9,351	95.3%	★

Number and Percent of LME-MCOs that met the SFY 2019 Standard:

6 (85.7%)

Notes:

1. Based on initial assessments that occurred Oct - Dec 2018.
2. ★ = Met the Performance Contract Standard.
3. Percentages less than 90% are shaded red.

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**15. NC Treatment Outcomes and Program Performance System (NC-TOPPS)
 6 Month Update Assessments**

Performance Requirement: The LME-MCO, through providers, will collect outcomes information on its consumers following sampling methods and reporting schedules for the instrument being used. The instrument used will depend on the type of consumer. The NC-TOPPS is required for all MH/SA consumers ages six and older and shall be entered in the web-based system within 30 days of completion of the assessment as specified in the NC-TOPPS Implementation Guidelines. An update assessment must be completed within two weeks before or after the required update month (e.g. 3-months, 6-months, 12-months, 18-months, etc). All update assessments shall be complete and accurate. The DMH/DD/SAS shall annually sample consumers with initial assessments to determine the timeliness and accuracy of 6-month update assessments. The 6-month update assessments shall be administered between 166 and 194 days after the initial assessment. To ensure accuracy and completeness, the updates reported below are for initial assessments that occurred 9 months ago¹.

SFY 2019 Standard: 90% of the expected update forms are received and are timely.

LME-MCO	Expected # of Update Instruments	Receipt		Timeliness		Standard Met ²
		# of Update Assessments Received	% of Expected Assessments Received ³	# of Update Assessments Received On-Time	% of Expected Assessments Received On-Time ³	
Alliance Health	1,599	1,580	98.8%	1,569	98.1%	★
Cardinal Innovations Healthcare Solutions	2,409	2,369	98.3%	2,306	95.7%	★
Eastpointe	1,289	1,289	100.0%	1,289	100.0%	★
Partners Behavioral Health Management	1,205	1,195	99.2%	1,184	98.3%	★
Sandhills Center	1,476	1,475	99.9%	1,468	99.5%	★
Trillium Health Resources	1,579	1,499	94.9%	1,450	91.8%	★
Vaya Health	1,612	1,600	99.3%	1,563	97.0%	★
Totals	11,169	11,007	98.5%	10,829	97.0%	★

Number and Percent of LME-MCOs that met the SFY 2019 Standard:

7 (100%)

Notes:

1. Based on initial assessments that occurred Jul - Sep 2018.
2. ★ = Met the Performance Contract Standard.
3. Percentages less than 90% are shaded red.

SFY 2019 Performance Contract Data/Report Submission Requirements
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**16. NC Treatment Outcomes and Program Performance System (NC-TOPPS)
12 Month Update Assessments**

Performance Requirement: The LME-MCO, through providers, will collect outcomes information on its consumers following sampling methods and reporting schedules for the instrument being used. The instrument used will depend on the type of consumer. The NC-TOPPS is required for all MH/SA consumers ages six and older and shall be entered in the web-based system within 30 days of completion of the assessment as specified in the NC-TOPPS Implementation Guidelines. An update assessment must be completed within two weeks before or after the required update month (e.g. 3-months, 6-months, 12-months, 18-months, etc). All update assessments shall be complete and accurate. The DMH/DD/SAS shall annually sample consumers with initial assessments to determine the timeliness and accuracy of 12-month update assessments. The 12-month update assessments shall be administered between 351 and 379 days after the initial assessment. To ensure accuracy and completeness, the updates reported below were for initial assessments that occurred 15 months ago¹.

SFY 2019 Standard: 90% of the expected update forms are received and are timely.

LME-MCO	Expected # of Update Instruments	Receipt		Timeliness		Standard Met ²
		# of Update Assessments Received	% of Expected Assessments Received ³	# of Update Assessments Received On-Time	% of Expected Assessments Received On-Time ³	
Alliance Health	1,663	1,656	99.6%	1,647	99.0%	★
Cardinal Innovations Healthcare Solutions	2,544	2,503	98.4%	2,475	97.3%	★
Eastpointe	1,372	1,371	99.9%	1,371	99.9%	★
Partners Behavioral Health Management	1,198	1,177	98.2%	1,167	97.4%	★
Sandhills Center	1,573	1,563	99.4%	1,561	99.2%	★
Trillium Health Resources	1,963	1,915	97.6%	1,887	96.1%	★
Vaya Health	1,640	1,616	98.5%	1,589	96.9%	★
Totals	11,953	11,801	98.7%	11,697	97.9%	★

Number and Percent of LME-MCOs that met the SFY 2019 Standard:

7 (100%)

Notes:

1. Based on initial assessments that occurred Jan - Mar 2018.
2. ★ = Met the Performance Contract Standard.
3. Percentages less than 90% are shaded red.

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17. NC Support Needs Assessment Profile (NC-SNAP)

Performance Requirement: The LME-MCO, through providers, will submit to DMH/DD/SAS, by the 15th of each month (or next business day if the due date is a weekend or holiday), an electronically transmitted file (SQL or FTP) containing current assessment forms for all consumers receiving or requesting services for Intellectual/Developmental Disabilities.

SFY 2019 Standard: 90% of current assessments are no more than 15 months old.

LME-MCO	Currency Of Assessments			Standard Met ¹
	# Received	# No More Than 15 Months Old	% No More Than 15 Months Old ²	
Alliance Health	963	963	100.0%	★
Cardinal Innovations Healthcare Solutions	1,051	1,050	99.9%	★
Eastpointe	737	732	99.3%	★
Partners Behavioral Health Management	684	679	99.3%	★
Sandhills Center	1,017	1,016	99.9%	★
Trillium Health Resources	1,483	1,483	100.0%	★
Vaya Health	534	518	97.0%	★
Totals	6,469	6,441	99.6%	★

Number and Percent of LME-MCOs that met the SFY 2019 Standard:

7 (100%)

Notes:

1. ★ = Met the Performance Contract Standard. **N/A** = Not Applicable this quarter.
2. Percentages less than 90% are shaded red.

SFY 2019 Performance Contract Data/Report Submission Requirements
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18. System of Care

Performance Requirement: LME-MCO submits a semi-annual System of Care Report by the 31st of the month following the end of the 2nd and 4th quarters (or next business day if the due date is a weekend or holiday).

SFY 2019 Standard: All reports are accurate and complete and are received no later than 7 days after the due date.

LME-MCO	4th Qtr Report Due 7/31/19		Standard Met ¹
	Date Received ²	Complete	
Alliance Health	7/17/19	Yes	★
Cardinal Innovations Healthcare Solutions	7/11/19	Yes	★
Eastpointe	7/29/19	Yes	★
Partners Behavioral Health Management	7/18/19	Yes	★
Sandhills Center	7/22/19	Yes	★
Trillium Health Resources	7/29/19	Yes	★
Vaya Health	7/30/19	Yes	★

Number and Percent of LME-MCOs that met the SFY 2019 Standard: 7 (100%)

Notes:

1. ★ = Met the Performance Contract Standard.
 2. Dates that are shaded red indicate reports received >7 days after the due date.
- Dates with yellow shading are within 7 days after the due date.

SFY 2019 Performance Contract Data/Report Submission Requirements
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19. SAPTBG Compliance Report

Performance Requirement: The LME-MCO shall submit a semi-annual SAPTBG Compliance Report by the 20th of the month (or next business day if on a holiday or weekend) following the end of the semi-annual period. Reports are accurate and complete and show at least 48 hours of Synar activity for the period.

SFY 2019 Standard: All reports are accurate and complete, show 48 hours of Synar activity, and are received no later than 10 days after the due date.

LME-MCO	End of Year Report Due 7/22/19			Standard Met ¹
	Date Received ²	Accurate and Complete	48 Hours Of Synar Activity ²	
Alliance Health	7/19/19	Yes	Yes	★
Cardinal Innovations Healthcare Solutions	7/22/19	Yes	Yes	★
Eastpointe	7/22/19	Yes	Yes	★
Partners Behavioral Health Management	7/18/19	Yes	Yes	★
Sandhills Center	7/17/19	Yes	Yes	★
Trillium Health Resources	7/22/19	Yes	Yes	★
Vaya Health	7/22/19	Yes	Yes	★

Number and Percent of LME-MCOs that met the SFY 2019 Standard:

7 (100%)

Notes:

1. ★ = Met the Performance Contract Standard.

2. Dates received more than 10 days after the due date and Synar Activities < 48 hours are highlighted red.

Dates received within 10 days after the due date are highlighted yellow.

