|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
|  | **Risk Level** | | | | | | |
|  | **Low Risk** | | **Moderate Risk** | | **High Risk** | | **Evaluation** |
|  | **Description** | **Weight** | **Description** | **Weight** | **Description** | **Weight** | **Score** |
| **1. Most recent Monitoring Report** | | | | | | | |
| Summary of overall compliance supplement criteria requirement findings | In compliance in all requirement areas monitored and no chargebacks | 2 | Out of compliance in one requirement area and 2 or fewer charge backs | 4 | Out of compliance in two or more requirement areas or three or more chargebacks | 6 |  |
| **2. Single Audit** | | | | | | | |
| Single audit findings in Program area | No audit findings for past 2 years. | 2 | Audit findings within the past two years with pending or completed corrective action and not requiring county charge backs. | 4 | Significant audit findings within past 2 years; audit findings not addressed and/or not resolved in timely manner; and/or chargebacks required. | 6 |  |
| **3. Complaints received by the Department (DHHS)** | | | | | | | |
| Any complaints received by the Department | Number of complaints received in the current SFY of 2020-2021 three-year cycle is 2 or fewer. | 1 | Number of complaints received in the current SFY of 2020-2021 three-year cycle is 3-7 | 2 | Number of complaints received in the current SFY of 2020-2021 three-year cycle is 8 or more | 3 |  |
| **4. DAAS staff consultation, site visits, report reviews** | | | | | | | |
| Information obtained by DAAS staff through consultation, site visits, Program report reviews (CSDW, NC FAST, XCloud) | No concerns noted or concerns addressed by county. | 2 | Concerns noted and county appropriately addressing. | 4 | Significant concerns and county unable/unwilling to address/correct. | 6 |  |

**EVALUATION SCORE KEY:**

**Low Risk = 7-10**

**Moderate Risk = 11-17**

**High Risk = 18-21**

**TOTAL SCORE**

County Name:

Program: