

NORTH CAROLINA
Social Services Block Grant
Pre-Expenditure Report
Fiscal Year 2019

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I. State/Federal Fiscal Year covered in Pre-Expenditure Plan

Specify the fiscal year, including start and end dates, of the pre-expenditure plan.

Fiscal Year (*select one*):

State

Federal

Dates (*provide start and end date of fiscal year*):

Start Date: 07/01/18

End Date: 06/30/19

II. Letter of Transmittal

Provide a letter of transmittal that includes contact information for the SSBG contact person and the State SSBG official receiving the SSBG Grant Award.

Letter will be attached with submission.

III. Public Inspection

Provide a description of how the State made the current pre-expenditure report available for public inspection and comment. Also provide documentation of public hearing (e.g., copies of public hearing notices, letters, newspaper articles, etc.) in Appendix A.

North Carolina will make the current pre-expenditure report available for public inspection and comment by issuing a press release announcing the publication of the draft report and inviting public comment on any aspect of the report prior to finalizing the report. The press release will announce the availability of the pre-expenditure report on the Department's website and area and local offices, and provide contact information and a deadline for the public to offer input. A copy of the draft press release is provided in Appendix A.

North Carolina will hold a public hearing for comments on the report. Notice of the Public hearing will be attached in the appendices.

IV. Narrative

A. Administrative Operations

1. State Administrative Agency

Outline the mission and responsibilities, as well as the goal and objectives, of the agency designated to administer the State's SSBG program.

Agency Designated to Administer SSBG Program:

North Carolina Department of Health and Human Services (NCDHHS)

Mission of Agency:

In collaboration with our partners, NCDHHS provides essential services to improve the health, safety and well-being of all North Carolinians.

Agency Responsibilities:

The department's 13 divisions touch the lives of virtually every North Carolinian, providing prenatal programs, child development, residential care, services for mental health, services for Deaf and blind individuals and their families, people with intellectual and developmental disabilities, and helping people achieve economic independence. NCDHHS also provides employment-related services, medical assistance, child welfare, adult community care services, treatment and programs for youth at risk, vocational rehabilitation, independent living rehabilitation, and disability determination.

NCDHHS Goals and Objectives:

- Strengthen health care
 - Promote access to services for eligible populations
 - Improve health care quality and patient safety
 - Emphasize primary and preventive care, linked with community prevention services
 - Reduce the growth of healthcare costs while promoting high-value, whole-person care
 - Ensure access to quality, culturally competent care, including long-term services and supports for vulnerable populations
 - Improve health care and population health through meaningful use of health information technology
 - Focus on the social determinants of health to achieve positive health outcomes.
- Advance knowledge and innovation
 - Enhance our technological and data capabilities for current and future needs

- Foster and apply innovative solutions to health, public health and human services challenges
 - Increase our understanding of what works in public health and human services practice
 - Improve laboratory, surveillance and epidemiology capacity
 - Improve our integrated data system practices
- Advance the health, safety and well-being of North Carolinians
 - Promote the safety, well-being, resilience and healthy development of children and youth
 - Promote economic and social well-being for individuals, families and communities
 - Improve the accessibility and quality of supportive services for people with disabilities and older adults
 - Promote prevention and well-being across the life span
 - Reduce the occurrence of infectious diseases
 - Protect North Carolinians' health and safety during emergencies and foster resilience to withstand and respond to emergencies
- Ensure the efficiency, transparency and accountability of NCDHHS programs
 - Financial and results reporting are straightforward and understandable to managers, decision makers and taxpayers
 - Enhance access to and use of data to improve NCDHHS programs and to support improvements in the health and well-being of North Carolinians
 - Continuously enhance our agency-wide comprehensive communication plan for internal staff and external stakeholders
 - Exercise good stewardship in utilization of resources to create a positive impact for those we serve.

2. State Offices/Departments

Identify the State entities allocated SSBG funds and provide a brief description of the services supported by each of the entities.

SSBG funds are allocated to the following State entities:

Entities & Services Supported:

Division of Social Services (DSS): Adoption Services; Child Protective Services; Family Support Services; Foster Care Services for Children; Family Planning Services, Independent Living Services (for Youth), Special Services for Youth at Risk. DSS provides training, technical assistance, and consultation to the local staff who work in programs for

families and children including Child Welfare, Family Support, Work First, Child Support, and Food and Nutrition Services.

Division of Aging and Adult Services (DAAS): Adult Protective Services, Guardianship, Individual and Family Adjustment Services, Health Support Services and Adult Placement Services. DAAS provides training, technical assistance, and consultation for the local staff who work in these programs as well as in other programs and services that assist older adults and adults with disabilities in remaining as independent as possible.

Division of Central Management and Support (under the NCDHHS Office of the Secretary): Administers competitive block grants to nonprofits that have the capacity to provide services on a statewide basis that support the State health and wellness initiatives that comply with SSBG goals.

Division of Child Development and Early Education (DCDEE): DCDEE regulates child care facilities. Child care providers are required to have a criminal background check completed. DCDEE oversees the child care subsidy services program, administered by local purchasing agencies. SSBG funds are used to support these efforts.

Division of Mental Health, Mental Health, Developmental Disability and Substance Abuse Services: Administration of Special Services – Disabled; and Substance Abuse Services. Provides for administration of services to support individuals living with mental illness and their families. These services include the necessary prevention, intervention, treatment, and supportive services to live successfully in communities of their choice. DMH also provides medically monitored detoxification, crisis stabilization, and short term treatment to prepare adults with substance abuse and co-occurring disorders for ongoing community-based recovery services. DMH also provides services to individuals with developmental disabilities.

Division of Services for the Blind (DSB): Counseling Services; Home-Based Services; and Special Services - Disabled. DSB provides services statewide through staff in seven DSB District Offices and Social Workers for the Blind located in all North Carolina counties. Services include Independent Living Services which assist persons of all ages who are blind or visually impaired to develop skills that enable them to independently manage their activities of daily living. Services also include the Accessible Electronic Information for Blind and Disabled Persons' program, which provides timely information to blind and disabled persons using high speed computers and telecommunications technology.

Division of Health Service Regulation (DHSR): Administration. Adult Care Facility Star Ratings; Adult Care Home Violations and Penalties; Licensure for Adult Care Homes and Mental Health facilities. This Division oversees the licensure and/or certification of medical, mental health, and adult care facilities, emergency medical services, and local jails. DHSR monitors healthcare providers and facilities to ensure people receiving care from these providers/facilities are safe and receive appropriate care.

**DISTRIBUTION OF NORTH CAROLINA'S SOCIAL SERVICES
BLOCK GRANT FUNDS**

For purposes of the Social Services Block Grant Plan, it is estimated that North Carolina will receive \$49,784,656 in federal SSBG funding for State Fiscal Year 2018-19. An additional \$23,142,002 from the Temporary Assistance for Needy Families (TANF) Block Grant will be transferred to SSBG for a total available amount of \$72,926,658. The distribution of funds to the various NCDHHS Divisions and the Grant-in-Aid providers is as follows:

Local Program Expenditures

Divisions of Social Services and Aging and Adult Services

01.	County Departments of Social Services (Transfer from TANF \$13,097,783)	\$33,003,632
02.	EBCI Tribal Public Health and Human Services	\$244,740
03.	Child Protective Services (Transfer from TANF)	\$5,040,000
04.	State In-Home Services Fund	\$1,943,950
05.	Adult Protective Services	\$1,245,363
06.	State Adult Day Care Fund	\$1,994,084
07.	Child Protective Services/CPS Investigative Services – Child Medical Evaluation Program	\$901,868
08.	Special Children Adoption Incentive Fund	\$462,600
09.	Child Protective Services – Child Welfare Training for Counties (Transfer from TANF)	\$1,300,000

10.	Child Protective Services – Child Welfare Training for Counties (Transfer from TANF)	\$737,067
11.	Home and Community Care Block Grant (HCCBG)	\$2,696,888
12.	Child Advocacy Centers (Transfer from TANF)	\$1,582,000
13.	Guardianship – Division of Social Services	\$1,802,671
14.	Foster Care Services (Transfer from TANF)	\$1,385,152

Division of Central Management and Support

15.	NCDHHS Competitive Block Grants for Nonprofits	\$4,774,525
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Division of Mental Health, Developmental Disabilities, and Substance Abuse Services

16.	Mental Health Services – Adult and Child/Developmental Disabilities Program/Substance Abuse Services - Adult	\$4,149,595
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NCDHHS Program Expenditures

Division of Services for the Blind

17.	Independent Living Program	\$3,447,247
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Division of Health Service Regulation

18.	Adult Care Licensure Program	\$381,087
19.	Mental Health Licensure and Certification Program	\$190,284

Division of Aging and Adult Services

20.	Guardianship	\$3,825,443
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NCDHHS Administration

21.	Division of Aging and Adult Services	\$659,503
22.	Division of Social Services	\$634,680
23.	Office of the Secretary/Controller’s Office	\$127,731
24.	Legislative Increases/Fringe Benefits	\$236,278
25.	Division of Child Development and Early Education	\$13,878
26.	Division of Mental Health, Developmental Disabilities, and Substance Abuse Services	\$27,446
27.	Division of Health Service Regulation	\$118,946

TOTAL SOCIAL SERVICES BLOCK GRANT **\$72,926,658**

If the Congress of the United States decreases the federal fund availability for the Social Services Block Grant as described above, the Department of Health and Human Services shall reduce the State administration amount(s)

by at least the percentage of the reduction in federal funds. After determining the reduction in the State administration, the remaining reductions shall be allocated proportionately across the program and activity appropriations identified above. In allocating a decrease in the federal fund availability, the Department shall not eliminate the funding for a program or activity as allocated by the NC General Assembly unless it is related to the State administration.

B. Fiscal Operations

1. Criteria for Distribution

Describe the State's criteria for allocation of SSBG funds to State entities.

In allocating funds to State entities, NCDHHS considers funds available through SSBG, TANF transfer, and other funding sources. Funds are then allocated in such a way as to sustain community-based services aimed at protecting vulnerable adults and children and supporting the services for youth at risk.

2. Planning Process for Use and Distribution of Funds:

Describe the planning process for determining the State's use and distribution of SSBG funds.

NCDHHS sets program and budget priorities by reviewing the program and budget plans for administration. NCDHHS personnel also consult with and gather input from stakeholders and community partners. This information is used to make decisions about how to allocate resources to meet the goals and objective specified by NCDHHS.

3. Financial Operations System:

Describe the State's process of assigning costs (e.g., cost allocation plan) and method of calculating costs (e.g., Random Moment in Time).

North Carolina uses a cost allocation plan to assign costs and calculates costs using the 100% Time Reporting method.

C. Program Operations

1. SSBG Statutory Goals the State Plans to Achieve

Indicate which of the statutory goals the State plans to achieve, and provide a description of how services funded by the SSBG will be directed at one or more of these goals.

