**REQUEST FOR APPLICATIONS**

**North Carolina Refugee School Impact Program**

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| --- | --- |
| RFA Posted | April 27, 2022 |
| Letter of Intent Due  | May 6, 2022 by 5:00p.m. |
| Bidders Workshop | May 13, 2022 |
| Questions Due | May 24, 2022 |
| Applications Due | May 30, 2022, by **5:00 p.m.**  |
| Anticipated Notice of Award | July 6, 2022 |
| Anticipated Performance Period | October 1, 2022 through September 30, 2023 |
| Service | Refugee Assistance Program – Refugee School Impact Program |
| Issuing Agency | NC DHHS/DSS/Economic and Family Services/ State Refugee Office |
| E-mail Applicationsand Questions to: | P. Scott PhillipsKim Saunders | Email | Scott.Phillips@dhhs.nc.govKimberly.saunders@dhhs.nc.gov |
| Submission Instructions  | Late applications will not be accepted. Only electronic applications will be accepted. |

**THIS REQUEST FOR APPLICATIONS (RFA)** advertises the Division of Social Service (DSS) need for the services described herein and solicits applications offering to provide those services pursuant to the specifications, terms and conditions specified herein. All applications received shall be treated as offers to contract. If the Division decides to accept an application, an authorized representative of the North Carolina Department of Health and Human Services (NC DHHS) will sign in the space provided below. Acceptance shall create a contract that is effective as specified below.

**\*\*AWARD PENDING ON FUNDING\*\***

**THE UNDERSIGNED HEREBY SUBMITS THE FOLLOWING APPLICATION AND CERTIFIES THAT:** (1) the Applicant is authorized to bind the named Contractor to the terms of this RFA and Application; (2) the Contractor hereby offers and agrees to provide services in the manner and at the costs described in this RFA and Application; (3) this Application shall be valid for sixty (60) days after the end of the application period in which it is submitted.

**To Be Completed by Contractor:**

|  |  |
| --- | --- |
| Contractor Name: |  |
| Contractor’s Street Address: | E-Mail Address:  |
| City, State & Street Address Zip: | Telephone Number: |
| Name & Title of Authorized Representative: | Unique Entity Identification Number (formerly DUNS #): |
| Signature of Authorized Representative: | Date: |

**Unsigned or Incomplete Applications Shall Be Returned Without Being Reviewed**

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# 1.0 INTRODUCTION

The purpose of this Request for Application (RFA) is to secure services from public and non-profit organizations via the North Carolina Division of Social Services- State Refugee Office that lead to the effective integration and education of eligible youth.

# 1.1 PURPOSE

The Refugee School Impact Program (RSIP) program has two major focuses: 1) promote access to child care, to ensure that ORR-eligible children are prepared to succeed in formal schooling, and to holistically serve families of eligible children and 2) to promote academic performance and successful integration of ORR-eligible children and youth through facilitating improved education access, services, and outcomes.

Refugee School Impact Program eligible populations include individuals from birth until the age of 18 from the following the following ORR-Eligible groups:

* Refugees
* Asylee (granted Asylum)
* Amerasians
* Cuban/ Haitian Entrants
* Victims of Human Trafficking
* Special Immigrant Visa (SIV) Holder from Iraq and Afghanistan
* \* Afghan Special Immigrant Parole SQ/SI
* \* Afghan Individuals with Special Immigrant (SI) Conditional Permanent Residence (CPR)
* \* Afghan Humanitarian Parolees (AHP)

\*Afghanistan Supplemental Appropriations (ASA) Act, 2022, and effective September 30, 2021, Congress has authorized citizens or nationals of Afghanistan paroled into the United States between July 31, 2021, and September 30, 2022.

The Refugee School Impact Program is funded through two specific sources: Refugee School Impact Program (RSIP); and the Afghan School Impact Program (ASIP). RSIP now includes Early RSIP which allows the program to serve eligible individuals from birth to age 5. Any submission must describe how the proposed services will adequately address all the areas for which funding is being requested. See below for more detail on each source requirements as well as funding ceilings for each area.

The Refugee School Impact Program (Ceiling Funding Available: $100,000):

The program goals are to promote academic performance and successful integration of ORR-eligible children and youth ages 5 (or the age at which they enter school) until the age of 18 through facilitating education access, and increasing school districts’ capacity to support children, youth and families. Services may include but are not limited to:

• Providing specialized services and support for eligible children and youth, such as English

as a Second Language classes, tutoring, newcomer or transitional programs, after school and

summer programs, mentoring, behavioral health supports, and programming that supports

integration.

• Supporting families learning to navigate the U.S. education system, such as school-specific

orientation for both families and students, navigators or cultural brokers, and language access.

• Developing capacity for school staff and systems, through activities and resource such as

specialized trainings for school staff around the unique and varied needs of ORR-eligible

populations, ensuring language access by translating critical documents, interpretation, and

specialized staff dedicated to working with ORR-eligible children, youth, and families.

In addition, RSIP includes services for younger children, Early RSIP. The goals are to promote access to childcare, to ensure that ORR-eligible children are prepared to succeed in formal schooling, and to holistically serve families of eligible children. In other words, Early RSIP is designed to raise the quality of services to children and families across the birth-to-kindergarten continuum. improve access, services, and outcomes for ORR population through:

• Facilitating childcare access and capacity development, through improving systems, removing barriers to childcare access to increase the number of ORR-eligible families accessing childcare, providing specialized training on cultural competencies, and increasing child care providers’ knowledge of ORR-eligible population needs.

• Supporting early childhood education, through funding programs that support the academic and social preparation of children for formal schooling (preschool or kindergarten); cognitive, social, and emotional growth of preschool-aged children; and age-appropriate English language literacy.

• Facilitating parent integration and education, through funding programs that support parents of preschool-aged children, through activities and resources such as orientations to parental resources and local school systems; opportunities for parent engagement including connections to peer supports and social groups; and parenting classes, including education on U.S. child welfare laws.

• Providing holistic sessions with parents and children, through collaborations involving educators and relevant community partners to support English language literacy, school preparedness, and community orientation through promotion of parent-child interactions. Submissions should provide a clear picture of which funding sources will be funding programmatic approaches and how the proposed services fit within the structure/requirements of each funding source.

Afghan Refugee School Impact (ceiling Funding Available $100,000):

Within the framework of the RSIP program, the goal of the ASIPP supplement is to ensure that the goals, services, and activities defined for the Refugee School Impact program benefit newly arrived Afghan populations.

Submissions should provide a clear picture of which funding sources will be funding programmatic approaches and how the proposed services fit within the structure/ requirements of each funding source.

A full list of services along with other Refugee Support Services (RSS) policies and procedures may be found in the NC DHHS/DSS Refugee Assistance Manual.

The manual may be found online at: <https://policies.ncdhhs.gov/divisional/social-services/refugee-assistance/policy-manuals>. In addition, U.S. Office of Refugee Resettlement (ORR) federal regulations may be found at [http://www.acf.hhs.gov/programs/orr/policy/](http://www.acf.hhs.gov/programs/orr/policy/%20)

# 1.2 BACKGROUND

NC DHHS Mission Statement:

In collaboration with our partners, the North Carolina Department of Health and Human Services provides essential services to improve the health, safety, and well-being of all North Carolinians.

The State Refugee Office (SRO) was established via federal funding from the US Office of Refugee Resettlement (ORR) to provide refugee-specific social services defined and designated by federal regulation for eligible populations.

The NC DHHS has designated the Division of Social Services (DSS) to administer Refugee School Impact Program under the parameters of the NC State Refugee Plan and Annual Goal Plan which are approved each year by the ORR.

The term “refugee” is used in this notice to encompass all such persons, as described above, who are eligible to participate in the Refugee School Impact Program.

# 1.3 ELIGIBILITY

Applications are invited from public or private non-profit agencies that can provide direct services in accordance with the Refugee School Impact program policies and procedures.

Potential applicants must have the administrative capacity and financial stability to administer the funds if awarded as evidenced by your most recent financial statement/audit. Recipients of funds are expected to have written, established general agency policies.

To receive the ASIP funds agencies must work with citizens or nationals of Afghanistan paroled into the United States between July 31, 2021, and September 30, 2022.

**Private for-profit agencies are excluded from funding.**

# 2.0 AWARD INFORMATION: PENDING ON FUNDING

**Estimated Range of Awards: $100,000-$200,000**

**Ceiling on Amount of Individual Awards: $200,000 ($100,000 RSIP; $100,000 ASIP)**

**Award Term: The grant cycle will be for one-year from October 1, 2022 until September 30, 2023. The Division retains the right to extend the performance period of this contract for two (2) additional one (1) year periods. An RFA will be required SFY 2025.**

When determining final award decisions, DSS staff will consider overall RSIP factors involving service delivery such as geographic distribution, services and units proposed, cost per participant, agency collaborations, ethnic population and numbers to be served.

The final decision on funding entities rests with the Division Director or Designee.

After qualified applications are evaluated, all agencies approved for funding will be notified on or before

July 6, 2022.

Applicants may be required to reduce the scope of work and budget to reflect the actual amount of funds available. The notification will include the amount of funding available as well as contract application instructions should the applicant accept the level of funding offered.

# 2.1 SOURCE OF FUNDS AND PASS THROUGH REQUIREMENTS

Federal Award Identification Number: **TBD upon award**

Federal Award Date: **TBD upon award**

Subaward Period of Performance: October 1, 2022 – September 30, 2023

Amount of Federal Funds Obligated by this Action: Pending Funding

Federal Award Project Description: Refugee Assistance Program- Refugee School Impact Program

Federal Awarding Agency: US DHHS Administration for Children and Families

Pass-through Entity: NC DHHS/Division of Social Services

Unique Entity Identifier (formerly DUNS) # 8097853630000

CFDA Number: 93.566

CFDA Name: Refugee and Entrant Assistance

Is award R&D: No.

# 2.2 FEDERAL FUNDING ACCOUNTABILITY AND TRANSPARENCY ACT (FFATA)

As a subrecipient of federal funds, each selected grant recipient will be required to provide certain information required by the Federal Funding Accountability and Transparency Act (FFATA).

Please refer the FFATA Manual:

<https://www.fsrs.gov/documents/OMB_Guidance_on_FFATA_Subaward_and_Executive_Compensation_Reporting_08272010.pdf>

Additional information about FFATA is available at <https://www.fsrs.gov/>.

# 2.3 UNIQUE ENTITY IDENTIFIER (UEI)

Beginning April 4th, 2022, the federal government will no longer recognize DUNS numbers, but will require a new UEI which will be issued in [www.SAM.gov](http://www.SAM.gov) . Any new federally funded pass-through awards or amendments from our agency, NC DHHS, Division of Social Services (DSS) will require each selected grant recipient to provide their organization’s UEI.

Please refer to Section 10.0 (14) for information UEI registration information.

# 3.0 ABBREVIATIONS AND DEFINITIONS

ASIP - Afghan School Impact Program

DSS - Division of Social Services

EFS - Economic and Family Services

NC DHHS - North Carolina Department of Health and Human Services

ORR - U.S. Office of Refugee Resettlement

RSIP - Refugee School Impact Program

RIS - Refugee Information System

RSS - Refugee Support Services

SRO - State Refugee Office

Additional abbreviations and definitions, regarding the Refugee Assistance Program can be found in the NC DHHS manual <https://policies.ncdhhs.gov/divisional/social-services/refugee-assistance/policy-manuals/refugee-assistance-background/refugee-assistance-background>

Refugee Support Services Codes, Services, Description and Units [https://policies.ncdhhs.gov/divisional/social-services/refugee-assistance/policy-manuals/refugee-appendices/raxc.pdf](https://policies.ncdhhs.gov/divisional/social-services/refugee-assistance/policy-manuals/refugee-appendices/raxc.pdf%20).

# 4.0 SCOPE OF WORK

**Proposal Summary (**1-page limit)

Please provide a clear and concise description and purpose of the program. Summarize the major points including: the region/county to be served, the number of individuals who will be served for the entire contract period, the activities proposed (intensity, duration, and content) and who will administer the program. Include steps taken to ensure future successes or continuing the project beyond the awarded period, e.g. future financial support, staff requirements, continued community interest. For applicants seeking the ASIP funds, include a summary of the major points referenced above on service and eligibility requirements.

**SCOPE OF WORK**

**Direct Client Services Contract – Contractor Information and Services to be Provided Sheet**

Allsections must be completed.

4.1 Background **- Impact/Outcomes and Evaluations/Performance Measure Data Collection Plan**

Applicants must describe the goals of the proposed program and identify objectives. When forming the programs goals and objectives applicants should be aware of the required development and tracking of performance measures that will be included in a successful application.

# 4.2 PURPOSE

Applicant must definethe primary goal or mission of the program.

# 4.3 Performance Requirements

Applicants shall describe a reasonable and well-developed proposal for the implementation of the projects(s) proposed that fits into the overall mission/goals/objectives, values, and strategies of the program. This section must provide a clear picture of the activities and events that are scheduled to occur.

*Defines* ***When*** *(brief synopsis of timeframes)*

*Defines* ***Where*** *(what is the service area(s))*

*Defines* ***Who*** *(population served or impacted: define the number of unduplicated participants)*

*Defines* ***What*** *(activities, tasks, services, deliverables)*

*Defines* ***How*** *and* ***How Often*** *(how and how often is the service provided)*

# 4.4 PERFORMANCE STANDARDS

Defines the expectations and standards to which the provider will be held.

Details any reporting due, timeframes, methods

**Applicants must also provide answers below to demonstrate agency’s best practices of services provided data reporting, program success and participant satisfaction**

1. What staff position will enter service data into the Refugee Information System (RIS) database?
2. What staff position will ensure that data is entered in a timely, accurate manner?
3. How will your agency evaluate participant and program success formally and informally?
4. How will your agency measure participant satisfaction?
5. How will your agency ensure outputs are tracked and outcomes are measured accurately?
6. What type of follow-up will your agency provide to participants?

# 4.5 PERFORMANCE MONITORING / QUALITY ASSURANCE PLAN

Defines how performance will be monitored.

Defines what happens if performance is below expectations.

Defines corrective action plans designed to address performance.

# 4.6 Reimbursements

Defines plan for reimbursement of services.

# 4.7 CONTRACTOR RESPONSIBILITIES

Each applicant whose proposal is approved for funding will enter into a contractual agreement with the Division for implementation of the funded activities to include a detailed work plan, outcome measures, and budget as part of the contract.

The Division will process the RFA application.

State contracts are executed for one-year period.

Continuation is subject to the availability of funds AND successful completion of project goals.

Contracts will become effective beginning October 1, 2022, or other times thereafter within the State Fiscal Year.

Service delivery will begin on the effective date of the executed contract.

4.8 BUDGET AND BUDGET NARRATIVE **(Located in Contract Package)**

Refugee School Impact Program (RSIP) funding does not require a local match. Funds from this grant may not be used to supplant other funds. Expenditures for travel and daily subsistence must be in accordance with state approved rates. The Office of State Budget and Management (OSBM) prepares the Budget Manual which includes current state approved travel and daily subsistence rates and can be located on the Contract Package in the Instructions Tab.

A **Cost Allocation** plan for applicant agency.

**Job descriptions** for all positions included in the proposal budget

# 4.9 CONTRACTOR QUALIFICATIONS AND CAPACITY

**Organizational Capacity**

Successful agencies have strong organizational capacity to help achieve their goals. Organizational capacity includes but is not limited to, sound programmatic and fiscal policies and procedures, adequate staff, professional development opportunities, meaningful staff supervision time, engaged board and community stakeholders, sufficient resources, and a strong data and evaluation process.

