

**Adult Substance Abuse  
Assessment Only (ASAO)**

<p><b>Client Eligibility Criteria</b></p>	<p>Adults, ages 18 and over, who:</p> <ul style="list-style-type: none"><li>• are seeking or needing services for a current Substance Abuse problem or symptom, <b>AND</b></li><li>• have completed a current LME Screening/Triage/Referral (STR) process, <b>AND</b></li><li>• have received a current LME STR triage determination of "Urgent" or "Routine", <b>AND</b></li><li>• have been referred by the LME STR to the provider for assessment, <b>AND</b></li><li>• have been determined by the provider <u>not to be eligible for any other MH, DD, or SA Target Population</u>, <b>AND</b></li><li>• have been determined by the provider <u>not to be eligible for Medicaid services</u>.</li></ul> <p>The purpose of the Assessment Only Target Population is to provide a mechanism to reimburse a provider for a single service or assessment event that has been provided to a consumer, but for whom the provider determines that the consumer does not meet eligibility requirements for any other Target Population or for Medicaid services. Pending record requirements in APSM 45-2 apply.</p> <p><b>NOTES:</b></p> <p>All substance abuse consumers are required to be enrolled by providers and LMEs in a primary disability target population group that represents the consumer's principal or primary diagnosis and the main focus of attention or treatment, and that is chiefly responsible for the need for services received for the current episode of care.</p> <p>Substance-Related Disorders and Other Conditions That May Be A Focus of Clinical Attention will be classified according to the <i>International Classification of Diseases 9th Revision (ICD-9)</i> incorporated by reference to include subsequent amendments and editions.</p> <p>All individuals will be assessed for service eligibility on the basis of the American Society of Addiction Medicine (ASAM) <i>Patient Placement Criteria for the Treatment of Substance-Related Disorders (PPC)</i> (Adult Criteria) incorporated by reference to include subsequent amendments and editions.</p> <p>Substance abuse services shall be provided only by a duly licensed professional within their scope of practice, or by an individual who is a licensed, certified, or registered substance abuse counselor through the North Carolina Substance Abuse Professional Practice Board, and who practices and is supervised in accordance with G.S. 90-113.32, Article 5C, the North Carolina Substance Abuse Professional Practice Act.</p> <p>NC-TOPPS Interviews are required of providers for all substance abuse target population adults and children ages 6 years and above who are receiving any qualifying service, including outpatient only services, for any substance abuse diagnosis.</p>
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**Adult Substance Abuse  
Injecting Drug User/Communicable Disease (ASCDR)**

**Client  
Eligibility  
Criteria**

Injecting Drug Users, those with Communicable Disease and/or those Enrolled in Opioid Treatment Programs, are those adults who are ages 18 and over, who are in need of treatment for a primary alcohol or drug abuse disorder, and:

- (1) Who are currently (or within the past 30 days) injecting a drug under the skin, into a muscle, or into a vein for non-medically sanctioned reasons and who meet ICD-9 criteria for a substance-related disorder;
- OR**
- (2) Who are infected with HIV, tuberculosis, or hepatitis B, C, or D and who meet ICD-9 criteria for a substance-related disorder;
- OR**
- (3) Who meet ICD-9 criteria for dependence to a opioid drug, are addicted at least one year before admission, are 18 years of age or older, and who are enrolled in an opioid treatment program.

Eligibility Determination for this population group should be completed annually in conjunction with the Person Centered Plan process.

**NOTES:**

All substance abuse consumers are required to be enrolled by providers and LMEs in a primary disability target population group that represents the consumer's principal or primary diagnosis and the main focus of attention or treatment, and that is chiefly responsible for the need for services received for the current episode of care.

Substance-Related Disorders and Other Conditions That May Be A Focus of Clinical Attention will be classified according to the *International Classification of Diseases 9th Revision (ICD-9)* incorporated by reference to include subsequent amendments and editions.

All individuals will be assessed for service eligibility on the basis of the American Society of Addiction Medicine (ASAM) *Patient Placement Criteria for the Treatment of Substance-Related Disorders (PPC)* (Adult Criteria) incorporated by reference to include subsequent amendments and editions.

Substance abuse services shall be provided only by a duly licensed professional within their scope of practice, or by an individual who is a licensed, certified, or registered substance abuse counselor through the North Carolina Substance Abuse Professional Practice Board, and who practices and is supervised in accordance with G.S. 90-113.32, Article 5C, the North Carolina Substance Abuse Professional Practice Act.

NC-TOPPS Interviews are required of providers for all substance abuse target population adults and children ages 6 years and above who are receiving any qualifying service, including outpatient only services, for any substance abuse diagnosis.

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*Integrated Payment & Reporting System (IPRS) Target Population Details – FY 2010-11*

**Adult Substance Abuse  
Injecting Drug User/Communicable Disease (ASCDR)  
(continued)**

	<p>The client's service record shall include the federal substance abuse National Outcomes Measures (NOMs) and Treatment Episode Data Set (TEDS) admission and episode completion (discharge) data elements that are required to be reported by the Local Management Entity to the Division for the Consumer Data Warehouse (CDW). These elements include, but are not limited to, the identification at consumer admission and episode completion (discharge) of the consumer's primary, secondary, and tertiary substance problems, frequency of use, usual route of administration, age of first use, pregnancy status, living arrangements, employment status, arrest status, and social connectedness.</p> <p>Intent is to insure that SA treatment services are paid for by this population group.</p>																		
<p><b>ICD-9 Diagnosis Ranges</b></p>	<p>291-29299                      303-30599</p> <p>All clients are required to have a primary diagnosis of an alcohol or drug abuse disorder in addition to any diagnosed nicotine-related disorder or caffeine-related disorder.</p> <p>~~~~~</p> <p>For the most current list of specific diagnoses, refer to <i>IPRS Diagnosis / Target Population Crosswalk</i> on the IPRS website. (<a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a>)</p>																		
<p><b>Concurrency Issues</b></p>	<p>An individual cannot be enrolled in ASCDR and any other MH, DD, or SA Target Population category at the same time.</p> <p>~~~~~</p> <p>For full details, refer to <i>IPRS Eligibility Concurrency</i> on the IPRS website. (<a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a>)</p>																		
<p><b>Service Array</b></p>	<p>Refer to <i>IPRS Service Array</i> on the IPRS website. (<a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a>)</p>																		
<p><b>Provider Restrictions</b></p>	<p><b>Billing Provider</b> – Area Program or LME</p> <p><b>Attending Provider</b> – Licensed SA Treatment Provider/Grantee or Multi-Service w/SA Provider</p>																		
<p><b>Funding Source(s)</b></p>	<table border="0"> <tr> <td>Adult SA State Crisis</td> <td>536996003 1590 220 00</td> <td>(procedure code H0010, H2011, H2036, S9484, YP485, YP790, YP820)</td> </tr> <tr> <td>Adult SA SSBG</td> <td>536949 1491 252 Q7</td> <td></td> </tr> <tr> <td>Adult SA HIV</td> <td>536913 1491 252 5R</td> <td></td> </tr> <tr> <td>Adult SA IV Drug</td> <td>536952 1491 252 5R</td> <td></td> </tr> <tr> <td>Adult SA SABG</td> <td>536949 1491 252 5R</td> <td></td> </tr> <tr> <td>Adult SA State UCR</td> <td>536949 1490 222 00</td> <td></td> </tr> </table> <p>~~~~~</p> <p>For full details, refer to <i>IPRS Budget Criteria</i> on the IPRS website. (<a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a>)</p>	Adult SA State Crisis	536996003 1590 220 00	(procedure code H0010, H2011, H2036, S9484, YP485, YP790, YP820)	Adult SA SSBG	536949 1491 252 Q7		Adult SA HIV	536913 1491 252 5R		Adult SA IV Drug	536952 1491 252 5R		Adult SA SABG	536949 1491 252 5R		Adult SA State UCR	536949 1490 222 00	
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<p><b>Utilization Management</b></p>	<p>ASAM Adult Patient Placement Criteria apply to all substance abuse services and levels of care.</p> <p>Opioid Treatment should not be received from more than one provider in any month.</p>																		

**Adult Substance Abuse  
Criminal Justice Offender (ASCJO)**

**Client  
Eligibility  
Criteria**

Substance abusing Adult clients who are ages 18 and over, who are in need of treatment for a primary alcohol or drug abuse disorder, who are involved in the criminal justice system, and:

- (1) Who meet ICD-9 criteria for a substance-related disorder;  
**AND**
- (2) Whose services are approved by a TASC Program Care Manager;  
**AND**
- (3) Who voluntarily consent to participate in substance abuse treatment services;  
**AND**
- (4) Who are Intermediate Punishment offenders OR who are Department of Correction releasees (parole or post-release) who have completed a treatment program while in custody OR who are Community Punishment Violators at-risk for revocation.

Eligibility Determination for this population group should be completed annually in conjunction with the Person Centered Plan process.

**NOTES:**

All substance abuse consumers are required to be enrolled by providers and LMEs in a primary disability target population group that represents the consumer's principal or primary diagnosis and the main focus of attention or treatment, and that is chiefly responsible for the need for services received for the current episode of care.

Substance-Related Disorders and Other Conditions That May Be A Focus of Clinical Attention will be classified according to the *International Classification of Diseases 9th Revision (ICD-9)* incorporated by reference to include subsequent amendments and editions.

All individuals will be assessed for service eligibility on the basis of the American Society of Addiction Medicine (ASAM) *Patient Placement Criteria for the Treatment of Substance-Related Disorders (PPC)* (Adult Criteria) incorporated by reference to include subsequent amendments and editions.

Substance abuse services shall be provided only by a duly licensed professional within their scope of practice, or by an individual who is a licensed, certified, or registered substance abuse counselor through the North Carolina Substance Abuse Professional Practice Board, and who practices and is supervised in accordance with G.S. 90-113.32, Article 5C, the North Carolina Substance Abuse Professional Practice Act.

NC-TOPPS Interviews are required of providers for all substance abuse target population adults and children ages 6 years and above who are receiving any qualifying service, including outpatient only services, for any substance abuse diagnosis.

The client's service record shall include the federal substance abuse National Outcomes Measures (NOMs) and Treatment Episode Data Set (TEDS) admission and episode completion (discharge) data elements that are required to be reported by the Local Management Entity to the Division for the Consumer Data Warehouse (CDW). These elements include, but are not limited to, the identification at consumer admission and episode completion (discharge) of the consumer's primary, secondary, and tertiary substance problems, frequency of use, usual route of administration, age of first use, pregnancy status, living arrangements, employment status, arrest status, and social connectedness.

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*Integrated Payment & Reporting System (IPRS) Target Population Details – FY 2010-11*

**Adult Substance Abuse  
Criminal Justice Offender (ASCJO)  
(continued)**

<b>ICD-9 Diagnosis Ranges</b>	291-29299                      303-30599  All clients are required to have a primary diagnosis of an alcohol or drug abuse disorder in addition to any diagnosed nicotine-related disorder or caffeine-related disorder.  ~~~~~  For the most current list of specific diagnoses, refer to <i>IPRS Diagnosis / Target Population Crosswalk</i> on the IPRS website. ( <a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a> )
<b>Concurrency Issues</b>	An individual cannot be enrolled in ASCJO and any other MH, DD, or SA Target Population category at the same time.  ~~~~~  For full details, refer to <i>IPRS Eligibility Concurrency</i> on the IPRS website. ( <a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a> )
<b>Service Array</b>	Refer to <i>IPRS Service Array</i> on the IPRS website. ( <a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a> )
<b>Provider Restrictions</b>	<b>Billing Provider</b> – Area Program or LME  <b>Attending Provider</b> – Licensed SA Treatment Provider or Multi-Service w/SA Provider
<b>Funding Source(s)</b>	Adult SA State Crisis                      536996003 1590 220 00                      (procedure code H0010, H2011, H2036, S9484, YP485, YP790, YP820)  Adult SA Criminal Justice                      536943 1490 222 00 Adult SA SSBG                                      536949 1491 252 Q7 Adult SA SABG                                      536949 1491 252 5R Adult SA State UCR                              536949 1490 222 00  ~~~~~  For full details, refer to <i>IPRS Budget Criteria</i> on the IPRS website. ( <a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a> )
<b>Utilization Management</b>	ASAM Adult Patient Placement Criteria apply to all substance abuse services and levels of care.