SSBG Statutory Goals:

- 1. Achieving or maintaining economic self-support to prevent, reduce, or eliminate dependency
- 2. Achieving or maintaining self-sufficiency, including reduction or prevention of dependency
- 3. Preventing or remedying neglect, abuse, or exploitation of children and adults unable to protect their own interests, or preserving, rehabilitating or reuniting families
- 4. Preventing or reducing in appropriate institutional care by providing for community-based care, home-based care or other forms of less intensive care
- 5. Securing referral or admission for institutional care when other forms of care are not appropriate, or providing services to individuals in institutions

How Services Funded by the SSBG will be directed at these goals:

SSBG funds will be used to support all these goals by providing services to children, youth, and adults that will help individuals achieve and maintain self-sufficiency, prevent or remedy neglect, abuse and exploitation, prevent or reduce inappropriate institutional care, and direct individuals to institutional care when appropriate.

2. Characteristics of Individuals to be Served

Describe the characteristics of individuals to be served, including: definitions of child, adult, and family; eligibility criteria; and income guidelines.

Definitions

Child: A person who is less than 18 years of age

Adult: An individual who has reached the age of majority, age 18 in North Carolina, or who has been emancipated by a court order.

Family: One or more parents and children related by blood, marriage, or adoption, and residing in the same household; or a parent substitute, such as a related caregiver or legal guardian, who has responsibility for the 24-hour care and supervision of a child.

Eligibility Criteria & Income Guidelines:

There are three *primary* eligibility categories defined by the State through which individuals may be eligible to receive Social Services Block Grant-funded services. The first eligibility category defined by the State is based on an individual's status in terms of two major income maintenance programs - the Temporary Assistance for Needy Families (TANF) and the Supplemental Security Income (SSI) programs. The second category is based on the income status and size of the income unit.¹ In the third category, services may be provided "Without Regard to Income," based solely on an individual's need, although for some services the recipient may be asked (but not required) to share in the cost of providing the service. A fourth eligibility category results from the transfer of a percentage of the State's allocation of TANF (Temporary Assistance for Needy Families) funding to the Social Services Block Grant. Adhering to federal eligibility requirements for persons receiving assistance through the TANF program, these funds will be used only for programs and services to children and their families whose incomes are at or below 200% of the Federal Poverty Level, applicable to family size. The four eligibility categories are described as follows:

Income Maintenance Status

Individuals considered eligible as income maintenance recipients are:

- Current recipients of Temporary Assistance to Needy Families, known as Work First Family Assistance (WFFA) in North Carolina;
- Persons whose needs are taken into account in determining the needs of WFFA recipients;

¹ Unless otherwise excepted, the following are defined as separate income units for purposes of determining eligibility and cost sharing contributions: biological or adoptive parents and their minor children; a minor parent and his or her children; each adult, whether related or unrelated, other than spouses; children living with adults other than their biological or adoptive parents; and minors who are emancipated through a court proceeding, marriage or participation in the armed services. For Family Planning Services, "family" will be defined in accordance with federal regulation, 10A NCAC 71R .0908 for Family Planning Services. For Nutrition Services, "family" will be defined in accordance with 10A NCAC 71R .0913.

- Applicants for or current recipients of Supplemental Security Income (SSI) benefits;
- Persons who receive regular Optional State Supplementation payments from the State, known in North Carolina as State/County Special Assistance for Adults, to supplement SSI benefits;
- Children for whom adoption assistance payments or foster care maintenance payments are made under provisions of Public Law 96-272, and are thus considered WFFA recipients.

All these income maintenance recipients are categorically eligible for services. For services such as child care, in which various federal and state funding sources have been blended to maximize funding and streamline service delivery to families, policies specific to that program shall apply. An individual applying for child care services is no longer considered income eligible on the basis of income maintenance status. Although income received from an income maintenance payment such as WFFA or SSI may continue after these individuals are employed, it is not included in the amount of family's income used to determine eligibility for child care services. Income from employment and other sources available to the family is counted toward determining eligibility.

Income Status (Income Eligible Clients)

Eligibility for certain services requires consideration of the income unit's monthly gross income. These services include 1) Child Care Services, 2) In-Home Aide Services for the Blind, 3) Voluntary Sterilization (funded under SSBG as an optional resource item of Health Support Services) and 4) Transportation Services.

Families *who qualify on the basis of income eligibility* pay fees based on their income, family size, and the amount of time child care is needed each month. Income eligibility is 200% FPL for children 0 to 5, and 133% for children 6-12

For the other services listed above, the State has adopted application of the Federal Poverty Level for use in determining eligibility based on the number of individuals in an income unit. In-Home Services for the Blind is the only one of the services listed above that is available to individuals in income units earning up to 100% of the of the State's established income which is 150% of the Federal Poverty Level. Voluntary Sterilization is an option for individuals in income units earning up to 80% of the Federal Poverty Level. Only those who earn less than 60% of the Federal Poverty Level for their family or income

unit are eligible for *all* services, including Transportation, offered through the Social Services Block Grant.

Without Regard to Income

The provision of services "Without Regard to Income" is based solely on the individual's need for the service; however for some services, clients are requested to voluntarily contribute to the cost of services provided. Services supported by the Social Services Block Grant and made available on a "Without Regard to Income" basis may include, depending on each year's allocation:

- Adjustment Services for the Blind and Visually Impaired
- Adoption Services
- Adult Placement Services
- Community Living Services
- Day Care Services for Adults
- Child Care Services
(Service is available without regard to income only when needed to support child protective services, child welfare services and for children receiving foster care services.)
- Children and Adults Needing Mental Health, Developmental Disability and/or Substance Abuse Services
- Delinquency Prevention Services
- Employment and Training Support Services
- Family Planning Services
- Family Preservation Services
- Family Support Services
- Foster Care Services for Adults
- Foster Care Services for Children
- Health Support Services (excluding the optional voluntary sterilization component)

- Home Health Services (includes Skilled Nursing, Physical Therapy, Speech Therapy, Occupational Therapy, Medical Social Services, and Nutrition Care)
- Housing and Home Improvement Services
- Individual and Family Adjustment Services
- In-Home Aide Services
- Intensive Family Preservation Services
- Personal and Family Counseling
- Preparation and Delivery of Meals
- Problem Pregnancy Services
- Protective Services for Adults (including all appropriate services)
- Protective Services for Children (including all appropriate services)
- Residential Treatment for the Emotionally Disturbed
- Respite Care Services
- Transportation Services Provided by the NC Commission of Indian Affairs
- Youth Services

TANF Funds Transferred to the Social Services Block Grant

Federal regulations allow certain TANF monies to be transferred into the Social Services Block Grant. However, welfare reform law stipulates that any TANF funds transferred to SSBG must be used for families with incomes no higher than 200% of the Federal poverty guidelines. Following this requirement, Social Services Block Grant funds transferred from TANF will only be used for the provision of programs and services to children and their families or income units who earn less than or up to 200% of the Federal Poverty Level, applicable to the size of the family/income unit served.

TANF-to-SSBG funds will be used to support Adoption Services, Foster Care Services for Children, and Other Child Welfare Services where income for families or income units receiving the services does not exceed 200% of the Federal Poverty Level. Activities funded under these service categories include Non-residential Diagnostic and Treatment Services, Recruitment, Assessment and Training of Adoptive and Foster Parents; Adoption Assistance and Post-Adoption Case Management; Case Planning and Case Management, Preparation for and Participation in Judicial Determinations, and other services to youth in foster care or former foster care recipients.

For State Fiscal Year 2019 funds transferred from TANF to SSBG will also be used to support training for child welfare services and to maintain the level of expenditures for Child Protective Services workers.

Consumer Contribution

Individuals determined eligible to receive services subject to the consumer contribution policy shall be informed of the requirement that all such recipients are given the opportunity to contribute to the cost of services provided, based on their gross family income and desire to voluntarily contribute. Income, size of income unit, cost of services, and other factors that affect ability to contribute may be taken into account. Services are not terminated if the individual decides not to contribute. The following is a list of services for which consumer contribution is applicable.

- Adult Day Care and Day Health Services
- Housing and Home Improvement Services (Renovations or Repair and Furnishings or Appliance Purchases only)
- In-Home Aide Services
- Personal and Family Counseling
- Preparation & Delivery of Meals

When any of the above services are provided to adults or children as part of a Protective Services Plan, these individuals will be excluded from consumer contributions, up to a maximum of 12 months. Consumer contributions do not apply to children in foster care, children who have been approved to receive adoption assistance, persons receiving Work First assistance, or federally administered Supplemental Security Income (SSI) applicants or recipients.

Fees

Under North Carolina's social services program, any service available through the county department of social services where the individual lives, when provided in conjunction with Protective Services for Children or Adults, will be provided without cost sharing and without regard to income during the first twelve months after Protective Services for Children or Adults is initiated, or until the case is closed, if it remains open for less than a year.

3. Types of Activities to be Supported

Describe the types of activities to be provided using SSBG funds. Organize the services by the Uniform Definition of Services and the corresponding State service title. Also provide method of delivery (i.e., public, private, or both) and the geographic area/location where services will be provided.

Depending on SFY allocation, funding could support administrative activities, direct services, or both. (Refer to Section IV. A. 2., Distribution of Funds.)

Adoption Services are those services or activities provided to assist in bringing about the adoption of a child. Component services and activities may include, but are not limited to, counseling the biological parent(s), recruitment of adoptive homes, and pre- and post- placement training and/or counseling and adoption program evaluation.

- SSBG Statutory Goal(s) Supported: 3, 5
- Method of Provision: Public and Private (direct delivery and purchase of service)
- Geographic Area: Statewide

Case Management Services are services or activities for the arrangement, coordination, and monitoring of services to meet the needs of individuals and families. Component services and activities may include individual service plan development; counseling; monitoring, developing, securing, and coordinating services; monitoring and evaluating client progress; and assuring that clients' rights are protected.

- SSBG Statutory Goal(s) Supported: 1, 2, 3, 4, 5
- Method of Provision: Public and Private (direct delivery and purchase of service)
- Geographic Area: Statewide

Home-Delivered Meals (Preparation and Delivery of Meals) are those services or activities designed to prepare and deliver one or more meals a day to an individual's residence in order to prevent institutionalization,

malnutrition, and feelings of isolation. Component services or activities may include the cost of personnel, equipment, and food; assessment of nutritional and dietary needs; nutritional education and counseling; socialization services; and information and referral.

- SSBG Statutory Goal(s) Supported: 1, 2, 4
- Method of Provision: Public and Private (direct delivery and purchase of service)
- Geographic Area: Optional service based on individual county need and available resources.

Counseling Services (Personal and Family Counseling and Individual and Family Adjustment Services) are those services or activities that apply therapeutic processes to personal, family, situational, or occupational problems in order to bring about a positive resolution of the problem or improved individual or family functioning or circumstances. Problem areas may include family and marital relationships, parent-child problems, or drug abuse. Services may also include assistance to individuals and their family members to utilize community resources and natural support systems; to participate in structured group activities to develop capacities for improved personal and social functioning and to relieve social isolation; and to support their ability to remain in their own homes and maintain independent living.

- SSBG Statutory Goal(s) Supported: 1, 2, 3, 4, 5
- Method of Provision: Public and Private (direct delivery and purchase of service)
- Geographic Area: Optional service based on individual county need and available resources.

Day Care Services for Adults are those services or activities provided to adults who require care and supervision in a protective setting for a portion of a 24-hour day. Component services or activities may include opportunity for social interaction, companionship and self-education; health support or assistance in obtaining health services; counseling; recreation and general leisure time activities; meals; personal care services; plan development; and transportation.

- SSBG Statutory Goal(s) Supported: 1, 2, 3, 4, 5
- Method of Provision: Public and Private (direct delivery and purchase of service)
- Geographic Area: Optional service based on individual county need and available resources.

Day Care Services for Children (Child Care Services) (including infants, pre-schoolers, and school age children) administration of services or activities provided in a setting that meets applicable standards of state and

local law, in a center or in a home, for a portion of a 24-hour day. Component services or activities may include a comprehensive and coordinated set of appropriate developmental activities for children, recreation, meals and snacks, transportation, health support services, social service counseling for parents, plan development, and licensing and monitoring of child care homes and facilities.

- SSBG Statutory Goal(s) Supported: 1, 2, 3, 4
- Method of Provision: Public and Private (direct delivery and purchase of service)
- Geographic Area: Statewide

Employment Services (Employment and Training Support Services) are those services or activities provided to assist individuals in securing employment or acquiring or learning skills that promote opportunities for employment. Component services or activities may include employment screening, assessment, or testing; structured job skills and job seeking skills; specialized therapy (occupational, speech, physical); special training and tutoring, including literacy training and pre-vocational training; provision of books, supplies and instructional material; counseling, transportation; and referral to community resources.

- SSBG Statutory Goal(s) Supported: 1, 2
- Method of Provision: Public and Private (direct delivery and purchase of service)
- Geographic Area: Optional service based on individual county need and available resources.

Family Planning Services are those educational, comprehensive medical or social services or activities which enable individuals, including minors, to determine freely the number and spacing of their children and to select the means by which this may be achieved. These services and activities include a broad range of acceptable and effective methods and services to limit or enhance fertility, including contraceptive methods (including natural family planning and abstinence), and the management of infertility (including referral to adoption). Specific component services and activities may include pre-conception counseling, education, and general reproductive health care, including diagnosis and treatment of infections which threaten reproductive capability. Family planning services do not include pregnancy care (including obstetric or prenatal care).

- SSBG Statutory Goal(s) Supported: 1, 2
- Method of Provision: Public and Private (direct delivery and purchase of service)
- Geographic Area: Statewide

Foster Care Services for Adults (including Adult Placement Services)

are those services or activities that assess the need and arrange for the substitute care and alternate living situation of adults in a setting suitable to the individual's needs. Individuals may need such services because of social, physical or mental disabilities, or as a consequence of abuse or neglect. Care may be provided in a community-based setting, or such services may arrange for institutionalization when necessary. Component services or activities include assessment of the individual's needs; case planning and case management to assure that the individual receives proper care in the placement; counseling to help with personal problems and adjusting to new situations; assistance in obtaining other necessary supportive services; determining, through periodic reviews, the continued appropriateness of and need for placement; and recruitment and licensing of foster care homes and facilities.

- SSBG Statutory Goal(s) Supported: 1, 2
- Method of Provision: Public and Private (direct delivery and purchase of service)
- Geographic Area: Statewide

Foster Care Services for Children are those services or activities associated with the provision of an alternative family life experience for abused, neglected or dependent children, between birth and the age of majority, on the basis of a court commitment or a voluntary placement agreement signed by the parent or guardian. Services may be provided to children in foster family homes, foster homes of relatives, group homes, emergency shelters, residential facilities, child care institutions, pre-adoptive homes or supervised independent living situation. Component services or activities may include assessment of the child's needs; case planning and case management to assure that the child receives proper care in the placement; medical care as an integral but subordinate part of the service; counseling of the child, the child's parents, and the foster parents; referral and assistance in obtaining other necessary supportive services; periodical reviews to determine the continued appropriateness and need for placement; recruitment and licensing of foster homes and child care institutions, and foster care program evaluation.

- SSBG Statutory Goal(s) Supported: 1, 2, 3, 5
- Method of Provision: Public and Private (direct delivery and purchase of service)
- Geographic Area: Statewide

Health Related (Health Support Services) and Home Health Services

are those in-home or out-of-home services or activities designed to assist individuals and families to attain and maintain a favorable condition of health. Component services and activities may include providing an

analysis or assessment of an individual's health problems and the development of a treatment plan; assisting individuals to identify and understand their health needs; assisting individuals to locate, provide or secure, and utilize appropriate medical treatment, preventive medical care, and health maintenance services, including in-home health services and emergency medical services; and providing follow-up services as needed.

- SSBG Statutory Goal(s) Supported: 1, 2, 3, 5
- Method of Provision: Public and Private (direct delivery and purchase of service)
- Geographic Area: Health Support Services – Statewide; Home Health Services are optional services based on individual county need and available resources.

Home Based Services (In-Home Aide Services & In-Home Aide Services for the Blind) are those in-home services or activities provided to individuals or families to assist with household or personal care activities that improve or maintain adequate family well-being. These services may be provided for reasons of illness, incapacity, frailty, absence of a caretaker relative, or to prevent abuse and neglect of a child or adult. Major service components include homemaker services, chore services, home maintenance services, and household management services. Component services or activities may include protective supervision of adults and/or children to help prevent abuse, temporary non-medical personal care, house-cleaning, essential shopping, simple household repairs, yard maintenance, teaching of homemaking skills, training in self-help and self-care skills, assistance with meal planning and preparation, sanitation, budgeting, and general household management.

- SSBG Statutory Goal(s) Supported: 1, 2, 3, 4, 5
- Method of Provision: Public and Private (direct delivery and purchase of service)
- Geographic Area: Statewide

Housing Services (Housing and Home Improvement Services) are those services or activities designed to assist individuals or families in locating, obtaining, or retaining suitable housing. Component services or activities may include tenant counseling; helping individuals and families to identify and correct substandard housing conditions on behalf of individuals and families who are unable to protect their own interests; and assisting individuals and families to understand leases, secure utilities, make moving arrangements and minor renovations.

- SSBG Statutory Goal(s) Supported: 1, 2, 3
- Method of Provision: Public and Private (direct delivery and purchase of service)

- Geographic Area: Optional service based on individual county need and available resources.

Independent and Transitional Living Services (Individual and Family Adjustment Services and Community Living Services, Youth Services)

are those services and activities designed to help older youth in foster care or homeless youth make the transition to independent living, or to help adults make the transition from an institution, or from homelessness, to independent living. Component services or activities may include educational and employment assistance, training in daily living skills, and housing assistance. Specific component services and activities may include supervised practice living and post-foster care services.

- SSBG Statutory Goal(s) Supported: 1, 2, 3, 5
- Method of Provision: Public and Private (direct delivery and purchase of service)
- Geographic Area: Individual and Family Adjustment Services – Statewide; Community Living Services and Youth Services are optional services based on individual county need and available resources.

Pregnancy and Parenting Services (Problem Pregnancy Services) are those services or activities for married or unmarried adolescent parents and their families designed to assist young parents in coping with the social, emotional, and economic problems related to pregnancy and in planning for the future. Component services or activities may include securing necessary health care and living arrangements; obtaining legal services; and providing counseling, child care education, and training in and development of parenting skills.

- SSBG Statutory Goal(s) Supported: 1, 2, 3, 4
- Method of Provision: Public and Private (direct delivery and purchase of service)
- Geographic Area: Optional service based on individual county need and available resources.

Prevention and Intervention Services (Respite Care, Transportation Services, Family Support Services) are those services or activities designed to provide early identification and/or timely intervention to support families and prevent or ameliorate the consequences of, abuse, neglect, or family violence, or to assist in making arrangement for alternate placements or living arrangements where necessary. Such services may also be provided to prevent the removal of a child or adult from the home. Component services and activities may include investigation; assessment and/or evaluation of the extent of the problem; counseling, including mental health counseling or therapy as needed; developmental and parenting skills

training; respite care; and other services including supervision, case management, transportation, and family support services program evaluation.

- SSBG Statutory Goal(s) Supported: 1, 2, 3, 4, 5
- Method of Provision: Public and Private (direct delivery and purchase of service)
- Geographic Area: Optional service based on individual county need and available resources.

Protective Services for Adults (including Guardianship) are those services or activities designed to prevent or remedy abuse, neglect or exploitation of adults who are unable to protect their own interests. Examples of situations that may require protective services are injury due to maltreatment or family violence; lack of adequate food, clothing or shelter; lack of essential medical treatment or rehabilitation services; and lack of necessary financial or other resources. Component services or activities may include investigation; immediate intervention; emergency medical services; emergency shelter; developing case plans; initiation of legal action (if needed); counseling for the individual and the family; assessment/evaluation of family circumstances; arranging alternative or improved living arrangements; preparing for foster placement, if needed; and case management and referral to service providers. Guardianship services to an adult individual alleged to be in need of a guardian or who has been appointed a guardian may also be provided.

- SSBG Statutory Goal(s) Supported: 1, 2, 3, 4, 5
- Method of Provision: Public and Private (direct delivery and purchase of service)
- Geographic Area: Statewide

Protective Services for Children are those services or activities designed to prevent or remedy abuse, neglect, or exploitation of children who may be harmed through physical or mental injury, sexual abuse or exploitation, and negligent treatment or maltreatment, including failure to be provided with adequate food, clothing, shelter, or medical care. Component services or activities may include immediate investigation and intervention; emergency medical services; emergency shelter; developing case plans; initiation of legal action (if needed); counseling for the child and the family; assessment/evaluation of family circumstances; arranging alternative living arrangement; preparing for foster placement, if needed; case management and referral to service providers, and child protective services program evaluation.

- SSBG Statutory Goal(s) Supported: 1, 2, 3, 4, 5

- Method of Provision: Public and Private (direct delivery and purchase of service)
- Geographic Area: Statewide

Special Services for Persons With Developmental or Physical Disabilities, or Persons With Visual or Auditory Impairments (Adjustment Services for the Blind and Visually Impaired) - Special

services for persons with developmental or physical disabilities, or persons with visual or auditory impairments, are services or activities to maximize the potential of persons with disabilities, help alleviate the effects of physical, mental or emotional disabilities, and to enable these persons to live in the least restrictive environment possible. Component services or activities may include personal and family counseling; respite care; family support; recreation; transportation; aid to assist with independent functioning in the community; and training in mobility, communication skills, the use of special aids and appliances, and self-sufficiency skills. Residential and medical services may be included only as an integral, but subordinate, part of the services.

- SSBG Statutory Goal(s) Supported: 1, 2, 3, 4, 5
- Method of Provision: Public and Private (direct delivery and purchase of service)
- Geographic Area: Statewide

Special Services for Youth (Delinquency Prevention Services) involved in or at risk of involvement with criminal activity are those services or activities for youth who are, or who may become, involved with the juvenile justice system and their families. Component services or activities are designed to enhance family functioning and/or modify the youth's behavior with the goal of developing socially appropriate behavior and may include counseling, intervention therapy, and residential and medical services if included as an integral but subordinate part of the service.

- SSBG Statutory Goal(s) Supported: 1, 2, 3, 5
- Method of Provision: Public and Private (direct delivery and purchase of service)
- Geographic Area: Optional service based on individual county need and available resources.

Substance Abuse Services (Children and Adults Needing Mental Health, Developmental Disability and/or Substance Abuse Services)

administration of services or activities that are primarily designed to deter, reduce, or eliminate substance abuse or chemical dependence. Except for initial detoxification services, medical and residential services may be included but only as an integral but subordinate part of the service.

Component substance abuse services or activities may include a

comprehensive range of personal and family counseling methods, methadone treatment for opiate abusers, or detoxification treatment for alcohol abusers. Services may be provided in alternative living arrangements such as institutional settings and community-based halfway houses.

- SSBG Statutory Goal(s) Supported: 1, 2, 3, 4, 5
- Method of Provision: Public and Private (direct delivery and purchase of service)
- Geographic Area: Optional service based on individual county need and available resources.

Transportation Services are services or activities that provide or arrange for the travel, including travel costs, of individuals in order to access services, or obtain medical care or employment. Component services or activities may include special travel arrangements such as special modes of transportation and personnel to accompany or assist individuals or families to utilize transportation.

- SSBG Statutory Goal(s) Supported: 1, 2, 3, 4
- Method of Provision: Public and Private (direct delivery and purchase of service)
- Geographic Area: Optional service based on individual county need and available resources.

Other Services include Individual and Family Adjustment Paraprofessional Services, Individual and Family Adjustment – Representative Payee and Services Intake.

Individual and Family Adjustment Paraprofessional Services include:

- Supervising visits between parent and child;
- Supervising children (while parents attend therapy, parenting classes, etc.,) in absence of caregiver;
- Teaching, coaching, modeling parenting skills, home management skills, communication skills with resources to parents and caretakers and placement providers;
- Teaching, coaching, modeling advocacy skills (especially as related to housing, school system, services agencies);
- Supporting case management of neglected, abused, dependent, delinquent children;
- Transporting/supervising/providing in loco parentis to children going to doctor visits, schools;
- Communicating information between service providers and case managers/placement providers; and

- Testifying in court related to specific assigned duties and client interaction (supervised visits, school visits, etc.).

Individual and Family Adjustment - Representative Payee activities include services offered to individuals for whom the DSS has been appointed the representative payee, including assurance of the appropriate use of income for the client's needs, and strengthening the client's basic skills in money management.

Services Intake activities include receiving requests for services; exploring with the client his request in terms of the services available; taking applications; and such elements of case management as establishing eligibility for services, initiating the Service Client Information Record and certifying clients for purchased services.

- SSBG Statutory Goal(s) Supported: 1, 2, 3, 4, 5
- Method of Provision: Public and Private (direct delivery and purchase of service)
- Geographic Area: Statewide

V. Pre-Expenditure Reporting Form

Attach completed pre-expenditure reporting form in Microsoft Excel (available on the SSBG website, <http://www.acf.hhs.gov/programs/ocs/ssbg/> under "Guidance, Policies and Procedures").

Attached.

VI. Appendices

Attach the following appendices:

- Appendix A: Documentation of Public Hearing (REQUIRED)
- Appendix B: Certifications (REQUIRED)
- Appendix C: Proof of Audit (REQUIRED)

Appendix A: Documentation of Public Hearing (REQUIRED)

Attach documentation of public hearing, such as public hearing notices, letters, newspaper articles, etc.

Will be attached to submission.

Appendix B: Certifications (REQUIRED)

Attach signed copies of the following certifications (available on the SSBG website at <http://www.acf.hhs.gov/programs/ocs/ssbg/procedures/Certifications.htm>.)

1. Drug-Free Workplace Requirements
2. Environmental Tobacco Smoke
3. Lobbying
4. Debarment, Suspension and Other Responsibility Matters

Will be attached to submission.

Appendix C: Proof of Audit (REQUIRED)

Federal regulations state that: “Each State shall, not less often than every two years, audit its expenditures from amounts received (or transferred for use) under this title... Within 30 days following the completion of each audit, the State shall submit a copy of that audit to the legislature of the State and to the Secretary.” (Sec. 2006 [42 U.S.C. 1397a, Sec. 2006]).

Will be attached to submission.

Appendix D: Letter

Will be attached to submission.

Part A. Expenditures and Provision Method				OMB NO.: 0970-0234		
				EXPIRATION DATE: 11/30/2017		
STATE: North Carolina		FISCAL YEAR: (SFY) 2019		REPORT PERIOD: 07/18 to 06/19		
Contact Person: Wayne Black		Phone Number: 919-527-6335				
Title: Director						
Agency: Division of Social Services		Submission Date: 07/09/2018				
Service Supported with SSBG Expenditures	SSBG Expenditures		Expenditures of All Other Federal, State and Local funds**	Total Expenditures	Provision Method	
	SSBG Allocation	Funds transferred into SSBG*			Public	Private
1 Adoption Services	818,459.00	1,459,419.00	6,192,463.00	8,470,341.00	X	X
2 Case Management	1,218,328.00	-	732,426.00	1,950,754.00	X	X
3 Congregate Meals	-	-	-	-		
4 Counseling Services	1,903,661.00	-	404,135.00	2,307,796.00	X	X
5 Day Care--Adults	2,112,725.00	-	2,571,247.00	4,683,972.00	X	X
6 Day Care--Children	-	-	-	-		
7 Education and Training Services	-	-	-	-		
8 Employment Services	-	-	-	-	X	X
9 Family Planning Services	-	-	-	-		
10 Foster Care Services--Adults	853,638.00	-	17,778.00	871,416.00	X	X
11 Foster Care Services--Children	1,457,408.00	10,820,756.00	84,545,674.00	96,823,838.00	X	X
12 Health-Related Services	3,372,572.00	-	8,560,186.00	11,932,758.00	X	X
13 Home-Based Services	3,206,137.00	-	33,128,182.00	36,334,319.00	X	X
14 Home-Delivered Meals	38,295.00	-	118,630.00	156,925.00	X	X
15 Housing Services	102,460.00	-	-	102,460.00	X	
16 Independent/Transitional Living Services	129,413.00	7,555.00	3,863,735.00	4,000,703.00	X	
17 Information & Referral	-	-	-	-		
18 Legal Services	-	-	-	-		
19 Pregnancy & Parenting	224.00	-	4,042.00	4,266.00	X	X
20 Prevention & Intervention	25,682.00	1,582,000.00	10,564,860.00	12,172,542.00	X	X
21 Protective Services--Adults	10,715,296.00	-	11,711,607.00	22,426,903.00	X	
22 Protective Services--Children	1,660,368.00	3,968,118.00	153,845,819.00	159,474,305.00	X	
23 Recreation Services	-	-	-	-		
24 Residential Treatment	-	-	-	-		
25 Special Services--Disabled	10,716,019.00	-	167,927,864.00	178,643,883.00		
26 Special Services--Youth at Risk	-	-	-	-		
27 Substance Abuse Services	1,296,831.00	-	61,693,375.00	62,990,206.00		
28 Transportation	897,857.00	-	3,111,628.00	4,009,485.00	X	X
29 Other Services***	3,364,281.00	-	17,122,392.00	20,486,673.00	X	X
30 SUM OF EXPENDITURES FOR SERVICES	43,889,654.00	17,837,848.00	566,116,043.00	627,843,545.00		
31 Administrative Costs	5,895,001.00	5,304,155.00				
32 SUM OF EXPENDITURES FOR SERVICES AND ADMINISTRATIVE COSTS	49,784,655.00	23,142,003.00				
* From which block grant(s) were these funds transferred?		TANF				
** Please list the sources of these funds:		see Attachment				
*** Please list other services:		see Attachment				

Part B. Recipients

OMB NO.: 0970-0234

EXPIRATION DATE: 11/30/2017

STATE: North Carolina

FISCAL YEAR: (SFY) 2019

Service Supported with SSBG Expenditures	Children	Adults			Total Adults	Total
		Adults Age 59 Years & Younger	Adults Age 60 Years & Older	Adults of Unknown Age		
1 Adoption Services	102,297	25,359	0	0	25,359	127,656
2 Case Management	22	680	1,177	0	1,858	1,880
3 Congregate Meals	0	0	0	0	0	0
4 Counseling Services	768	3,703	4,624	0	8,327	9,095
5 Day Care--Adults	0	319	1,057	0	1,377	1,377
6 Day Care--Children	0	0	0	0	0	0
7 Education and Training Services	0	0	0	0	0	0
8 Employment Services	0	0	0	0	0	0
9 Family Planning Services	0	0	0	0	0	0
10 Foster Care Services--Adults	0	117	329	0	445	445
11 Foster Care Services--Children	108,655	4,948	6	0	4,954	113,609
12 Health-Related Services	207	3,664	8,087	0	11,750	11,958
13 Home-Based Services	555	861	9,530	0	10,391	10,946
14 Home-Delivered Meals	0	82	800	0	881	881
15 Housing Services	20	216	174	0	391	410
16 Independent/Transitional Living Services	3,208	4,702	5	0	4,707	7,915
17 Information & Referral	0	0	0	0	0	0
18 Legal Services	0	0	0	0	0	0
19 Pregnancy & Parenting	7	113	0	0	113	120
20 Prevention & Intervention	32,856	2,726	99	0	2,825	35,681
21 Protective Services--Adults	0	8,993	16,353	0	25,346	25,346
22 Protective Services--Children	169,679	0	0	0	0	169,679
23 Recreation Services	0	0	0	0	0	0
24 Residential Treatment	0	0	0	0	0	0
25 Special Services--Disabled	3,938	61,533	6,849	0	68,382	72,320
26 Special Services--Youth at Risk	0	0	0	0	0	0
27 Substance Abuse Services	312	30,819	959	0	31,778	32,090
28 Transportation	12,988	12,019	6,201	0	18,219	31,207
29 Other Services***	853	4,597	3,640	0	8,237	9,090
30 SUM OF RECIPIENTS OF SERVICES	436,365	165,451	59,890	0	225,341	661,706