This section should include, but not be limited to the following (do not mention staff names, only position titles):

* State the mission of the organization and how it relates to programming.
* Describe the history of your organization within the community and provide evidence that it has the capacity to serve and reach the target population.
* Will any of the proposed services be outsourced to a subcontractor? If so, describe how the services will regularly be monitored and performance evaluated.
* Who will oversee the administration and supervision of the proposed services and what are their qualifications?
* Include an **organizational chart** of your agency showing how the program fits into the organization’s structure
* Who will be responsible for submitting all financial forms and the individual’s experience with submitting budget modifications and monitoring agency/grant spending?

Complete the **Board Member Profile**, listing your current board members, their board position and contact information.

# 4.10 LOCAL COORDINATION AND COLLABORATION

Each proposal should describe collaboration with other agencies and organizations.

Applicants must answer the following questions:

* How does your agency collaborate with local organizations, coalitions, and/or parent partners that focus on child, family and community well-being, including your County Department of Social Services?
* How will your agency conduct community outreach and recruitment?
* How does your agency solicit, process, and track referrals?

# 5.0 DIVISION RESPONSIBILITIES

The contract administrator and/or Division staff will engage in continuous dialogues as well as make appropriate site visits to the contractor to review progress on a periodic basis.

Contractor will participate in monitoring by the State Refugee Office and representatives of other state and federal agencies.

Substandard performance will be identified and addressed timely and appropriately. Contractor will submit, within thirty (30) days of receipt of the monitoring report, a Corrective Action Plan, if required, to be implemented upon approval of the Plan.

The contractor administrator and/or Division staff will follow up on any areas on noncompliance to ensure that performance requirements and corrective action plans are fully implemented.

DSS shall monitor the Contractor according to the NC DHHS/DSS Subrecipient Monitoring Plan, which may be accessed at <https://files.nc.gov/ncdhhs/documents/files/dss/monitoring/NC-Local-County-Social-Service-Agencies-Monitoring-Plan-2019.pdf>

This includes compliance with the Federal Office of Management and Budget (OMB) CFR Title 2 Part 200.

# 5.1 PERFORMANCE OVERSIGHT

The DSS Program Consultant reviews Contractors’ monthly DSS-1571 invoices and general ledgers/ supporting documentation for accurate, allowable, and reasonable costs. The SRO also reviews the Suspension of Funding List to ensure that the Contractor has met audit requirements. Ongoing telephone and e-mail monitoring are documented by the DSS Program Consultant when it pertains to possible contractual non-compliance issues.

After the first quarter, a conference call is conducted between the DSS Program Consultant and Contractor staff to review performance monitoring and quarterly report(s) and ensure that required components of services, accurate monthly reporting, and fiscal procedures are being implemented and baseline data is being compiled to fulfill the evaluation plan of the contract. Also, the State Refugee Office database system, Refugee Information System (RIS) entries are reviewed for timeliness and to ensure eligible participants are enrolled and programming activities have been implemented. Ongoing telephone and e-mail monitoring are documented by the State Refugee Office Administrator when it pertains to possible contractual non-compliance issues.

Announced or unannounced on-site monitoring will be conducted during a grant cycle according to an established schedule once baseline data is collected, unless other requirements for frequency take precedence. Areas concerning programming, fiscal management, compliance requirements, personnel, safety, organizational capacity, subcontract services and evaluation are also reviewed to confirm contractual compliance during the on-site review.

Within thirty (30) days of an on-site or virtual monitoring review with identified corrective action findings, the SRO Administrator will send a formal written corrective action findings letter to the contractor. If the contractor remains in non-compliance status, the contract may be terminated due to failure to meet the terms and conditions of the contract.

# 6.0 TERM OF CONTRACT, OPTIONS TO EXTEND

The performance period for contracts resulting from this RFA is October 1,2022 and ends September 30, 2023. The Division retains the right to extend the performance period of this contract for two (2) additional one (1) year periods.

# 7.0 INVOICING AND REIMBURSEMENT

Upon execution of this contract, the Contractor shall submit to the Division Program Administrator, a monthly reimbursement request for services rendered the previous month and, upon approval by the Division, receive payment within thirty (30) days. Monthly payment shall be made based on actual expenditures made in accordance with the approved budget on file with both parties and reported on the monthly expenditure report submitted by the Contractor.

The Contractor must submit one signed original DSS-1571 III (Administrative Costs Report) to DSS Program Consultant by the 10th of each month for services provided in the prior month. DSS-1571 III reports must be submitted even when no services are provided in a given month. If applicable, one signed original of the subcontractor(s) DSS-1571 III must be submitted each month for services provided in the prior month, even if no costs were incurred in that month. Failure to submit monthly reports may delay receipt of reimbursement.

Monthly payment shall be made based on actual expenditures made in accordance with the approved budget on file with both parties and reported on the monthly expenditure report submitted by the Contractor.

Failure to submit by the due date will result in the reimbursement being held until the following month. Late submittal for several consecutive months may result in denial of cost reimbursement for expenditures greater than sixty (60) days overdue.

These documents must be submitted together after review by the Project Director who signs the MRSD. Faxed copies will not be accepted.

The Division will have no obligation for payments based on expenditure reports submitted later than sixty (60) days after termination or expiration of the contract period.

All payments are contingent upon fund availability.

# 8.0 THE SOLICITATION PROCESS

The following is a general description of the process by which agencies or organizations will be selected to complete the goal or objective.

1. RFAs are being sent to prospective agencies and organizations.
2. Applications will be received from each agency or organization. The original must be signed and dated by an official authorized to bind the agency or organization.
3. All applications must be received by the funding agency not later than the date and time specified on the cover sheet (page 1) of the RFA. Faxed applications will not be accepted.
4. At that date and time, the applications from each responding agency and organization will be logged in.
5. At their option, the evaluators may request additional information from any or all Contractors for the purpose of clarification or to amplify the materials presented in any part of the application. However, agencies and organizations are cautioned that the evaluators are not required to request clarification: therefore, all applications should be complete and reflect the most favorable terms available from the agency or organization.
6. Applications will be evaluated according to completeness, content, experience with similar projects, ability of the agency's or organization's staff, cost, etc. The award of a grant to one agency and organization does not mean that the other applications lacked merit, but that, all facts considered, the selected application was deemed to provide the best service to the State.
7. Agencies and organizations are cautioned that this is a request for applications, and the funding agency reserves the unqualified right to reject any and all applications when such rejections are deemed to be in the best interest of the funding agency.

# 9.0 GENERAL INFORMATION ON SUBMITTING APPLICATIONS

1. **Award or Rejection**
All qualified applications will be evaluated, and awards made to those agencies or organizations whose capabilities are deemed to be in the best interest of the funding agency. The funding agency reserves the unqualified right to reject any or all offers if determined to be in its best interest. Successful Contractors will be notified by July 6, 2022.
2. **Cost of Application Preparation**
Any cost incurred by an agency or organization in preparing or submitting an application is the agency's or organizations sole responsibility; the funding agency will not reimburse any agency or organization for any pre-award costs incurred.
3. **Elaborate Applications**
Elaborate applications in the form of brochures or other presentations beyond that necessary to present a complete and effective application are not desired.
4. **Oral Explanations**
The funding agency will not be bound by oral explanations or instructions given at any time during the competitive process or after awarding the grant.
5. **Reference to Other Data**
Only information that is received in response to this RFA will be evaluated; reference to information previously submitted will not suffice.
6. **Titles**
Titles and headings in this RFA and any subsequent RFA are for convenience only and shall have no binding force or effect.
7. **Exceptions**
All applications are subject to the terms and conditions outlined herein. All responses will be controlled by such terms and conditions. The attachment of other terms and condition by any agency and organization may be grounds for rejection of that agency or organization's application. Funded agencies and organizations specifically agree to the conditions set forth in the Performance Agreement (contract).
8. **Advertising**
In submitting its application, agencies and organizations agree not to use the results therefrom or as part of any news release or commercial advertising without prior written approval of the funding agency.
9. **Right to Submitted Material**
All responses, inquiries, or correspondence relating to or in reference to the RFA, and all other reports, charts, displays, schedules, exhibits, and other documentation submitted by the agency or organization will become the property of the funding agency when received.
10. **Competitive Offer**
Pursuant to the provision of G.S. 143-54, and under penalty of perjury, the signer of any application submitted in response to this RFA thereby certifies that this application has not been arrived at collusively or otherwise in violation of either Federal or North Carolina antitrust laws.
11. **Agency and Organization's Representative**
Each agency or organization shall submit with its application the name, address, and telephone number of the person(s) with authority to bind the agency or organization and answer questions or provide clarification concerning the application.
12. **Subcontracting**
Agencies and organizations may propose to subcontract portions of work provided that their applications clearly indicate the scope of the work to be subcontracted, and to whom. All information required about the prime grantee is also required for each proposed subcontractor.
13. **Proprietary Information**
Trade secrets or similar proprietary data which the agency or organization does not wish disclosed to other than personnel involved in the evaluation will be kept confidential to the extent permitted by NCAC TO1: 05B.1501 and G.S. 132-1.3 if identified as follows: Each page shall be identified in boldface at the top and bottom as "CONFIDENTIAL." Any section of the application that is to remain confidential shall also be so marked in boldface on the title page of that section.
14. **Participation Encouraged**
Pursuant to Article 3 and 3C, Chapter 143 of the North Carolina General Statutes and Executive Order No. 77, the funding agency invites and encourages participation in this RFA by businesses owned by minorities, women and the disabled including utilization as subcontractor(s) to perform functions under this Request for Applications.

# 10.0 STATE AND FEDERAL CONTRACT PROVISIONS AND CERTIFICATIONS

If the Agency is awarded a contract through this RFA, the Agency agrees as follows:

1. **09 NCAC Subchapter 03M-Uniform Administration of State Awards of Financial Assistance**

The rule in this subchapter establishes the requirements for non-state entities that receive, hold, use or expend State funds must ensure the uniform administration of state financial assistance by all State agencies, recipients, and subrecipients.

1. **Reporting Requirements**

The Division has determined that this is a contract for financial assistance, and therefore is subject to the reporting requirements described in the Regulations and Reporting Requirements of N.C. General Statute 143C-6-21; 143C-6-22; and 143C-6-23.

1. **Conflict of Interest Policy**

The Division has determined that this contract is a financial assistance contract. The Contractor shall file with the Division, a copy of the Contractor’s policy addressing conflicts of interest that may arise involving the Contractor’s management employees and the members of its board of directors or other governing body. The policy shall address situations in which any of these individuals may directly or indirectly benefit, except as the Contractor’s employees or members of its board or other governing body, from the Contractor’s disbursing of state funds and shall include actions to be taken by the Contractor or the individual, or both to avoid conflicts of interest and the appearance of impropriety. The policy shall be filed before the Division may disburse the grant funds. (N.C.G.S. 143C-6-23(b)(2007))

1. **Statement of No Overdue Tax Debts**

Contractor’s sworn written statement pursuant to N.C.G.S. 143C-6-23(c), stating that the

Contractor does not have any overdue tax debts, as defined by G.S. 105-243.1, at the federal, state, or local level. The Contractor acknowledges that the written statement must be filed before Division may disburse the grant funds.

1. **Internal Revenue Tax Exemption Letter**

Contractors claiming exempt status must attain a letter affirming that the IRS recognizes your organization's tax-exempt status under Code section 501.

1. **Indirect Cost Rate**

Nonprofits that intend to charge indirect costs through the use of an indirect cost rate must have a Federally-approved indirect cost agreement or must use the de minimis indirect cost rate of 10% of modified direct total costs. Please include a copy of a current, signed Federally-approved indirect cost rate agreement. If you wish to use the de minimis rate, please refer to <https://www.ecfr.gov/current/title-2/subtitle-A/chapter-II/part-200/subpart-E/subject-group-ECFRd93f2a98b1f6455/section-200.414> for more information.

Non-federal entities, other than State and local governments that have never received a Federally-approved indirect cost rate, may elect to charge a de minimis rate of 10% of modified total direct costs.

1. **North Carolina Department of the Secretary of State**

Contractors doing business with the State of North Carolina must be properly incorporated and authorized to do business in the State of North Carolina (<http://www.sosnc.com/>).

1. **Audit Requirements**

Please be advised that successful Contractors may be required to have an audit in accordance with G. S. 143-6.2 as applicable to the agency or organization’s status.

1. **State Certification**

Contractor must complete and sign in blue ink State Certification Form.

1. **New Uniform Guidance OMB 2 CFR Part 200**

The Agency shall comply with the following statutes and implementing regulations as applicable from the Office of Management and Budget (OMB) guidance now streamlined in 2 CFR Part 200. The Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards; Final Rule, published by the U.S. Office of Management and Budget on December 26, 2013, provides a single set of cost, audit and administrative requirements for all entities receiving federal funding. These federal requirements, referred to as “the Omni-Circular”, supersede and replace a collection of OMB circulars that governed different aspects of federal funds administration and applied to different types of federal grantees: OMB Circulars A-21, A-87, A-110, A-122, A-89, A-102 and A-133

1. **Omni-Circular Section 200.331 Requirements for Pass-Through Entities**

The Division and all pass-through entities must ensure that every subaward is clearly identified to the subrecipient as a subaward and disclose pass-through requirements at the time of the subaward as provided under Omni-Circular Section 200.331.

1. **Federal Funding Accountability and Transparency Act (FFATA)**

The Federal Funding Accountability and Transparency Act requires the Office of Management and Budget (OMB) to maintain a single, searchable website that contains information on all federal spending awards, which is www.USASpending.gov. The Transparency Act prescribes specific pieces of information to be reported. The Division must provide this data on eligible subawards. Contractors meeting the FFATA requirements are required to complete the FFATA report and submit to the Division when requested. A subrecipient of federal funds, each selected grant recipient will be required to provide certain information required by the Federal Funding Accountability and Transparency Act (FFATA), including the organization’s Unique Entity Identification Number (formerly DUNS number). Please see <https://fedgov.dnb.com/webform> for free registration. Additional information about FFATA is available at <https://www.fsrs.gov/>

1. **Consolidated Federal Certifications**

Agencies or organizations receiving Federal funds will be required to execute Federal Certifications regarding Non-discrimination, Drug-Free Workplace, Environmental Tobacco Smoke, Debarment, Lobbying, and Lobbying Activities. Certification Regarding Debarment Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transactions. (a) The prospective lower tier participant (the Agency) certifies, by submission of this contract proposal, that neither it nor its principals is presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any Federal Department or Federal Agency. (b) Where the prospective lower tier participant is unable to certify to any of the statements in this certification, such prospective participants shall attach an explanation to this contract proposal.

A copy of the Federal Certifications is located just below the RFA document on the NC DHHS Public Notices webpage. Federal Certifications should be signed or returned with the application.

1. **Federal Contract Registration Requirements and Systems**

**SYSTEM FOR AWARD MANAGEMENT** (**SAM) REGISTRATION/UNIQUE ENTITY IDENTIFIER**

**For organizations already registered in SAM:**

For organizations who are already registered in [www.SAM.gov](http://www.SAM.gov) , the federal government will automatically assign a UEI to you as part of your current SAM registration which is required if you are a *direct recipient* of federal funds. If you have not received notice of your UEI directions on how to find your UEI can be found at <https://sam.gov/content/home>.

**For organizations NOT already registered in SAM:**

Please visit <https://sam.gov/content/home> for instructions and registration.

**ELECTRONIC SUBCONTRACTING REPORTING SYSTEM**

Agencies may also be required to report their contract expenditures in the (ESRS) Electronic Subcontracting Reporting System: <https://www.esrs.gov/>

# 11.0 APPLICATION CONTENT AND INSTRUCTIONS

Contractors shall populate all attachments of this RFA that require the Contractor to provide information and include an authorized signature where requested. Contractor RFA responses shall include the following items and those attachments should be arranged in the following order. Number each page consecutively. The proposal must be submitted through email in a word document except for signed Attachments that can be submitted in a pdf. Document by the required deadline.

1. **Cover Page** (1st page of RFA) with all fields completed, signed by an authorized official of the Contractor organization
2. **Proposal Summary**
3. **Scope of Work** – See 4.0 (to include the following)
4. **Direct Client Services Contract**

a. The Contractor’s name and principal place of business.

b. The Contractor’s legal status; i.e. whether the Contractor is an individual, a corporation, a general partnership, a limited partnership, a joint venture or some other legal entity. The state in which the Contractor is incorporated or organized Scope of Work – See 4.0 (to include the following)

**Background**: Describes the organization and its qualifications for funding.

**Purpose:** Assessment of Need/s (Problem Statement)

**Performance Requirements**: A written description of the Contractor’s approach to the project, including identification of key partners.

- A description of how the Contractor will meet each of the requirements and

 deliverable described in the scope of work (The Plan of Action).

- Project Implementation Plan (Work plans, timelines, schedules, and transition

 plans for the project)

**Standard language is provided for Performance Standards, Performance Monitoring/Quality Assurance Plan and Reimbursements.**

5. **Contractor Qualifications and Capacity**

**Face Sheet, Budget & Required Documents**

Applicants must complete the Contractor Package Excel worksheet that includes:

* Contractor Face Sheet;
* Budget for SFY 2023; and
* Performance Measures

The line-item budget is for Federal Fiscal Year 2023.

***Read the Excel workbook Budget Worksheets Instructions tab carefully*** because it contains all the information required to develop a budget, including entering salary and fringes. Make sure that all expenses are clearly explained in the Narrative column.

The budget is subject to the following requirements:

* All funds are distributed on a reimbursement after expenditure basis.
* Funds from this grant may not be used to supplant other funds.
* Expenditures for travel and daily subsistence may not exceed state approved rates, which are listed in the DSS Contractor Package instructions.
* Equipment (e.g. computers, printers, televisions, video cameras, etc.) may be purchased if it is required by the program model or supports the contract goals and outcomes. Contractors that received funding in previous years to purchase equipment must submit a clear justification of need in order to purchase duplicate equipment under this grant.
* Cost allocation plan, if sharing costs across multiple funding streams.

* Proof of insurance and a copy of the policy is needed if an agency is planning on providing transportation.

**Required Application Attachments**

* **Application Checklist** helps ensure that Applicants have completed all the required elements.
* **Authorized Signature Template** lists the staff and board members who are authorized to sign for contractual and/or fiscal documents, including invoices.
* **Board Member Profile** that lists your agency’s current board members, their board position, phone number, and email address. Applicants must use template provided in the link in Appendix B.
* **Job Descriptions** for all positions listed in your proposed Budget. (***Do not include individual names, only position titles)***
* **DSS Contractor Package** – Face Sheet, Budget, and Required Documents (see below)
* **Organizational Chart** is a visual illustration of the agency’s staff positions that show how the proposed programs fit into the overall agency’s structure (***Do not include individual names, only position titles)***.

**Electronic Submission:**

Applicants Submit complete application packages by 5:00 p.m. on May 30, 2022, to the following email addresses: Scott.Phillips@dhhs.nc.gov and Kimberly.Saunders@dhhs.nc.gov . Each document in the Appendix must be returned in a separate file. Documents requiring signature must be returned as a PDF file. All other documents must be returned in the original format (Excel, Word). The application may not fit in one email and may require multiple emails. Please include the name of the agency and “Email X of X” in the email subject line.

 Each attachment must be named with the **name of agency** followed by **name of attachment.**

* Example: Best Refugee Provider: Cover Letter
* Example: Best Refugee Provider: Board Member Profile
* Example: Best Refugee Provider: Federal Certifications

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| --- |
| **SERVICES TO BE PROVIDED** |
| (1)Service | (2)ServiceCode | (3)Number of Program ParticipantsServed By Time in Country\*\* | (4)# of Units of Service | (5)Definition of Unit of Service |
| TOTAL Number**\*** | 0 – 12 Months | 13 – 60 Months |
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\*Duplicated Participants - Clients may receive any combination of services listed above.

\*\* 0-12 Months - Indicates Refugee’s first 12 months living in the United States

 13-60 Months - Indicates Refugee has been in the United States for more than 1 year (up to 5 years)

**SCOPE OF WORK**

**Background**

* *Defines the primary goal or mission of the program.*
* *Provide national, state, and local statistics.*
* *Provide FY21-22 deliverables for the following services,* ***if provided:*** *# served, # employed, % with health benefits, % employed at the 90th day, # that received ELT, # that received citizenship services, # that received Interpretation/Translation services.* ***For current providers, data MUST be pulled from RIS Performance Report (Schedule C-Services Report) for the period October 1, 2020 through September 30, 2021.*** *If not currently providing these services this is not necessary.*

**PURPOSE**

* *Enter # served and list counties being served.*

*EXAMPLE: The purpose of this contract is to assist* ***\_\_\_\_\_*** *entrants residing in (List each county to be served) with transitioning into a new environment, adjusting to cultural differences in the US, and securing employment to attain economic self-sufficiency.  These services will be provided through various educational, social and economic programs.*