**Adult Substance Abuse  
Crisis Services (ASCS)**

**Client  
Eligibility  
Criteria**

Adults, ages 18 and over, who:  
are seeking or needing services for a current Substance Abuse problem or symptom,

**AND**

who is not eligible for Medicaid,

**AND**

who have completed a current Screening/Triage/Referral Interview and have received an "Emergent" triage determination, as defined below\*, or are currently enrolled in an eligible Adult Substance Abuse Target Population and are in need of crisis or emergency services beyond the capacity of the designated First Responder provider,

***Note: An individual who is eligible for Medicaid is not eligible for the Crisis Services target population, nor is an individual who is eligible for both Medicaid and IPRS services. The Crisis Services target population is limited to only those individuals who either:***

***a) have no IPRS target population eligibility, or***

***b) have only IPRS target population eligibility, but not Medicaid eligibility.***

The purpose of the Crisis Services Target Population is to provide a mechanism to reimburse a provider for crisis or emergency services that have been provided to a non-Medicaid Adult Substance Abuse consumer. The consumer may or may not meet eligibility requirements for any other IPRS Target Population, but may not be eligible for Medicaid.

Eligibility for the Crisis Services target population requires LME admission of consumer into the CDW through completion of the Identifying Information (Record 10 or 30), Demographics (Record 11 or 31), and Substance Abuse (Drug of Choice) Details (Record 17 or 37).

The LME may establish the initial eligibility period in the Crisis Services (ASCS) population group for up to fourteen (14) days. After the initial eligibility period, the consumer must be reassessed and determined to continue to be in need of crisis and emergency services to be considered for another fourteen (14) day eligibility period.

*\* STR Definition of "Emergent": An individual's need shall be categorized as "Emergent" when the individual presents a moderate or severe risk related to safety or supervision, or is at moderate or severe risk of substance abuse withdrawal symptoms, or presents a mild, moderate, or severe risk of harm to self or others, or has severe incapacitation in one or more area(s) of physical, cognitive, or behavioral functioning related to mental health, developmental disabilities or substance abuse problems.*

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**Adult Substance Abuse  
Crisis Services (ASCS)  
(continued)**

<b>ICD-9 Diagnosis Ranges</b>	291-29299                      30390-30599  All clients are required to have a principal or primary diagnosis of a substance-related disorder involving alcohol or other drug(s) in addition to any diagnosed nicotine-related disorder or caffeine-related disorder.  For the most current list of specific diagnoses, refer to <u><i>IPRS Diagnosis / Target Population Crosswalk</i></u> on the IPRS website. ( <a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a> )
<b>Concurrency Issues</b>	<p>An individual cannot be enrolled in ASCS and any other MH, DD, or SA Target Population category at the same time.</p> <p>~~~~~</p> <p>For full details, refer to <u><i>IPRS Eligibility Concurrency</i></u> on the IPRS website. (<a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a>)</p>
<b>Service Array</b>	<p>Refer to <u><i>IPRS Service Array</i></u> on the IPRS website. (<a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a>)</p>
<b>Provider Restrictions</b>	<p><b>Billing Provider</b> – LME</p> <p><b>Attending Provider</b> – Multi-Service w/SA Provider or Enrolled SA Provider</p>
<b>Funding Source(s)</b>	<p>Adult SA State Crisis                      536996003 1590 220 00</p> <p>~~~~~</p> <p>For full details, refer to <u><i>IPRS Budget Criteria</i></u> on the IPRS website. (<a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a>)</p>
<b>Utilization Management</b>	<p>ASAM Adult Patient Placement Criteria apply to all substance abuse services and levels of care.</p> <p>Each Local Management Entity is responsible for the development and implementation of crisis and emergency services delivery and authorization guidelines to ensure the prompt and efficient implementation of services to eligible “Crisis Services (CS)” consumers. This includes LME approved procedures for the authorization of 24 hour admissions to inpatient hospital, facility based crisis, and 24 hour detoxification programs. The Division recommends that the LME review and authorize Mobile Crisis services after the delivery of the initial 16 units (4 hours) of this service.</p> <p>During regular hours of operation, the Division recommends immediate notification of the LME by the crisis or emergency services provider for all 24 hour emergency admissions. Written notification regarding such emergency admissions is recommended to be provided to the LME within 24 hours in all circumstances.</p>



**Adult Substance Abuse  
DSS Involved (ASDSS)**

**Client  
Eligibility  
Criteria**

DSS Involved adults who are ages 18 and over, who are in need of treatment for a primary alcohol or drug abuse disorder, and are substance abusers who meet ICD-9 criteria for substance-related disorder include those who:

- (1) Are parents who have legal custody of a child or children under 18 years of age;
- AND**
- (2) where there is a Child Protective Services report for child abuse, neglect, or dependence that is being assessed, or where there is a finding of a need for Child Protective Services or a case decision of substantiation by Child Protective Services, OR who are authorized by DSS to receive Work First Assistance and/or services
- OR**
- (1) Are DSS involved individuals who have been convicted of a Class H or I Controlled Substance Felony in North Carolina, and who are applicants for or a recipient of Food Stamps.

Eligibility Determination for this population group should be completed annually in conjunction with the Person Centered Plan process.

**NOTES:**

All substance abuse consumers are required to be enrolled by providers and LMEs in a primary disability target population group that represents the consumer's principal or primary diagnosis and the main focus of attention or treatment, and that is chiefly responsible for the need for services received for the current episode of care.

Substance-Related Disorders and Other Conditions That May Be A Focus of Clinical Attention will be classified according to the *International Classification of Diseases 9th Revision (ICD-9)* incorporated by reference to include subsequent amendments and editions.

All individuals will be assessed for service eligibility on the basis of the American Society of Addiction Medicine (ASAM) *Patient Placement Criteria for the Treatment of Substance-Related Disorders (PPC)* (Adult Criteria) incorporated by reference to include subsequent amendments and editions.

Substance abuse services shall be provided only by a duly licensed professional within their scope of practice, or by an individual who is a licensed, certified, or registered substance abuse counselor through the North Carolina Substance Abuse Professional Practice Board, and who practices and is supervised in accordance with G.S. 90-113.32, Article 5C, the North Carolina Substance Abuse Professional Practice Act.

NC-TOPPS Interviews are required of providers for all substance abuse target population adults and children ages 6 years and above who are receiving any qualifying service, including outpatient only services, for any substance abuse diagnosis.

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*Integrated Payment & Reporting System (IPRS) Target Population Details – FY 2010-11*

