

Division of Social Services  
Risk Evaluation Matrix-County Subrecipient

	Risk Level						Evaluation Score
	Low Risk		Moderate Risk		High Risk		
	Description	Weight	Description	Weight	Description	Weight	
<b>1. Most recent Monitoring Report</b>							
Summary of overall compliance supplement criteria requirement findings	In compliance in all requirement areas monitored and no chargebacks	2	Out of compliance in one requirement area and 2 or fewer charge backs	4	Out of compliance in two or more requirement areas or three or more chargebacks	6	
<b>2. Single Audit</b>							
Single audit findings in Program area	No audit findings for past 2 years.	2	Audit findings within the past two years with pending or completed corrective action and not requiring county charge backs.	4	Significant audit findings within past 2 years; audit findings not addressed and/or not resolved in timely manner; and/or chargebacks required.	6	
<b>3. Complaints received by the Department (DHHS)</b>							
Any complaints received by the Department	Number of complaints received in the current SFY of four-year cycle is 2 or fewer.	1	Number of complaints received in the current SFY of four-year cycle is 3-7	2	Number of complaints received in the current SFY of four-year cycle is 8 or more	3	
<b>4. DAAS staff consultation, site visits, report reviews</b>							
Information obtained by DAAS staff through consultation, site visits, Program report reviews (CSDW, NC FAST, XCloud)	No concerns noted or concerns addressed by county.	2	Concerns noted and county appropriately addressing.	4	Significant concerns and county unable/unwilling to address/correct.	6	

<p>County Name:</p> <p>Program:</p>	<p><b>EVALUATION SCORE KEY:</b></p> <p>Low Risk = 7-10 Moderate Risk = 11-17 High Risk = 18-21</p>	<p><b>TOTAL SCORE</b></p>
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