SFY 2019 Performance Contract Data/Report Submission Requirements
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20. National Core Indicators (NCI) Consents And Pre-Surveys

Performance Requirement: The LME-MCO, through providers, will submit a consent form and a pre-survey for each person selected to participate in the NCI project within the specified timeframes. The LME-MCO will also submit information needed for the mailed survey. All submissions are complete.

SFY 2019 Standard: 75% of the pre-surveys, consents, and mail survey information are received by the due date and complete.

LME-MCO	Timeliness of Submission		Completeness (# Forms Received / # Expected)			Standard Met ¹
	Pre-Surveys & Consents ²	Mailed Surveys ²	# Received	# Expected	% Complete ²	
Alliance Health	Received On-Time	Received On-Time	110	110	100.0%	★
Cardinal Innovations Healthcare Solutions	Received On-Time	Received On-Time	148	150	98.7%	★
Eastpointe	Received On-Time	Received On-Time	65	65	100.0%	★
Partners Behavioral Health Management	Received On-Time	Received On-Time	93	80	116.3%	★
Sandhills Center	Received On-Time	Received On-Time	83	80	103.8%	★
Trillium Health Resources	Received On-Time	Received On-Time	116	115	100.9%	★
Vaya Health	Received On-Time	Received On-Time	78	75	104.0%	★
Totals			693	675	102.7%	

Number and Percent of LME-MCOs that met the SFY 2019 Standard:

7 (100%)

Notes:

1. ★ = Met the Performance Contract Standard.
2. Surveys not received on-time and percentages less than 75% are shaded red.

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21. Annual Traumatic Brain Injury (TBI) Services Report

Performance Requirement: LME-MCO submits all required Traumatic Brain Injury (TBI) Services reports in acceptable format by the following due dates (or next business day if the due date is a weekend or holiday):

- First quarter report = Dec 31.
- Second quarter report = Mar 31.
- Third quarter report = Jun 30.
- Fourth quarter report = Aug 31.
- Annual report = Jul 31.

SFY 2019 Standard:

Reports are accurate, complete, and received by the due date.

LME-MCO	Annual Report Due 7/31/19			
	Date Received ²	³	Accurate, Complete	Standard Met ¹
Alliance Health	7/30/19		Yes	★
Cardinal Innovations Healthcare Solutions	7/15/19		Yes	★
Eastpointe	7/31/19		Yes	★
Partners Behavioral Health Management	8/6/19	✓	Yes	★
Sandhills Center	7/26/19		Yes	★
Trillium Health Resources	7/26/19		Yes	★
Vaya Health	7/30/19		Yes	★

Number and Percent of LME-MCOs that met the Performance Standard:

7 (100%)

Notes:

1. ★ = Met the Performance Contract Standard.

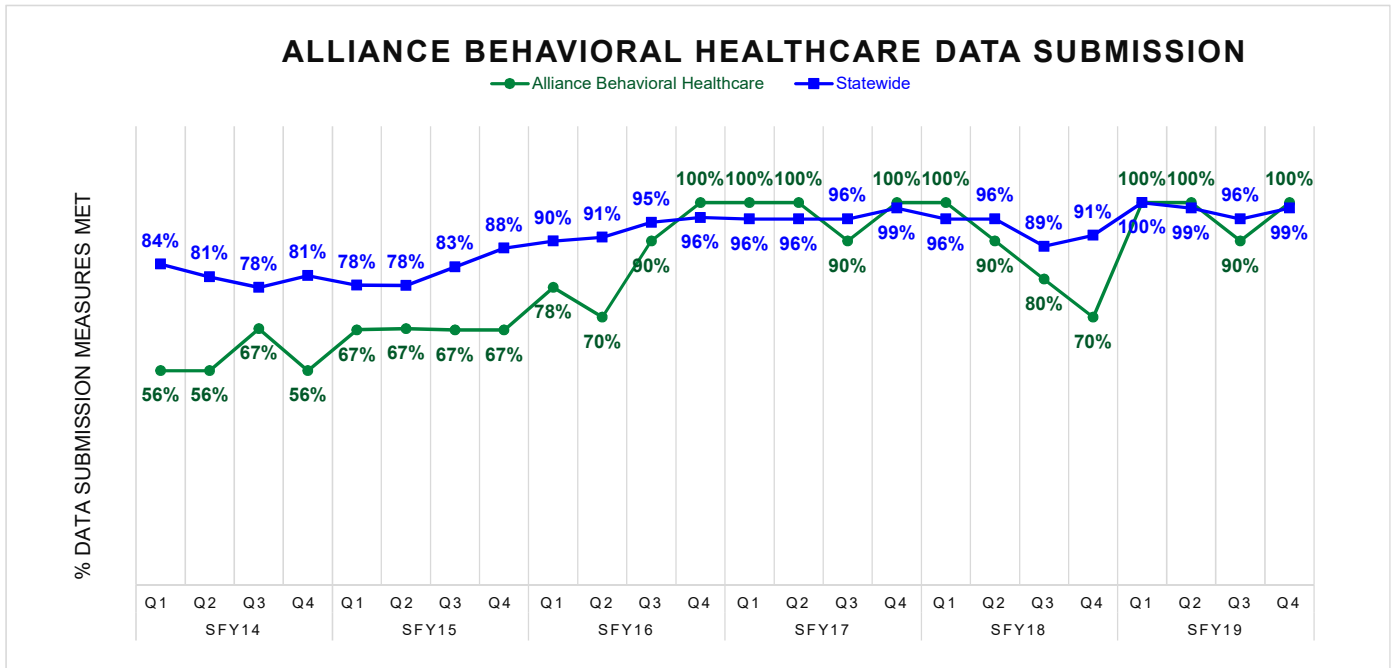
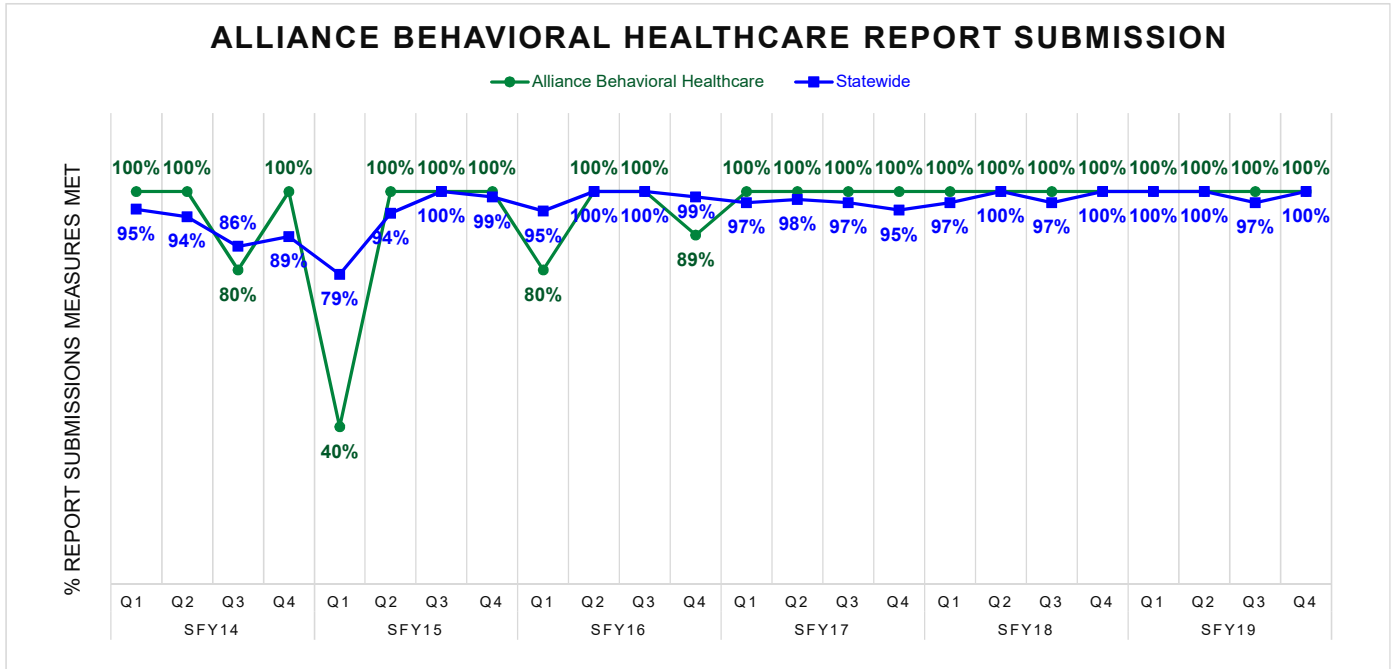
2. Red shading indicates reports that are not received by the due date or are not accurate and complete.

3. ✓ = An extension was granted.



SFY 2019 Fourth Quarter LME-MCO Compliance with Reports & Data Requirements

Percent of Report and Data Submission Requirements Met SFY2014 - SFY2019

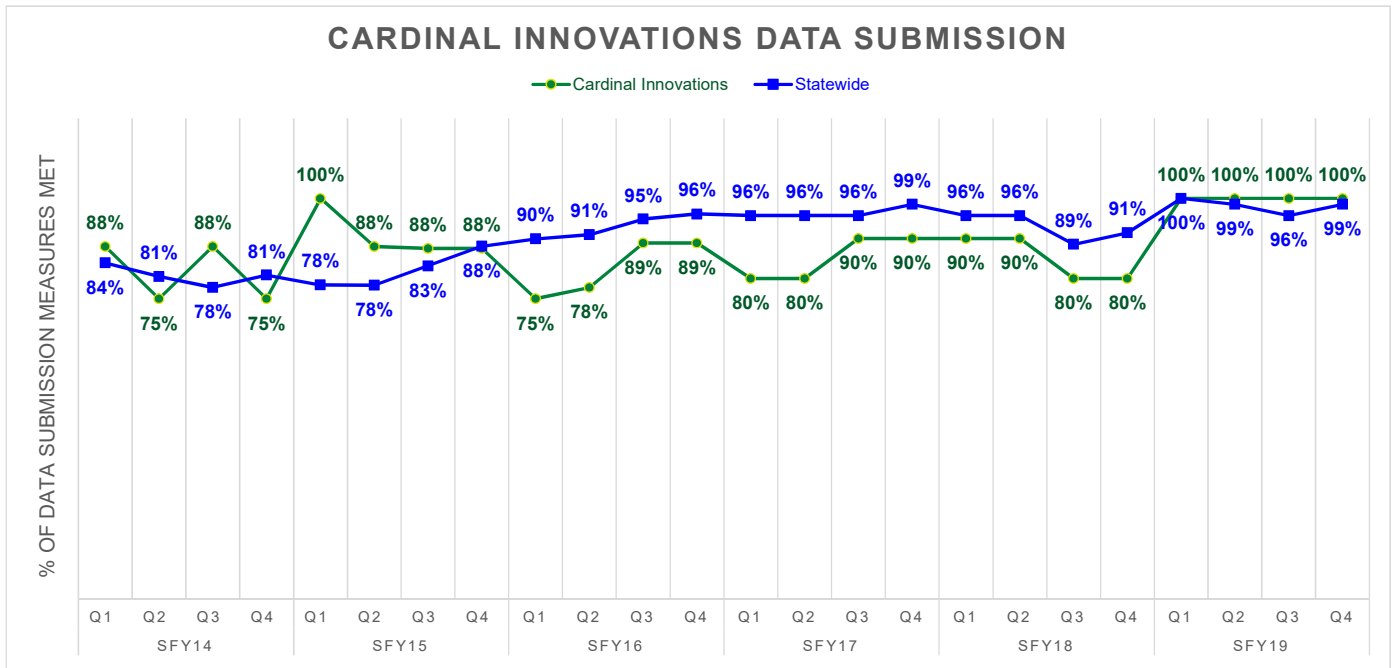
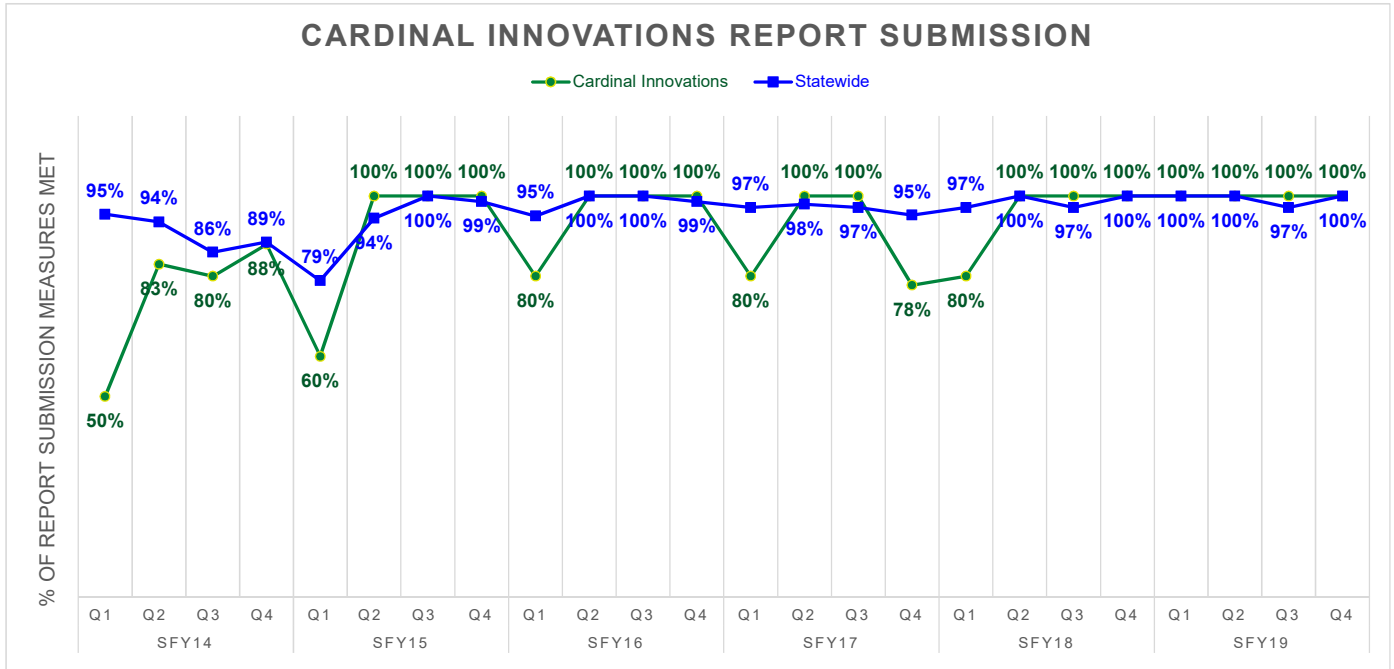


These graphs show Alliance Behavioral Healthcare's overall performance compared with the state average (timeliness, completeness, accuracy) on submitting reports and data to the Division of MH/DD/SAS each quarter for the time period indicated as required by the DHHS - LME-MCO Performance Contract. Reporting requirements are attached to this report. The first set of graphs shows the percentage of report submission measures that were met, and the second set of graphs shows the percentage of data submission measures that were met.



SFY 2019 Fourth Quarter LME-MCO Compliance with Reports & Data Requirements

Percent of Report and Data Submission Requirements Met SFY2014 - SFY2019

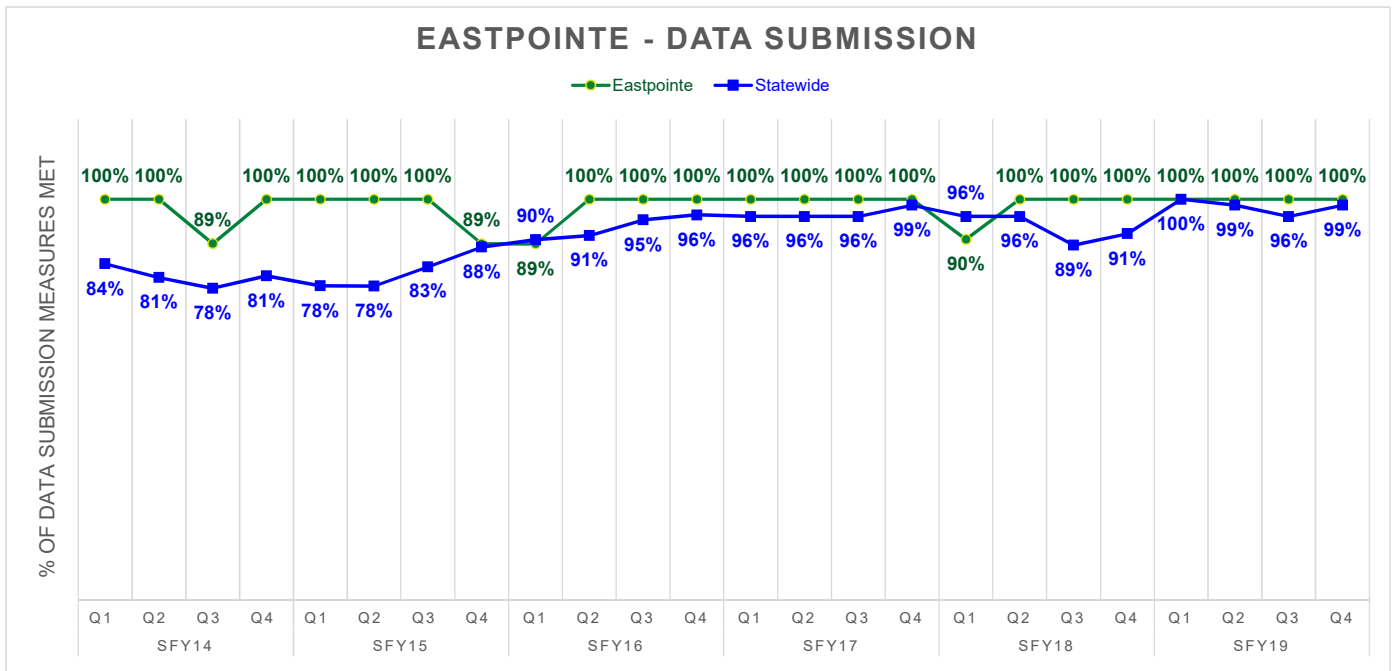
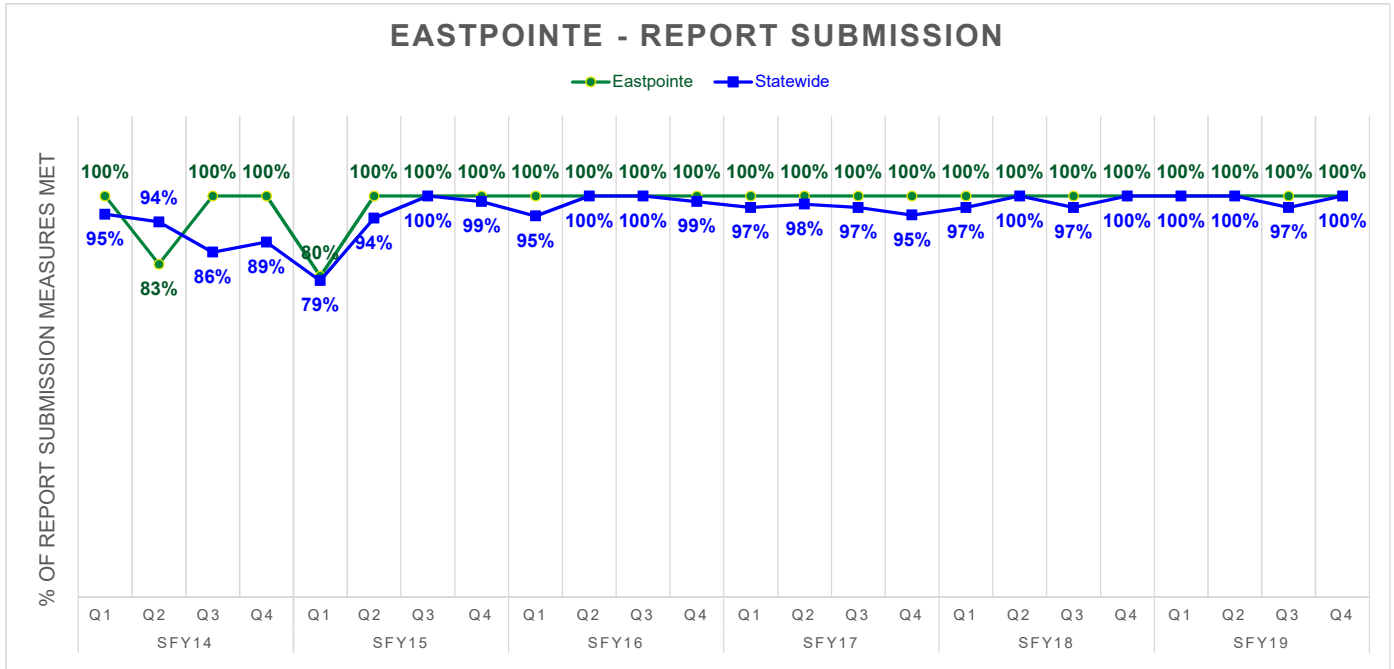


These graphs show Cardinal Innovations' overall performance compared with the state average (timeliness, completeness, accuracy) on submitting reports and data to the Division of MH/DD/SAS each quarter for the time period indicated as required by the DHHS - LME-MCO Performance Contract. Reporting requirements are attached to this report. The first set of graphs shows the percentage of report submission measures that were met, and the second set of graphs shows the percentage of data submission measures that were met.



SFY 2019 Fourth Quarter LME-MCO Compliance with Reports & Data Requirements

Percent of Report and Data Submission Requirements Met SFY2014 - SFY2019

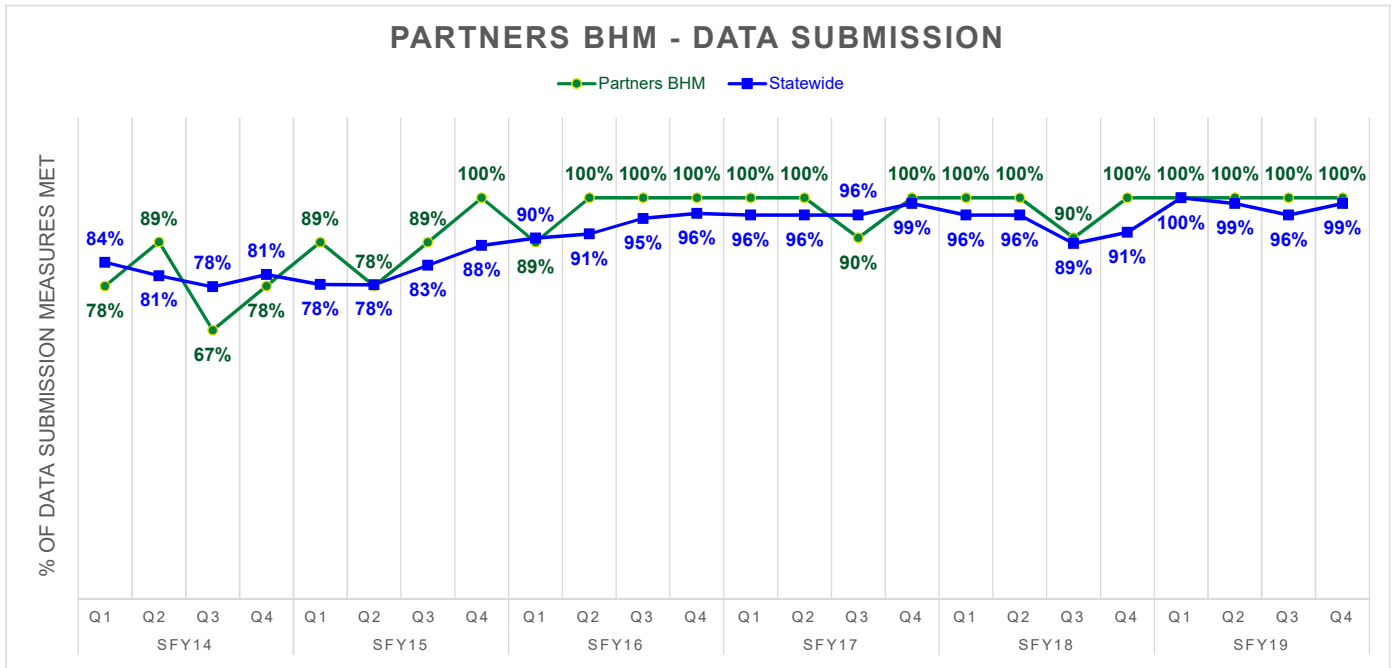
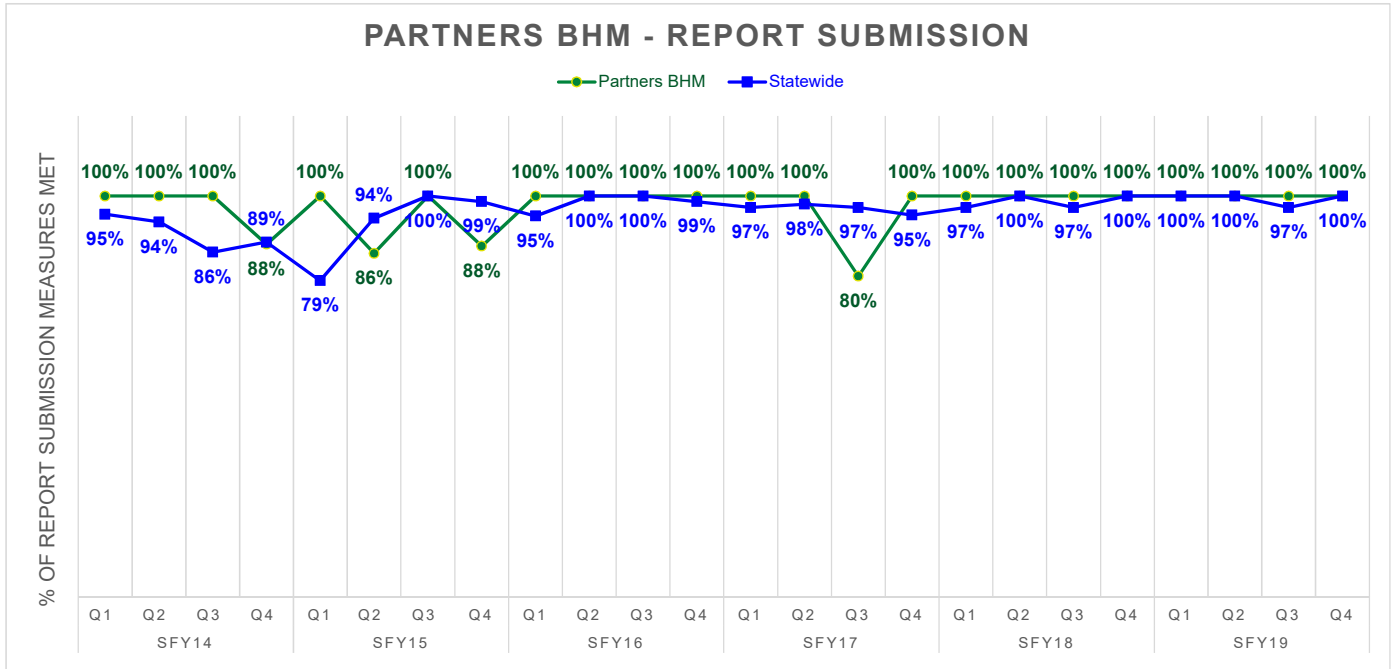


These graphs show Eastpointe's overall performance compared with the state average (timeliness, completeness, accuracy) on submitting reports and data to the Division of MH/DD/SAS each quarter for the time period indicated as required by the DHHS - LME-MCO Performance Contract. Reporting requirements are attached to this report. The first set of graphs shows the percentage of report submission measures that were met, and the second set of graphs shows the percentage of data submission measures that were met.



SFY 2019 Fourth Quarter LME-MCO Compliance with Reports & Data Requirements

Percent of Report and Data Submission Requirements Met SFY2014 - SFY2019

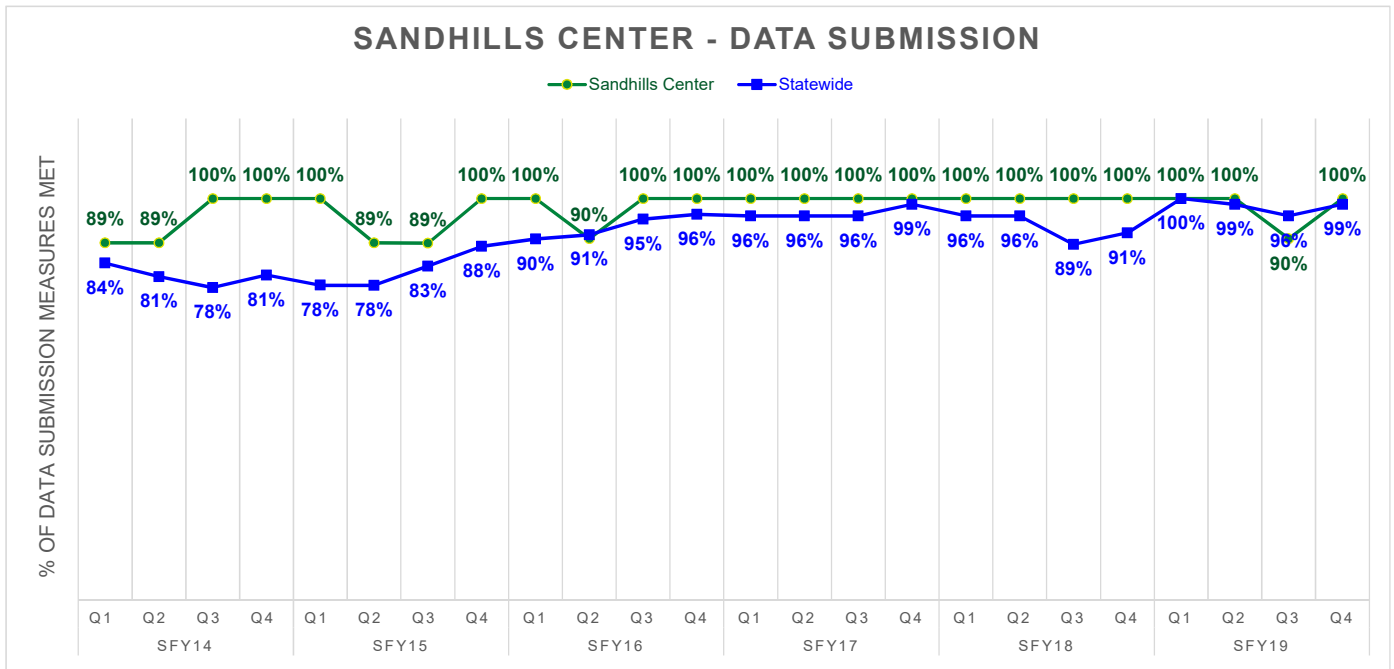
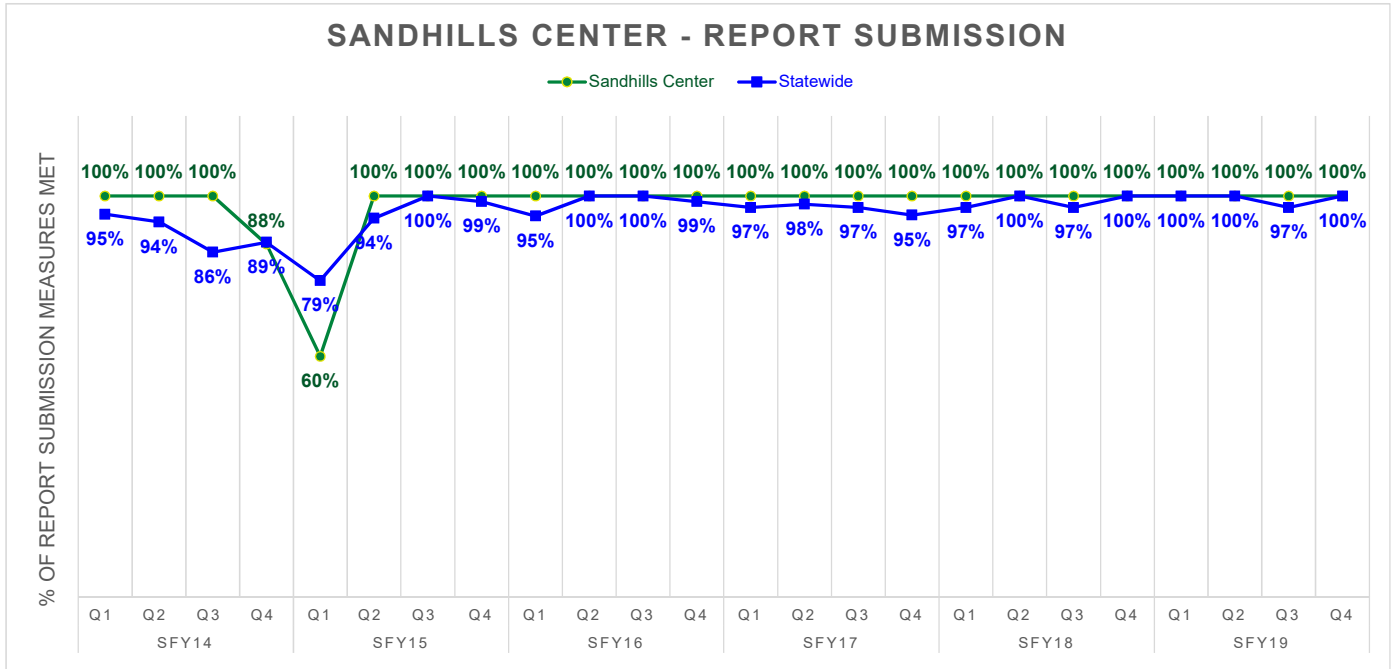


These graphs show Partners Behavioral Health Management's overall performance compared with the state average (timeliness, completeness, accuracy) on submitting reports and data to the Division of MH/DD/SAS each quarter for the time period indicated as required by the DHHS - LME-MCO Performance Contract. Reporting requirements are attached to this report. The first set of graphs shows the percentage of report submission measures that were met, and the second set of graphs shows the percentage of data submission measures that were met.