**Adult Substance Abuse  
DSS Involved (ASDSS)  
(continued)**

	<p>The client's service record shall include the federal substance abuse National Outcomes Measures (NOMs) and Treatment Episode Data Set (TEDS) admission and episode completion (discharge) data elements that are required to be reported by the Local Management Entity to the Division for the Consumer Data Warehouse (CDW). These elements include, but are not limited to, the identification at consumer admission and episode completion (discharge) of the consumer's primary, secondary, and tertiary substance problems, frequency of use, usual route of administration, age of first use, pregnancy status, living arrangements, employment status, arrest status, and social connectedness.</p> <p>Intent is to insure that SA treatment services are paid for by this population group.</p>
<p><b>ICD-9 Diagnosis Ranges</b></p>	<p>291-29299                      303-30599</p> <p>All clients are required to have a primary diagnosis of an alcohol or drug abuse disorder in addition to any diagnosed nicotine-related disorder or caffeine-related disorder.</p> <p align="center">~~~~~</p> <p>For the most current list of specific diagnoses, refer to <i>IPRS Diagnosis / Target Population Crosswalk</i> on the IPRS website. (<a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a>)</p>
<p><b>Concurrency Issues</b></p>	<p>An individual cannot be enrolled in ASDSS and any other MH, DD, or SA Target Population category at the same time.</p> <p align="center">~~~~~</p> <p>For full details, refer to <i>IPRS Eligibility Concurrency</i> on the IPRS website. (<a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a>)</p>
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<p><b>Provider Restrictions</b></p>	<p><b>Billing Provider</b> – Area Program or LME</p> <p><b>Attending Provider</b> – Licensed SA Treatment Provider or Multi-Service w/SA Provider</p>
<p><b>Funding Source(s)</b></p>	<p>Adult SA State Crisis                      536996003 1590 220 00                      (procedure code H0010, H2011, H2036, S9484, YP485, YP790, YP820)</p> <p>Adult SA SSBG                                      536949 1491 252 Q7</p> <p>Adult SA SABG                                      536949 1491 252 5R</p> <p>Adult SA State UCR                              536949 1490 222 00</p> <p align="center">~~~~~</p> <p>For full details, refer to <i>IPRS Budget Criteria</i> on the IPRS website. (<a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a>)</p>
<p><b>Utilization Management</b></p>	<p>ASAM Adult Patient Placement Criteria apply to all substance abuse services and levels of care.</p>

**Adult Substance Abuse  
Treatment Engagement and Recovery (ASTER)**

**Client  
Eligibility  
Criteria**

Adults who are ages 18 and over with a primary alcohol or drug abuse disorder and who require substance abuse assessment, treatment initiation, engagement, **treatment** and/or continuity of treatment services and supports for relapse prevention and recovery stability.

This target population is intended to assure eligibility for substance abuse treatment services for an adult consumer **with a substance abuse or dependence diagnosis** who is not already eligible for services through Medicaid.

The revised target population of Adult Substance Abuse Treatment Engagement and Recovery (ASTER) consolidates the following former target populations for consumer eligibility to services within IPRS: Adult Substance Abuse Treatment Engagement and Recovery (ASTER), Adult Substance Abuse High Management (ASHMT), Adult Substance Abuse DWI Treatment (ASDWI), Adult Substance Abuse Deaf and Hard of Hearing (ASDHH), and Adult Substance Abuse Homeless (ASHOM).

The purpose of the **revised Adult Substance Abuse** Treatment Engagement and Recovery target population is to promote broadened eligibility for primary substance abuse assessment treatment services for which a provider may be reimbursed. These services should be immediately available to a consumer. It is a goal that this target population eligibility will enhance LME efforts to develop a provider system that supports an accessible and responsive service array for primary substance abuse consumers. Substance abuse assessment and treatment services and supports are required to be provided per Division standards by licensed, certified, **registered** and qualified substance abuse professionals, associate professionals, and paraprofessionals.

This revised population includes the former ASTER Target Population, but all eligibility restrictions except for age and diagnosis have been removed, including the former limitations on number and length of eligibility periods.

This revised population includes the former ASHMT Target Population, but all eligibility restrictions except for age and diagnosis have been removed.

This revised population includes the former ASDWI Target Population, but all eligibility restrictions except for age and diagnosis have been removed, including the former limitation of an income level of 200% or less of the federal poverty level. The DWI consumer continues to be required by state law to pay for the initial \$175 in fees for substance abuse assessment and treatment.

This revised population includes the former ASDHH Target Population, but all eligibility restrictions except for age and diagnosis have been removed. Efforts should continue to be made to engage and serve individuals who are deaf or hard of hearing.

This revised population includes the former ASHOM Target Population, but all eligibility restrictions except for age and diagnosis have been removed. Efforts should continue to be made to engage and serve individuals who are homeless.

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**Adult Substance Abuse  
Treatment Engagement and Recovery (ASTER)  
(continued)**

<p><b>Client Eligibility Criteria, cont'd</b></p>	<p><b>NOTES:</b></p> <p>All substance abuse consumers are required to be enrolled by providers and LMEs in a primary disability target population group that represents the consumer's principal or primary diagnosis and the main focus of attention or treatment, and that is chiefly responsible for the need for services received for the current episode of care.</p> <p>Substance-Related Disorders and Other Conditions That May Be A Focus of Clinical Attention will be classified according to the <i>International Classification of Diseases 9th Revision (ICD-9)</i> incorporated by reference to include subsequent amendments and editions.</p> <p>All individuals will be assessed for service eligibility on the basis of the American Society of Addiction Medicine (ASAM) <i>Patient Placement Criteria for the Treatment of Substance-Related Disorders (PPC)</i> (Adult Criteria) incorporated by reference to include subsequent amendments and editions.</p> <p>Substance abuse services shall be provided only by a duly licensed professional within their scope of practice, or by an individual who is a licensed, certified, or registered substance abuse counselor through the North Carolina Substance Abuse Professional Practice Board, and who practices and is supervised in accordance with G.S. 90-113.32, Article 5C, the North Carolina Substance Abuse Professional Practice Act.</p> <p>NC-TOPPS Interviews are required of providers for all substance abuse target population adults and children ages 6 years and above who are receiving any qualifying service, including outpatient only services, for any substance abuse diagnosis.</p> <p>The client's service record shall include the federal substance abuse National Outcomes Measures (NOMs) and Treatment Episode Data Set (TEDS) admission and episode completion (discharge) data elements that are required to be reported by the Local Management Entity to the Division for the Consumer Data Warehouse (CDW). These elements include, but are not limited to, the identification at consumer admission and episode completion (discharge) of the consumer's primary, secondary, and tertiary substance problems, frequency of use, usual route of administration, age of first use, pregnancy status, living arrangements, employment status, arrest status, and social connectedness.</p>
<p><b>ICD-9 Diagnosis Ranges</b></p>	<p>291-29299                      303-30599</p> <p>All clients are required to have a primary diagnosis of an alcohol or drug abuse disorder in addition to any diagnosed nicotine-related disorder or caffeine-related disorder.</p> <p align="center">~~~~~</p> <p>For the most current list of specific diagnoses, refer to <i>IPRS Diagnosis / Target Population Crosswalk</i> on the IPRS website. (<a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a>)</p>

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**Adult Substance Abuse  
Treatment Engagement and Recovery (ASTER)  
(continued)**

