

**Child Substance Abuse  
Assessment Only (CSAO)**

**Client  
Eligibility  
Criteria**

Children, starting on the third birthday until the 18<sup>th</sup> birthday, who:

- are seeking or needing services for a current substance abuse problem or symptom, **AND**
- have completed a current LME Screening/Triage/Referral (STR) process, **AND**
- have received a current LME STR triage determination of "Urgent" or "Routine", **AND**
- have been referred by the LME STR to the provider for assessment, **AND**
- have been determined by the provider not to be eligible for any other MH, DD, or SA Target Population, **AND**
- have been determined by the provider not to be eligible for Medicaid services.

The purpose of the Assessment Only target population is to provide a mechanism to reimburse a provider for a single service or assessment event that has been provided to a consumer, but for whom the provider determines that the consumer does not meet eligibility requirements for any other Target Population or for Medicaid services. Pending record requirements in APSM 45-2 apply.

**NOTES:**

All substance abuse consumers are required to be enrolled by providers and LMEs in a primary disability target population group that represents the consumer's principal or primary diagnosis and the main focus of attention or treatment, and that is chiefly responsible for the need for services received for the current episode of care.

Substance-Related Disorders and Other Conditions That May Be A Focus of Clinical Attention will be classified according to the *International Classification of Diseases 9th Revision (ICD-9)* incorporated by reference to include subsequent amendments and editions.

All individuals will be assessed for service eligibility on the basis of the American Society of Addiction Medicine (ASAM) *Patient Placement Criteria for the Treatment of Substance-Related Disorders (PPC)* (Adult Criteria) incorporated by reference to include subsequent amendments and editions.

Substance abuse services shall be provided only by a duly licensed professional within their scope of practice, or by an individual who is a licensed, certified, or registered substance abuse counselor through the North Carolina Substance Abuse Professional Practice Board, and who practices and is supervised in accordance with G.S. 90-113.32, Article 5C, the North Carolina Substance Abuse Professional Practice Act.

NC-TOPPS Interviews are required of providers for all substance abuse target population adults and children ages 6 years and above who are receiving any qualifying service, including outpatient only services, for any substance abuse diagnosis.

The client's service record shall include the federal substance abuse National Outcomes Measures (NOMs) and Treatment Episode Data Set (TEDS) admission and episode completion (discharge) data elements that are required to be reported by the Local Management Entity to the Division for the Consumer Data Warehouse (CDW). These elements include, but are not limited to, the identification at consumer admission and episode completion (discharge) of the consumer's primary, secondary, and tertiary substance problems, frequency of use, usual route of administration, age of first use, pregnancy status, living arrangements, employment status, arrest status, and social connectedness.

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*Integrated Payment & Reporting System (IPRS) Target Population Details – FY 2010-11*

**Child Substance Abuse  
Assessment Only (CSAO)  
(continued)**

<b>ICD-9 Diagnosis Ranges</b>	291-29299                      303-30599  All clients are required to have a principal or primary diagnosis of a substance-related disorder involving alcohol or other drug(s) in addition to any diagnosed nicotine-related disorder or caffeine-related disorder.  ~~~~~ For the most current list of specific diagnoses, refer to <i>IPRS Diagnosis / Target Population Crosswalk</i> on the IPRS website. ( <a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a> )
<b>Concurrency Issues</b>	An individual cannot be enrolled in CSAO and any other Target Population category at the same time.  ~~~~~ For full details, refer to <i>IPRS Eligibility Concurrency</i> on the IPRS website. ( <a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a> )
<b>Service Array</b>	Refer to <i>IPRS Service Array</i> on the IPRS website. ( <a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a> )
<b>Provider Restrictions</b>	<b>Billing Provider</b> – Area Program or LME  <b>Attending Provider</b> – Licensed SA Treatment Provider or Multi-Service w/SA Provider
<b>Funding Source(s)</b>	Child SA State UCR    536945 1490 222 00 Child SA SABG    536945 1491 252 5R  ~~~~~ For full details, refer to <i>IPRS Budget Criteria</i> on the IPRS website. ( <a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a> )
<b>Utilization Management</b>	ASAM Adolescent Patient Placement Criteria apply to all substance abuse services and levels of care.  Up to 1 periodic service event within a current episode of care, and up to 2 periodic service events within the fiscal year, after which prior approval is required or current eligibility enrollment in another Target Population category.