SFY 2019 Fourth Quarter LME-MCO Compliance with Reports & Data Requirements

Percent of Report and Data Submission Requirements Met SFY2014 - SFY2019

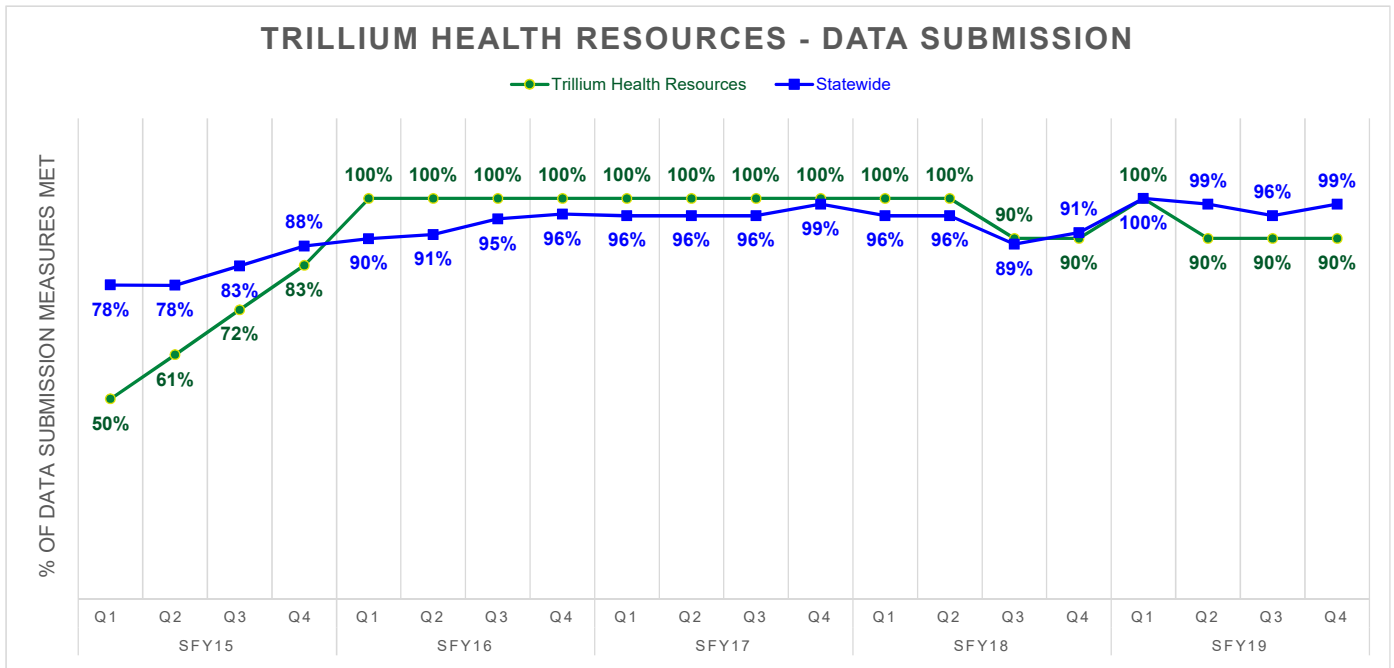
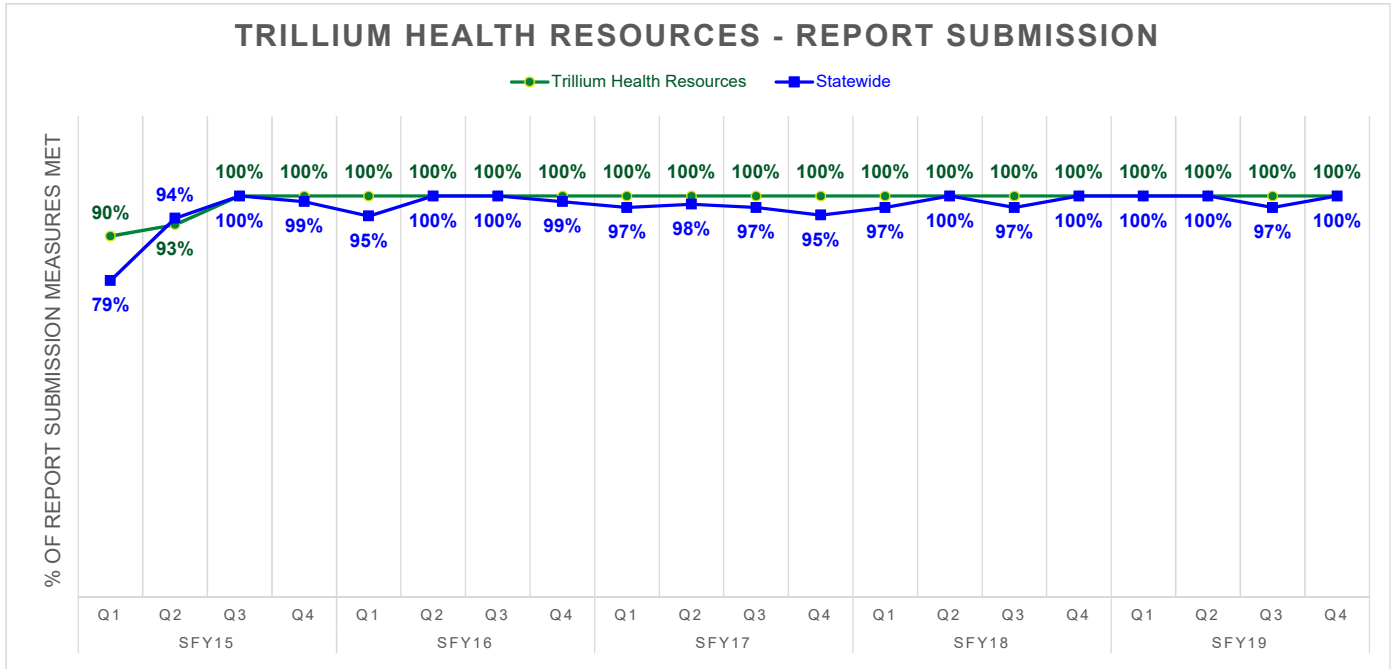


These graphs show Sandhill Center's overall performance compared with the state average (timeliness, completeness, accuracy) on submitting reports and data to the Division of MH/DD/SAS each quarter for the time period indicated as required by the DHHS - LME-MCO Performance Contract. Reporting requirements are attached to this report. The first set of graphs shows the percentage of report submission measures that were met, and the second set of graphs shows the percentage of data submission measures that were met.



SFY 2019 Fourth Quarter LME-MCO Compliance with Reports & Data Requirements

Percent of Report and Data Submission Requirements Met SFY2014 - SFY2019

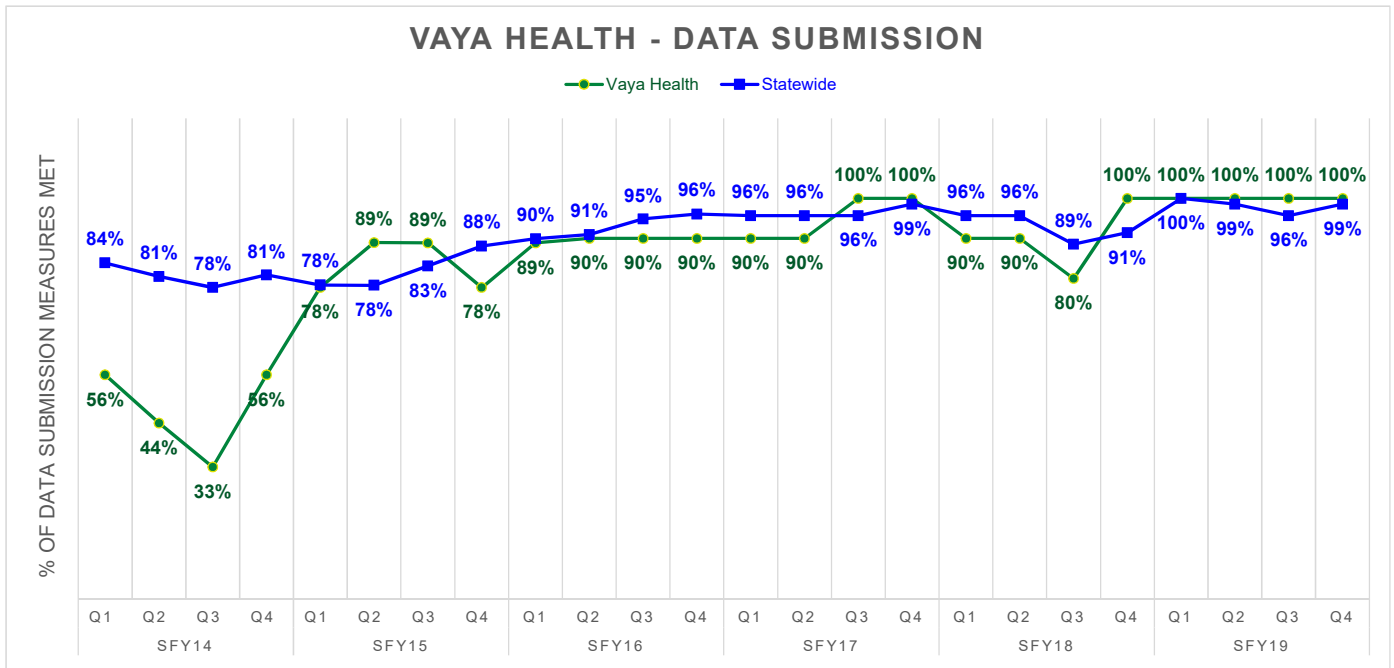
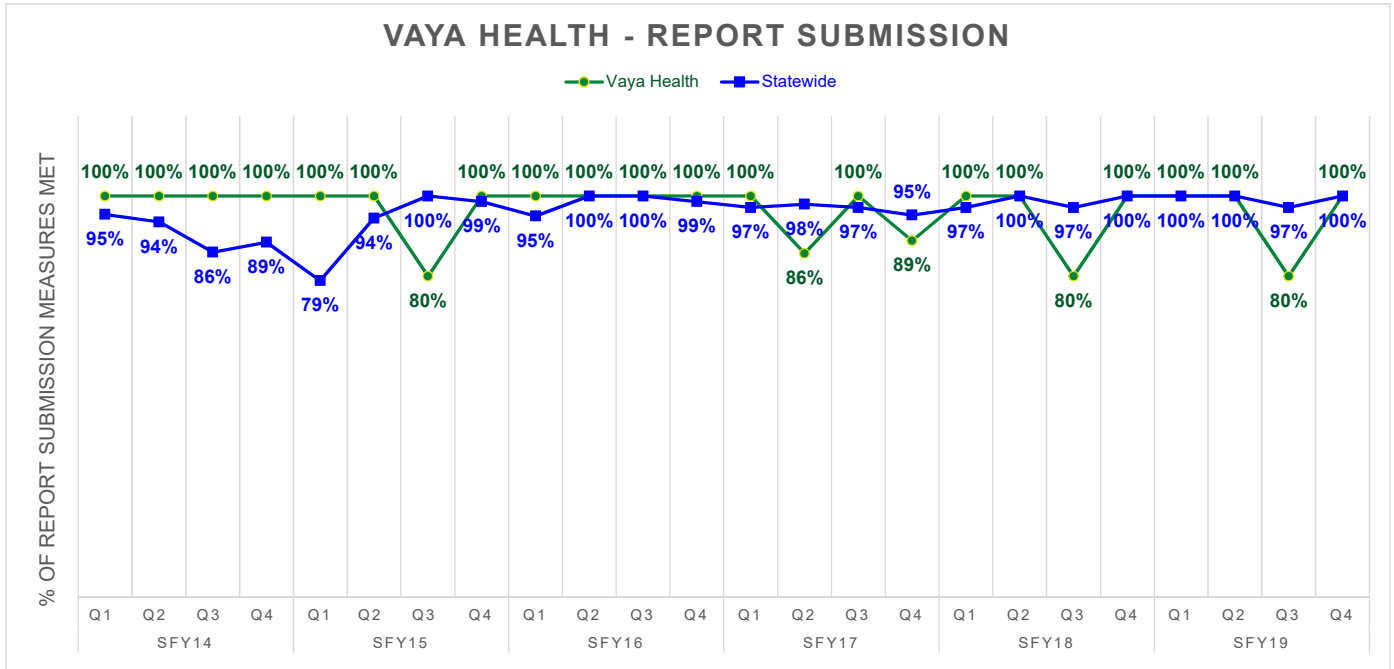


These graphs show Trillium Health Resources's overall performance compared with the state average (timeliness, completeness, accuracy) on submitting reports and data to the Division of MH/DD/SAS each quarter for the time period indicated as required by the DHHS - LME-MCO Performance Contract. Reporting requirements are attached to this report. The first set of graphs shows the percentage of report submission measures that were met, and the second set of graphs shows the percentage of data submission measures that were met. Data for SFY2015 represents the average of ECBH and CoastalCare the year prior to their merger to form Trillium Health Resources.



SFY 2019 Fourth Quarter LME-MCO Compliance with Reports & Data Requirements

Percent of Report and Data Submission Requirements Met SFY2014 - SFY2019



These graphs show Vaya Health's overall performance compared with the state average (timeliness, completeness, accuracy) on submitting reports and data to the Division of MH/DD/SAS each quarter for the time period indicated as required by the DHHS - LME-MCO Performance Contract. Reporting requirements are attached to this report. The first set of graphs shows the percentage of report submission measures that were met, and the second set of graphs shows the percentage of data submission measures that were met.

Reports and Data Monitored in the Quarterly Performance Contract Data/Report Submission Requirements Report