<b>Concurrency Issues</b>	<p>An individual cannot be enrolled in ASTER and any other MH, DD, or SA Target Population category at the same time.</p> <p align="center">~~~~~</p> <p align="center">For full details, refer to <i>IPRS Eligibility Concurrency</i> on the IPRS website. (<a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a>)</p>												
<b>Service Array</b>	<p align="center">Refer to <i>IPRS Service Array</i> on the IPRS website. (<a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a>)</p>												
<b>Provider Restrictions</b>	<p><b>Billing Provider</b> – Area Program or LME</p> <p><b>Attending Provider</b> – Licensed SA Treatment Provider/Grantee or Multi-Service w/SA Provider</p>												
<b>Funding Source(s)</b>	<table border="0"> <tr> <td>Adult SA State Crisis</td> <td>536996003 1590 220 00</td> <td>(procedure code H0010, H2011, H2036, S9484, YP485, YP790, YP820)</td> </tr> <tr> <td>Adult SA SSBG</td> <td>536949 1491 252 Q7</td> <td></td> </tr> <tr> <td>Adult SA SABG</td> <td>536949 1491 252 5R</td> <td></td> </tr> <tr> <td>Adult SA State UCR</td> <td>536949 1490 222 00</td> <td></td> </tr> </table> <p>Mandatory Co-payment Requirement of first \$175 in Fees <u>by Eligible Client.</u></p> <p align="center">~~~~~</p> <p align="center">For full details, refer to <i>IPRS Budget Criteria</i> on the IPRS website. (<a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a>)</p>	Adult SA State Crisis	536996003 1590 220 00	(procedure code H0010, H2011, H2036, S9484, YP485, YP790, YP820)	Adult SA SSBG	536949 1491 252 Q7		Adult SA SABG	536949 1491 252 5R		Adult SA State UCR	536949 1490 222 00	
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<b>Utilization Management</b>	<p>ASAM Adult Patient Placement Criteria apply to all substance abuse services and levels of care.</p>												

**Adult Substance Abuse  
Women (ASWOM)**

**Client  
Eligibility  
Criteria**

Adult women who are ages 18 and over, who are in need of treatment for a primary alcohol or drug abuse disorder, with an ICD-9 Substance-Related disorder who are:

- (1) Currently pregnant;  
**OR**
- (2) Have dependent children under 18 years of age;  
**OR**
- (3) Who are seeking custody of a child under 18 years of age.

Eligibility Determination for this population group should be completed annually in conjunction with the Person Centered Plan process.

**NOTES:**

All substance abuse consumers are required to be enrolled by providers and LMEs in a primary disability target population group that represents the consumer's principal or primary diagnosis and the main focus of attention or treatment, and that is chiefly responsible for the need for services received for the current episode of care.

Substance-Related Disorders and Other Conditions That May Be A Focus of Clinical Attention will be classified according to the *International Classification of Diseases 9th Revision (ICD-9)* incorporated by reference to include subsequent amendments and editions.

All individuals will be assessed for service eligibility on the basis of the American Society of Addiction Medicine (ASAM) *Patient Placement Criteria for the Treatment of Substance-Related Disorders (PPC)* (Adult Criteria) incorporated by reference to include subsequent amendments and editions.

Substance abuse services shall be provided only by a duly licensed professional within their scope of practice, or by an individual who is a licensed, certified, or registered substance abuse counselor through the North Carolina Substance Abuse Professional Practice Board, and who practices and is supervised in accordance with G.S. 90-113.32, Article 5C, the North Carolina Substance Abuse Professional Practice Act.

NC-TOPPS Interviews are required of providers for all substance abuse target population adults and children ages 6 years and above who are receiving any qualifying service, including outpatient only services, for any substance abuse diagnosis.

The client's service record shall include the federal substance abuse National Outcomes Measures (NOMs) and Treatment Episode Data Set (TEDS) admission and episode completion (discharge) data elements that are required to be reported by the Local Management Entity to the Division for the Consumer Data Warehouse (CDW). These elements include, but are not limited to, the identification at consumer admission and episode completion (discharge) of the consumer's primary, secondary, and tertiary substance problems, frequency of use, usual route of administration, age of first use, pregnancy status, living arrangements, employment status, arrest status, and social connectedness.

Intent is to insure that SA treatment services are paid for by this population group.

**Continued on next page...**

*Integrated Payment & Reporting System (IPRS) Target Population Details – FY 2010-11*

**Adult Substance Abuse  
Women (ASWOM)  
(continued)**

<b>ICD-9 Diagnosis Ranges</b>	291-29299                      303-30599  All clients are required to have a primary diagnosis of an alcohol or drug abuse disorder in addition to any diagnosed nicotine-related disorder or caffeine-related disorder.  ~~~~~  For the most current list of specific diagnoses, refer to <i>IPRS Diagnosis / Target Population Crosswalk</i> on the IPRS website. ( <a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a> )																		
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