**Performance Requirements**

* *Defines* ***When*** *(brief synopsis of timeframes)*
* *Defines* ***Where*** *(what is the service area(s))*
* *Defines* ***Who*** *(population served or impacted: define the number of unduplicated participants)*
* *Defines* ***What*** *(activities, tasks, services, deliverables)*
* *Defines* ***How*** *and* ***How Often*** *(how and how often is the service provided)*

**(For each service listed, follow the example provided below)**

***Use numbers and not bullets.***

***Do not include Service Code numbers.***

During the period of October 1, 2022 and September 30, 2023 in the designated service areas, the Contractor, \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_, shall:

*This is an example of how to capture the last three items:*

1. *Provide Assessment Services to 75 clients* ***(Who)*** *that will contribute to the development of an appropriate plan outlining needed services and referrals. Staff will conduct an intake meeting* ***(How)*** *prior to enrollment for services* ***(How Often)*** *with the individual that include, conducting a comprehensive assessment* ***(What)*** *that identifies aptitude and skills for needed services and potential barriers, obtaining and recording in-depth information* ***(What)*** *and completing the plan* ***(What)****.*

**Board Member Profile**

*List your current board members, their board position and contact information.*

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Name of Board Member** | **Title** | **Number of Years on the Board** | **Address** | **Phone Number** |
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**OrganizationAL Chart**

*Input your organization chart here. Position titles ONLY.*

**Job Description(s)**

*Input* ***all*** *required job description(s) here.*

# 12.0 EVALUATION CRITERIA AND SCORING

All qualified applications will be evaluated and awards made based on the following criteria considered, to result in awards most advantageous to the State.  Applications will be scored on the content, quality, and completeness of the responses to the items in the scope of work and to how well each response addresses the following core factors.  NC DHHS will consider scores, organizational capacity, and distribution among catchment areas, and variety of quality improvement plans in determining awards.  Please note that Contractors not meeting the eligibility requirements or any of the minimum or mandatory requirements will not be scored.

|  |  |  |  |
| --- | --- | --- | --- |
| **Funding Criteria** | **Clarification** | **Maximum Score Possible** | **Points Awarded** |
| **I. Proposal Summary**  |   | **5 Maximum Points** |
|  | Briefly describe |  |  |
| **II. Background and Purpose****(Section 5.01– 5.2)** |   | **20 Maximum Points** |
| **Statement of problem** | Agency demonstrates clear evidence of an unmet need and presents data and analysis which proves a clear understanding of problem and provides a clear and concise summary of proposed services. |  |  |
| Clearly demonstrate how the programs needs assessment and program design are linked to the required goals. |  |  |
| **III. Program Objectives and Performance Requirements** |  | **45 Maximum Points** |
|  | Agency describes a reasonable and well-developed proposal for the implementation of the project(s) proposed that fits into the overall mission/goals/objectives, values, and strategies of the program.  |  |  |
|  | * Describe how the program design is supported by evidence –based/well supported practices.
 |  |  |
|  | * Section provides a clear picture of the activities and events that are scheduled to occur.
 |  |  |
|  | * Community partners who are supporting service delivery are identified.
* The agency’s collaboration and coordination plan with other organizations is clearly described articulated.
 |  |  |
| **IV. Organizational Capacity (Section 5.9)** |  | **15 Maximum Points** |
|  | * A brief description of the organization’s background/history and structure is provided.
 |  |  |
| * Organization’s mission clearly relates to programming.
 |  |  |
| * Capacity to serve and reach the target population is provided.
 |  |  |
| * Board Member Profile - completed.
 |  |  |
| * Organizational Chart is included and provides evidence that there is a support structure in place.
 |  |  |
| * Job descriptions are included for all RAP-SS staff positions.
 |  |  |
| **VII. Budget Accuracy****(Section 5.8)** |  | **15 Maximum Points** |
|  | * The budget narrative provides justification for the projected expenses, is clearly articulated and is sufficient to support the goals and activities outlined in the proposal.
 |  |  |
| * The budget includes supplemental documents, as needed (Indirect Cost Plan, Lease, Copy of most recent Certified Financial Statement/Audit.
 |  |  |
| **TOTAL POINTS AWARDED** |  |  |

# APPENDIX A: Checklist

**REQUEST FOR APPLICATION (RFA)**

**NORTH CAROLINA REFUGEE ASSISTANCE PROGRAMS:**

**Services to Older Refugees Program**

**CHECKLIST**

|  |  |
| --- | --- |
| **Cover Page signed in blue ink, scan and Email** |  |
| **Proposal Summary (1 page limit) - Word Document** |  |
| **Scope of Work Document - Word Document** |  |
| **Contractor Package –Excel Workbook** |  |
| **Contractor Qualifications and Capacity** |  |
| **Cost Allocation Plan** |  |
| **Additional Documents - signed in blue ink, scan and Email** |  |
| * FFATA Form (Federal Funding Accountability and Transparency Act
 |  |
| * Central Contractor Registration Verification
 |  |
| * Indirect Cost Plan
 |  |
| * Signed Lease Agreement
 |  |
| * Copy of Vehicle Registration IF budgeting Vehicle Transportation Expenses
 |  |
| * Signature Authority Letter
 |  |
| * Conflict of Interest Certification and Organizational Conflict of Interest Policy
 |  |
| * Conflict of Interest – Annual Verification
 |  |
| * No Overdue tax Form (non-governmental agencies)
 |  |
| * IRS Tax Exemption Letter and Verification (Non Profit Contractors)
 |  |