Requirement	DMH/DD/SAS Report Contact	LME Actions	Reporting Schedule	Guidelines & Reports	Legislative citation for the requirement to collect the information or Allocation letter.	Description of how DMH staff uses the information.	Who uses the summary information/report.
Monthly Financial Reports	Jay Dixon (919) 715-2051 Jay.Dixon@dhhs.nc.gov	LME submits all required monthly financial reports in acceptable format, completeness, and accuracy by the 20th of the month (or next business day if the due date is a weekend or holiday) following the month covered by the report.	Monthly	Audits for all LME's are required to go to the Local Government Commission for Review and Approval.	Financial Status Report required by APSM 75-1, T10:14C.1102, report requested by the DHHS Controller's Office.	The data is monitored to determine County funding provided to the LME/MCO. The data is monitored to determine if revenues are exceeding expenditures. It also assists the budget office in determining whether an LME needs some level of financial monitoring.	Budget Office
Substance Abuse/Juvenile Justice Initiative Quarterly Report	Ruby Brown-Herring 919-715-2771 Ruby.BrownHerring@dhhs.nc.gov	LME-MCO submits a quarterly SA/Juvenile Justice Initiative Report by the 20th of the month following the end of the quarter (or next business day if the due date is a weekend or holiday). All reports are accurate and complete and are received no later than 10 days after the due date.	Quarterly	Monthly Reports are submitted electronically at: https://uncg.qualtrics.com/SE/?SID=SV_e7EAp3eCOVqEBD&RID=MLRP_e9B5sBU39wccUKN&Q_CHL=email Reports available on DMH/DD/SAS website: http://www.jjsamhp.org/publications/	NC General Statute 122C-115.4	Report is submitted to federal office as part of block grant reporting	DMHDDSAS Office; NCDHHS Secretary's Office
Work First Initiative Quarterly Reports	Starleen Scott-Robbins (919) 715-2415 Starleen.Scott-Robbins@dhhs.nc.gov	LME-MCO submits a quarterly Work First Initiative Report by the 20th of the month following the end of the quarter (or next business day if the due date is a weekend or holiday). All reports are accurate and complete and are received no later than 10 days after the due date.	Quarterly	Website under re-construction.	NC General Statute 108A-25.2; G.S. 108A-29.1; NC DSSFNS 290	Report is submitted to federal office as part of block grant reporting and to State DSS for legislative reporting.	DMHDDSAS Office; NCDHHS Secretary's Office; NC Legislature; NC Division of Social Services
TBI Services Quarterly & Annual Reports	Scott Pokorny (919) 715-2255 Scott.Pokorny@dhhs.nc.gov	LME-MCO submits all required Traumatic Brain Injury (TBI) Services reports in acceptable format by the following due dates (or next business day if the due date is a weekend or holiday): • 1st quarter report = Dec 31. • 2nd quarter report = Mar 31. • 3rd quarter report = Jun 30. • 4th quarter report = Aug 31. • Annual report = Jul 31.	Quarterly & Annually	Recent reports are not available on the web but are available upon request.	NC Senate Bill 704 and TBI specific allocation	Information is used to report data to the Brain Injury Advisory Council and to monitor performance of the use of services.	DMHDDSAS Leadership. Brain Injury Advisory Council
LME-MCO Quarterly Complaints Report	C.J. Lewis (919) 715-2087 Chris.J.Lewis@dhhs.nc.gov	LME-MCO submits all required Complaints reports in acceptable format by the following due dates (or next business day if the due date is a weekend or holiday): • 1st quarter report = Nov 15. • 2nd quarter report = Feb 15. • 3rd quarter report = May 15. • 4th quarter report = Aug 15.	Quarterly	Reports can be found at: http://www.ncdhhs.gov/mhddsas/statspublications/Forms/index.htm#forms/lme	NC General Statute 122C-115.4. 10A NCAC 27G .0609	Looks at LME performance trends. Summary of LME-MCO activities reported concerning complaints and consumer rights.	DMHDDSAS Central Office and NC Medicaid
Client Data Warehouse (CDW) Admissions	Latoya deLagarde (919) 715-2484 Latoya.DeLagarde@dhhs.nc.gov	LME-MCO submits required CDW record types by the 15th of each month. Submitted admission records (record type 11) are complete and accurate.	Monthly	CDW Reporting Requirements Manual website: http://www.ncdhhs.gov/mhddsas/statspublications/Publications/CDW/cdwtechspecs1.12.pdf Reports available on DMH/DD/SAS website: http://www.ncdhhs.gov/mhddsas/providers/CDW/index.htm	NC General Statute 122C-115.4. APSM 70-1. CDW Reporting Requirements Manual	Report is submitted to federal office as part of block grant reporting	DMHDDSAS Central Office; NCDHHS Secretary's Office; NC Legislature; Federal Reporting
Client Data Warehouse (CDW) Diagnosis Record		LME-MCO submits required CDW record types by the 15th of each month. 90% of open clients who are enrolled in a benefit plan and receive a billable service will have a completed diagnosis in CDW within 30 days of the beginning date of service (1 quarter lag time is allowed for submission). A missing diagnosis is defined as DHHS not being able to secure a diagnosis from a service claim (NCTRACKS or Medicaid) or a Record Type 13.					
Client Data Warehouse (CDW) Unknown Data (Admissions)		LME-MCO submits required CDW record types by the 15th of each month. 90% of all mandatory fields contain a value other than 'unknown'.					
Client Data Warehouse (CDW) Unknown Data (Discharges)		LME-MCO submits required CDW record types by the 15th of each month. 90% of all mandatory data fields for the prior quarter contain a value other than 'unknown'.					
Client Data Warehouse (CDW) Identifying & Demographic Records		LME-MCO submits required CDW record types by the 15th of each month. 90% of open clients who are enrolled in a benefit plan and receive a billable service will have a completed identifying record (record type 10) and a completed demographic record (record type 11) in CDW within 30 days of the beginning date of service.					
Client Data Warehouse (CDW) Drug of Choice		LME-MCO submits required CDW record types by the 15th of each month. 90% of open clients enrolled in any of the benefit plans: ASCDR, ASTER, ASWOM, CSSAD and ASOUD have a drug of choice record (record type 17) completed within 60 days of the beginning date of service.					
Client Data Warehouse (CDW) Episode Completion Record (SUD Clients)		LME-MCO submits required CDW record types by the 15th of each month. An episode completion (discharge) record (Record Type 12) is completed for all Substance Abuse consumers who have had no billable service for at least 60 days. 90% of Substance Abuse clients admitted since October 1, 2006, who have had no billable service or administrative activity for at least 60 days, have an episode completion record.					
NC-TOPPS Update Interviews	Jennifer Bowman, (919) 715-2358 Jennifer.Bowman@dhhs.nc.gov	LME-MCOs are responsible for assuring that service providers conduct Initial and Update Interviews at appropriate intervals with consumers who qualify for NC-TOPPS. 90% of expected 3-month, 6-month, and 12-month update forms are received within ± 2 weeks of the required update month.	Quarterly	NC-TOPPS Guidelines and Dashboard is available on DMH/DD/SAS website: http://www.ncdhhs.gov/mhddsas/providers/NCTOPPS/index.htm	NC General Statute 122C-115.4.	Report is submitted to federal office as part of block grant reporting	DMHDDSAS Office; NC Medicaid; NCDHHS Secretary's Office; NC Legislature; Federal Reporting
NC Support Needs Assessment Profile (NC-SNAP)	Rachel Noell (919) 715-2325 Rachel.Noell@dhhs.nc.gov	The LME-MCO, through providers, will submit to DMH/DD/SAS, by the 15th of each month (or next business day if the due date is a weekend or holiday), an electronically transmitted file (SQL or FTP) containing current assessment forms for all consumers receiving or requesting services for Intellectual/Developmental Disabilities. 90% of current assessments are no more than 15 months old.	Monthly	NC-SNAP information is available of the DMH/DD/SAS website: http://www.ncdhhs.gov/mhddsas/providers/NCSNAP/index.htm	NC General Statute 122C-115.4.	The NC-SNAP measures an individual's level of intensity of need for intellectual or developmental disabilities (IDD) supports and services.	DMHDDSAS Office; NCDHHS Secretary's Office; NC Legislature; Federal Reporting
System of Care Report	Eric Harbour (919) 715-2363 Eric.Harbour@dhhs.nc.gov	LME-MCO submits a semi-annual System of Care Report by the 31st of the month following the end of the 2nd and 4th quarters (or next business day if the due date is a weekend or holiday). All reports are accurate and complete and received no later than 7 days after the due date.	Semi-annually	SOC information: http://www.ncdhhs.gov/mhddsas/services/serviceschildfamily/index.htm	NC General Statute 122C-115.4.	Data is used in the System of Care Year end Activity report. In addition the data is reported as a part of the MH Block Grant	DMHDDSAS Leadership; Internal staff.

Reports and Data Monitored in the Quarterly Performance Contract Data/Report Submission Requirements Report

Requirement	DMH/DD/SAS Report Contact	LME Actions	Reporting Schedule	Guidelines & Reports	Legislative citation for the requirement to collect the information or Allocation letter.	Description of how DMH staff uses the information.	Who uses the summary information/report.
SAPTBG Compliance Report	DeDe Severino (919) 715-2281 Dede.Severino@dhhs.nc.gov	The LME-MCO shall submit a semi-annual SAPTBG Compliance Report by the 20th of the month (or next business day if on a holiday or weekend) following the end of the semi-annual period. Reports are accurate and complete and show at least 48 hours of Synar activity for the period and are received no later than 10 days after the due date.	Semi-annually	The SAPTBG Compliance Report template available on DMH/DD/SAS website: http://www.ncdhhs.gov/mhddsas/statspublications/Forms/index.htm#formsime SAMHSA Synar report includes NC data: SAMHSA Synar report includes NC data: http://www.samhsa.gov/prevention/2011-Annual-Synar-Report.pdf	NC General Statute 122C-115.4	Aggregate data from the report is submitted to federal office as part of block grant reporting	DMHDDSAS Office; NCDHHS Secretary's Office
National Core Indicators (NCI) Consents, Pre-Surveys, and Mail Surveys	Karen Feasel, (919) 715-2270 Karen.Feasel@dhhs.nc.gov	The LME-MCO, through providers, submit a consent form and a pre-survey for each person selected to participate in the NCI project within the specified timeframes. The LME-MCO will also submit information needed for the mailed survey. All submissions are complete. 75% of the pre-surveys, consents, and mail survey information are received by the due date and are complete.	Annually - Fourth Quarter	DM/DD/SAS-Community Policy Management Section annually sends correspondence to LMEs explaining the NCI process and what is required (e.g. database, consent forms, pre-surveys, refusal forms, and names and addresses of legal guardians/family members). For reports go to the National Core Indicator website: http://www.nationalcoreindicators.org/	NC General Statute 122C-115.4.	Data is sent to HSRI as a part of the NCI national project. Measures are generated as a part of the Innovations Waiver.	DMHDDSAS Office; NC Medicaid; NCDHHS Secretary's Office; Office of Disability and Health; NC Legislature; Federal Reporting.