**Child Substance Abuse  
Crisis Services (CSCS)**

<b>Client Eligibility Criteria</b>	<p>Children, starting on the third birthday until the 18<sup>th</sup> birthday, who: are seeking or needing services for a current Substance Abuse problem or symptom,</p> <p><b>AND</b></p> <p><u>who is not eligible for Medicaid,</u></p> <p><b>AND</b></p> <p>who have completed a current Screening/Triage/Referral Interview and have received an "Emergent" triage determination, as defined below*, <u>or</u> are currently enrolled in an eligible Child Substance Abuse Target Population and are in need of crisis or emergency services beyond the capacity of the designated First Responder provider,</p> <p><b>Note: An individual who is eligible for Medicaid is <u>not</u> eligible for the Crisis Services target population, nor is an individual who is eligible for both Medicaid and IPRS services. The Crisis Services target population is limited to only those individuals who either:</b></p> <p><b>a) have <u>no IPRS target population eligibility,</u> or</b></p> <p><b>b) have <u>only IPRS target population eligibility, but not Medicaid eligibility.</u></b></p> <p>The purpose of the Crisis Services Target Population is to provide a mechanism to reimburse a provider for crisis or emergency services that have been provided to a non-Medicaid Child Substance Abuse consumer. The consumer may or may not meet eligibility requirements for any other IPRS Target Population, but may <u>not</u> be eligible for Medicaid.</p> <p>Eligibility for the Crisis Services target population requires LME admission of consumer into the CDW through completion of the Identifying Information (Record 10 or 30), Demographics (Record 11 or 31), and Substance Abuse (Drug of Choice) Details (Record 17 or 37).</p> <p>The LME may establish the initial eligibility period in the Crisis Services (CSCS) population group for up to fourteen (14) days. After the initial eligibility period, the consumer must be reassessed and determined to continue to be in need of crisis and emergency services to be considered for another fourteen (14) day eligibility period.</p> <p><i>* STR Definition of "Emergent": An individual's need shall be categorized as "Emergent" when the individual presents a moderate or severe risk related to safety or supervision, or is at moderate or severe risk of substance abuse withdrawal symptoms, or presents a mild, moderate, or severe risk of harm to self or others, or has severe incapacitation in one or more area(s) of physical, cognitive, or behavioral functioning related to mental health, developmental disabilities or substance abuse problems.</i></p>
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**Child Substance Abuse  
Crisis Only (CSCS)  
(continued)**

<p><b>ICD-9 Diagnosis Ranges</b></p>	<p>291-29299                      3039-30599</p> <p>All clients are required to have a principal or primary diagnosis of a substance-related disorder involving alcohol or other drug(s) in addition to any diagnosed nicotine-related disorder or caffeine-related disorder.</p> <p>For the most current list of specific diagnoses, refer to <i>IPRS Diagnosis / Target Population Crosswalk</i> on the IPRS website. (<a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a>)</p>
<p><b>Concurrency Issues</b></p>	<p>An individual cannot be enrolled in CSCS and any other MH, DD, or SA Target Population category at the same time.</p> <p>~~~~~</p> <p>For full details, refer to <i>IPRS Eligibility Concurrency</i> on the IPRS website. (<a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a>)</p>
<p><b>Service Array</b></p>	<p>Refer to <i>IPRS Service Array</i> on the IPRS website. (<a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a>)</p>
<p><b>Provider Restrictions</b></p>	<p><b>Billing Provider</b> – LME <b>Attending Provider</b> – Multi-Service Provider or Multi-Service w/SA Provider or Enrolled DD Provider</p>
<p><b>Funding Source(s)</b></p>	<p>Child SA State Crisis                      536996003 1590 220 00</p> <p>~~~~~</p> <p>For full details, refer to <i>IPRS Budget Criteria</i> on the IPRS website. (<a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a>)</p>
<p><b>Utilization Management</b></p>	<p>ASAM Adult Patient Placement Criteria apply to all substance abuse services and levels of care.</p> <p>Each Local Management Entity is responsible for the development and implementation of crisis and emergency services delivery and authorization guidelines to ensure the prompt and efficient implementation of services to eligible “Crisis Services (CS)” consumers. This includes LME approved procedures for the authorization of 24 hour admissions to inpatient hospital, facility based crisis, and 24 hour detoxification programs. The Division recommends that the LME review and authorize Mobile Crisis services after the delivery of the initial 16 units (4 hours) of this service.</p> <p>During regular hours of operation, the Division recommends immediate notification of the LME by the crisis or emergency services provider for all 24 hour emergency admissions. Written notification regarding such emergency admissions is recommended to be provided to the LME within 24 hours in all circumstances.</p>

**Child Substance Abuse  
Child in the MAJORS SA/JJ Program (CSMAJ)**

<b>Client Eligibility Criteria</b>	<p>Child or adolescent, starting on the third birthday until the 18<sup>th</sup> birthday, who is in need of treatment for a primary alcohol or drug abuse disorder, with a Primary ICD-9 Substance-related disorder</p> <p><b>AND</b></p> <p>Is enrolled in the MAJORS Substance Abuse/Juvenile Justice Program.</p> <p>Eligibility Determination for this population group should be completed annually in conjunction with the Person Centered Plan process.</p> <p>~~~~~</p> <p><b>NOTES:</b></p> <p>All substance abuse consumers are required to be enrolled by providers and LMEs in a primary disability target population group that represents the consumer's principal or primary diagnosis and the main focus of attention or treatment, and that is chiefly responsible for the need for services received for the current episode of care.</p> <p>Substance-Related Disorders and Other Conditions That May Be A Focus of Clinical Attention will be classified according to the <i>International Classification of Diseases 9th Revision (ICD-9)</i> incorporated by reference to include subsequent amendments and editions.</p> <p>All individuals will be assessed for service eligibility on the basis of the American Society of Addiction Medicine (ASAM) <i>Patient Placement Criteria for the Treatment of Substance-Related Disorders (PPC)</i> (Adult Criteria) incorporated by reference to include subsequent amendments and editions.</p> <p>Substance abuse services shall be provided only by a duly licensed professional within their scope of practice, or by an individual who is a licensed, certified, or registered substance abuse counselor through the North Carolina Substance Abuse Professional Practice Board, and who practices and is supervised in accordance with G.S. 90-113.32, Article 5C, the North Carolina Substance Abuse Professional Practice Act.</p> <p>NC-TOPPS Interviews are required of providers for all substance abuse target population adults and children ages 6 years and above who are receiving any qualifying service, including outpatient only services, for any substance abuse diagnosis.</p> <p>The client's service record shall include the federal substance abuse National Outcomes Measures (NOMs) and Treatment Episode Data Set (TEDS) admission and episode completion (discharge) data elements that are required to be reported by the Local Management Entity to the Division for the Consumer Data Warehouse (CDW). These elements include, but are not limited to, the identification at consumer admission and episode completion (discharge) of the consumer's primary, secondary, and tertiary substance problems, frequency of use, usual route of administration, age of first use, pregnancy status, living arrangements, employment status, arrest status, and social connectedness.</p> <p>Intent is to insure that SA treatment services are paid for by this population group.</p>
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**Child Substance Abuse  
Child in the MAJORS SA/JJ Program (CSMAJ)  
(continued)**

<b>ICD-9 Diagnosis Ranges</b>	291-29299                      303-30599  All clients are required to have a primary diagnosis of an alcohol or drug abuse disorder in addition to any diagnosed nicotine-related disorder or caffeine-related disorder.  ~~~~~  For the most current list of specific diagnoses, refer to <i>IPRS Diagnosis / Target Population Crosswalk</i> on the IPRS website. ( <a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a> )																		
<b>Concurrency Issues</b>	An individual cannot be enrolled in CSMAJ and any other MH, DD, or SA Target Population category at the same time.  ~~~~~  For full details, refer to <i>IPRS Eligibility Concurrency</i> on the IPRS website. ( <a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a> )																		
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<b>Provider Restrictions</b>	<b>Billing Provider</b> – Area Program or LME  <b>Attending Provider</b> – Licensed SA Treatment Provider/Grantee or Multi-Service w/SA Provider																		
<b>Funding Source(s)</b>	<table border="0"> <tr> <td>Child SA State Crisis</td> <td>536996003 1590 220 00</td> <td>(procedure code H0010, H2011, H2036, S9484, YP485, YP790, YP820)</td> </tr> <tr> <td>Child SA State MAJORS</td> <td>536970 1490 222 00</td> <td></td> </tr> <tr> <td>Child SA SABG MAJORS</td> <td>536970 1491 252 5R</td> <td></td> </tr> <tr> <td>Child SA SABG</td> <td>536945 1491 252 5R</td> <td></td> </tr> <tr> <td>Child SA State UCR</td> <td>536945 1490 222 00</td> <td></td> </tr> <tr> <td>Child MH UCR CTSP</td> <td>536930 1290 220 39</td> <td></td> </tr> </table> ~~~~~  For full details, refer to <i>IPRS Budget Criteria</i> on the IPRS website. ( <a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a> )	Child SA State Crisis	536996003 1590 220 00	(procedure code H0010, H2011, H2036, S9484, YP485, YP790, YP820)	Child SA State MAJORS	536970 1490 222 00		Child SA SABG MAJORS	536970 1491 252 5R		Child SA SABG	536945 1491 252 5R		Child SA State UCR	536945 1490 222 00		Child MH UCR CTSP	536930 1290 220 39	
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Child MH UCR CTSP	536930 1290 220 39																		
<b>Utilization Management</b>	ASAM Adolescent Patient Placement Criteria apply to all substance abuse services and levels of care.  Proposed Prior Approval Policy: Insure grantee receives no less than grant amount.																		

**Child Substance Abuse  
Child with Substance Abuse Disorder (CSSAD)**

**Client  
Eligibility  
Criteria**

Child or adolescent, starting on the third birthday until the 18<sup>th</sup> birthday, who is in need of treatment for a primary alcohol or drug abuse disorder, with a **Primary** ICD-9 Substance-related disorder.

This target population is intended to assure eligibility for substance abuse treatment services for an adolescent consumer **with a substance abuse or dependence diagnosis** who is not already eligible for services through Medicaid.

The revised target population of Child with a Substance Abuse Disorder (CSSAD) consolidates the following former target populations for consumer eligibility to services within IPRS: **Child Substance Abuse Criminal Justice Offender (CSCJO), Child Substance Abuse Women (CSWOM), and Child Substance Abuse DWI Treatment (CSDWI).**

The purpose of the **revised Child with a Substance Abuse Disorder (CSSAD)** target population is to promote broadened eligibility for primary substance abuse assessment treatment services for which a provider may be reimbursed. These services should be immediately available to a consumer. It is a goal that this target population eligibility will enhance LME efforts to develop a provider system that supports an accessible and responsive service array for primary substance abuse consumers. Substance abuse assessment and treatment services and supports are required to be provided per Division standards by licensed, certified, **registered** and qualified substance abuse professionals, associate professionals, and paraprofessionals.

**This revised population includes the former CSCJO Target Population, but all eligibility restrictions except for age and diagnosis have been removed.**

**This revised population includes the former CSWOM Target Population, but all eligibility restrictions except for age and diagnosis have been removed.**

**This revised population includes the former CSDWI Target Population, but all eligibility restrictions except for age and diagnosis have been removed, including the former limitation of an income level of 200% or less of the federal poverty level. The DWI consumer continues to be required by state law to pay for the initial \$175 in fees for substance abuse assessment and treatment.**

Eligibility Determination for this population group should be completed annually in conjunction with the Person Centered Plan process.

**NOTES:**

**All substance abuse consumers are required to be enrolled by providers and LMEs in a primary disability target population group that represents the consumer's principal or primary diagnosis and the main focus of attention or treatment, and that is chiefly responsible for the need for services received for the current episode of care.**

**Substance-Related Disorders and Other Conditions That May Be A Focus of Clinical Attention will be classified according to the *International Classification of Diseases 9th Revision (ICD-9)* incorporated by reference to include subsequent amendments and editions.**

**All individuals will be assessed for service eligibility on the basis of the American Society of Addiction Medicine (ASAM) *Patient Placement Criteria for the Treatment of Substance-Related Disorders (PPC)* (Adult Criteria) incorporated by reference to include subsequent amendments and editions.**

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**Child Substance Abuse  
Child with Substance Abuse Disorder (CSSAD)  
(continued)**

	<p>Substance abuse services shall be provided only by a duly licensed professional within their scope of practice, or by an individual who is a licensed, certified, or registered substance abuse counselor through the North Carolina Substance Abuse Professional Practice Board, and who practices and is supervised in accordance with G.S. 90-113.32, Article 5C, the North Carolina Substance Abuse Professional Practice Act.</p> <p>NC-TOPPS Interviews are required of providers for all substance abuse target population adults and children ages 6 years and above who are receiving any qualifying service, including outpatient only services, for any substance abuse diagnosis.</p> <p>The client’s service record shall include the federal substance abuse National Outcomes Measures (NOMs) and Treatment Episode Data Set (TEDS) admission and episode completion (discharge) data elements that are required to be reported by the Local Management Entity to the Division for the Consumer Data Warehouse (CDW). These elements include, but are not limited to, the identification at consumer admission and episode completion (discharge) of the consumer’s primary, secondary, and tertiary substance problems, frequency of use, usual route of administration, age of first use, pregnancy status, living arrangements, employment status, arrest status, and social connectedness.</p> <p>Intent is to insure that SA treatment services are paid for by this population group.</p>
<p><b>ICD-9 Diagnosis Ranges</b></p>	<p>291-29299                      303-30599</p> <p>All clients are required to have a primary diagnosis of an alcohol or drug abuse disorder in addition to any diagnosed nicotine-related disorder or caffeine-related disorder.</p> <p align="center">~~~~~</p> <p>For the most current list of specific diagnoses, refer to <i>IPRS Diagnosis / Target Population Crosswalk</i> on the IPRS website. (<a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a>)</p>
<p><b>Concurrency Issues</b></p>	<p>An individual cannot be enrolled in CSSAD and any other MH, DD, or SA Target Population category at the same time.</p> <p align="center">~~~~~</p> <p>For full details, refer to <i>IPRS Eligibility Concurrency</i> on the IPRS website. (<a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a>)</p>
<p><b>Service Array</b></p>	<p align="center">Refer to <i>IPRS Service Array</i> on the IPRS website. (<a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a>)</p>
<p><b>Provider Restrictions</b></p>	<p><b>Billing Provider</b> – Area Program or LME</p> <p><b>Attending Provider</b> – Licensed SA Treatment Provider/Grantee or Multi-Service w/SA Provider</p>

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*Integrated Payment & Reporting System (IPRS) Target Population Details – FY 2010-11*

**Child Substance Abuse  
Child with Substance Abuse Disorder (CSSAD)  
(continued)**

<p><b>Funding Source(s)</b></p>	<table border="0"> <tr> <td>Child SA State Crisis</td> <td>536996003 1590 220 00</td> <td>(procedure code H0010, H2011, H2036, S9484, YP485, YP790, YP820)</td> </tr> <tr> <td>Child SA Women State</td> <td>536916 1490 222 00</td> <td></td> </tr> <tr> <td>Child SA Women SABG</td> <td>536916 1491 252 5R</td> <td></td> </tr> <tr> <td>Child SA SABG</td> <td>536945 1491 252 5R</td> <td></td> </tr> <tr> <td>Child SA State UCR</td> <td>536945 1490 222 00</td> <td></td> </tr> <tr> <td>Child MH UCR CTSP</td> <td>536930 1290 220 39</td> <td></td> </tr> </table> <p>In Southeastern Center, Coastal Horizons and SE Center have grants in the same NCAS account.</p> <p align="center">~~~~~</p> <p align="center">For full details, refer to <i>IPRS Budget Criteria</i> on the IPRS website. (<a href="http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm">http://www.dhhs.state.nc.us/mhddsas/iprsmenu/index.htm</a>)</p>	Child SA State Crisis	536996003 1590 220 00	(procedure code H0010, H2011, H2036, S9484, YP485, YP790, YP820)	Child SA Women State	536916 1490 222 00		Child SA Women SABG	536916 1491 252 5R		Child SA SABG	536945 1491 252 5R		Child SA State UCR	536945 1490 222 00		Child MH UCR CTSP	536930 1290 220 39	
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<p><b>Utilization Management</b></p>	<p>ASAM Adolescent Patient Placement Criteria apply to all substance abuse services and levels of care.</p> <p>Proposed Prior Approval Policy: Insure grantee receives no less than grant amount.</p>																		